



# **D.K.M. COLLEGE FOR WOMEN**

## **(AUTONOMOUS), VELLORE**



**eRESOURCES**

Digital Learning

**E CONTENT TITLE : ALGEBRA**

**DEPARTMENT : MATHEMATICS -PG**

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## ALGEBRA - I

### UNIT - I - GROUP THEORY

18hrs

Another Counting Principle –Class Equation for Finite groups and its applications – Sylow's theorems [For theorem 2.12.1, Only First proof].

**Chapter 2: Sections 2.11 and 2.12** [omit Lemma 2.11.3, 2.12.2, 2.12.5]

#### 2.11 ANOTHER COUNTING PRINCIPLE

##### Definition:

Let  $G$  be a group and if  $a, b \in G$  then  $b$  is said to be *conjugate* to  $a$  in  $G$ , there exists an element  $c \in G$  such that  $b = c^{-1}ac$ . Symbolically  $a \sim c$ .

##### Lemma 2.11.1:

The above relation is an equivalence relation.

Or

Conjugacy is an equivalence relation on  $G$ .

##### Proof:

Now we have to prove that the above relation is an equivalence relation.

That is to prove that

- i). Reflexive:  $a \sim a$
- ii). Symmetric:  $a \sim b \rightarrow b \sim a$
- iii). Transitive:  $a \sim b, b \sim c \rightarrow a \sim c$

##### i). Reflexive:

Since  $e \in G$ ,  $a = e^{-1}ae$

Therefore  $a \in G$ .

Hence  $a \sim a$

**ii). Symmetric:**

Let  $a \sim b$ .

Then  $b = c^{-1}ac$ .

Now  $cbc^{-1} = b = c^{-1}cac c^{-1}$

$$= e a e = a$$

Therefore  $b \sim a$ .

**iii). Transitive:**

Let  $a \sim b$  and  $b \sim c$ .

Then there exists an element  $x \in G$  such that  $b = x^{-1}ax$  and also there exists an element  $y \in G$  such that  $c = y^{-1}ay$ .

$$\begin{aligned}\text{Now } c &= y^{-1}ay \\ &= y^{-1} (x^{-1}ax) y \\ &= (y^{-1}x^{-1})a (xy) \\ &= (xy)^{-1} a (xy) \\ &= z^{-1}a z\end{aligned}$$

Therefore,  $a \sim c$ .

Hence the conjugacy relation is an equivalence relation.

Hence the lemma.

**Definition:**

Let  $a$  in  $G$ . Then  $C(a) = \{ x \in G / x \sim a \} = \{ x \in G / x = y^{-1}ay, y \in G \}$  where  $C(a)$  is called the **conjugate class of  $a$** .

**Definition:**

If  $a$  in  $G$  then  $N(a)$  is the **normalize of  $a$  in  $G$**  such that  $N(a) = \{ x \in G / ax = xa \}$ .

**Lemma 2.11.2**

Prove that  $N(a)$  is a sub group of  $G$ .

**Proof:**

Given that  $G$  is a group.

To prove that  $N(a)$  is a subgroup of  $G$ .

It is enough to prove that  $N(a)$  satisfies

i). Closure

ii). Associative

By definition of  $N(a)$ ,  $N(a)$  is a subset of  $G$ .

Since  $e$  and  $a$  in  $G$ ,  $ae = ea$

Hence  $e \in N(a)$ .

Therefore,  $N(a)$  is non-empty.

Now to prove closure:

Let  $x, y \in N(a)$ .

Then  $xa = ax$  and  $ya = ay$ .

Consider,

$$\begin{aligned}(xy)a &= x(ya) \\ &= x(ay) \\ &= (xa)y\end{aligned}$$

$$= (ax)y$$

That is,  $(xy)a = a(xy)$

Therefore,  $xy \in N(a)$ .

Closure is satisfied.

Now to prove the inverse:

Let  $x \in N(a)$ .

Then  $xa = ax$ .

Consider

$$\begin{aligned} x^{-1} a &= (x^{-1} a) (xx^{-1}) \\ &= ax^{-1} \end{aligned}$$

Hence  $x^{-1} \in N(a)$ .

Thus inverse is satisfied.

Therefore  $N(a)$  is a subgroup of  $G$ .

Hence the lemma proved.

### **Theorem 2.11.1 SECOND COUNTING PRINCIPLE**

If  $G$  is a finite group, then  $c_a = O(G) / O(N(a))$ ; in other words, the number of elements conjugate to  $a$  in  $G$  is the index of normalize of  $a$  in  $G$ .

**Proof:**

$$\begin{aligned} \text{For } a \in G, c(a) &= \{ x \in G / x \sim a \} \\ &= \{ x \in G / x = y^{-1}ay, y \in G \} \end{aligned}$$

Therefore  $c(a)$  consist exactly of all the elements  $x^{-1}ax$  as  $x$  ranges over  $G$ .

Hence  $c_a$  measures the number of distinct  $x^{-1}ax$ 's.

Now to show that two elements in the same right coset of  $N(a)$  in  $G$  yield the same conjugate of  $a$  whereas two elements in different right cosets of  $N(a)$  in  $G$  give rise to different conjugates of  $a$ .

In this way we shall prove that there exists a one-to-one correspondence between conjugates of  $a$  and right cosets of  $N(a)$ .

Suppose that  $x, y \in G$  are in the same right coset of  $N(a)$  in  $G$ .

thus  $y = nx$  where  $n \in N(a)$ .

So  $na = an$ .

Therefore, since  $y^{-1} = (nx)^{-1} = x^{-1}n^{-1}$ ,  $y^{-1}ay = x^{-1}n^{-1}anx = x^{-1}ax$ .

Thus we proved that two elements in the same right coset of  $N(a)$  in  $G$  yield the same conjugate of  $a$ .

On the other hand,  $x$  and  $y$  are in different cosets of  $N(a)$  in  $G$ .

We claim that  $x^{-1}ax \neq y^{-1}ay$ .

Let us assume that  $x^{-1}ax = y^{-1}ay$ .

Then  $x \in N(a)x$  and  $y \in N(a)y$

Now  $x^{-1}ax = y^{-1}ay$ .

Pre-multiply by  $x$  and post multiply by  $y^{-1}$  we get,

$N(a)x = N(a)y$ , which is a contradiction.

Hence two elements in different right cosets of  $N(a)$  in  $G$  give rise to different conjugates of  $a$ .

Thus we proved that one-to-one correspondence between conjugates of  $a$  and right cosets of  $N(a)$ .

Therefore  $c_a = \frac{O(G)}{O(N(a))}$ .

Hence the theorem.

**Corollary: CLASS EQUATION OF G**

$$O(G) = \sum \frac{O(G)}{O(N(a))}$$

where this sum runs over one element  $a$  in each conjugate class.

**Proof:**

By applying theorem 2.11.1, we have

$$O(G) = \sum \frac{O(G)}{O(N(a))}$$

Now consider  $c_a, c_b, \dots$  are distinct conjugate classes and also  $c_a \cup c_b \cup \dots = G$ .

Therefore,  $\sum c_a = O(G)$ .

Hence the equation  $O(G) = \sum \frac{O(G)}{O(N(a))}$ .

Hence the corollary was proved.

**Sub Lemma 1:**

Prove that  $a \in Z$  if and only if  $N(a) = G$ . If  $G$  is finite,  $a \in Z$  and only if  $O(N(a)) = O(G)$ .

**Proof:**

**Necessary Part:**

Let  $a$  in  $Z(G)$ .

To prove that  $N(a) = G$ .

By definition of  $N(a)$ ,  $N(a)$  is a subset of  $G$ .

By lemma 2.11.1,  $N(a)$  is a subgroup of  $G$ .

That is  $N(a) \subsetneq G \dots\dots\dots (1)$

Now to show that  $G \subseteq N(a)$ .

Let  $g$  in  $G$ .

Then  $ag = ga$ .

Therefore  $g$  is in  $N(a)$ .

Hence  $G \subseteq N(a)$  .....(2)

From equation (1) and (2),  $G = N(a)$ .

**Sufficient Part:**

Let  $G = N(a)$ .

To prove that  $a$  in  $Z(G)$ .

Let  $x$  in  $G$ .

Then  $xa = ax$ .

Hence  $a$  in  $Z(G)$ .

Let  $G$  be a finite group.

Let  $a$  in  $Z(G)$ .

Then  $N(a) = G$ .

Hence  $O(N(a)) = O(G)$ .

Hence the lemma was proved.

**Theorem 2.11.2**

If  $O(G) = p^n$  where  $p$  is a prime number then  $Z(G) \neq \{e\}$ .

**Proof:**

Let  $G$  be a finite group.



given that  $O(G) = p^n$  where  $p$  is a prime number.

To prove that  $Z(G) \neq \{e\}$ .

Let  $a$  in  $G$ .

Since  $N(a)$  is a subgroup of  $G$  and  $G$  is a finite group then by Langrange's theorem  $\frac{O(G)}{O(N(a))}$

Hence  $\frac{p^n}{O(N(a))}$ .

That is  $O(N(a)) = p^{na}$ , where  $1 \leq a \leq n$ .

If  $a$  is not in centre of  $G$  then by sub lemma 1  $O(N(a)) = O(G)$ .

Therefore  $p^n = p^{na}$ .

Hence  $n = na$ .

If  $a$  in  $Z(G)$  then  $na < n$ .

Consider the class equation

$$\begin{aligned} O(G) &= \sum \frac{O(G)}{O(N(a))} \\ &= \sum_{a \text{ in } Z(G)} \frac{O(G)}{O(N(a))} + \sum_{a \text{ not in } Z(G)} \frac{O(G)}{O(N(a))} \\ &= \frac{p^n}{p^{na}} + \sum_{a \text{ not in } Z(G)} \frac{O(G)}{O(N(a))} \\ &= z + \sum_{a \text{ not in } Z(G)} \frac{O(G)}{O(N(a))} \end{aligned}$$

$$p^n = z + \sum_{n < na} \frac{p^n}{p^{na}}$$

$$z = p^n - \sum_{n < na} \frac{p^n}{p^{na}} \dots\dots\dots (1)$$

$p$  divides the R.H.S of (1).

$p$  divides the L.H.S of (1).

Therefore  $p$  divides  $z$ , which gives  $p$  is either 0 or integral power of  $p$ .

Hence  $z$  is not equal to 0.

Therefore  $z$  must be a integral power of  $p$ .

Hence  $Z(G) \neq (e)$ .

**Corollary:**

If  $O(G) = p^2$  where  $p$  is a prime number then  $G$  is abelian.

**Proof:**

Suppose  $O(G) = p^2$  where  $p$  is a prime number

Now to prove that  $G$  is abelian.

It is enough to prove that  $G = Z(G)$  is abelian, where  $Z(G) = \{ x \text{ in } G \text{ such that } ax = xa \text{ for all } x \text{ in } G \}$ .

Since  $G$  is a finite group and  $Z(G)$  is a subgroup of  $G$  then by Lagrange's theorem,  $\frac{O(G)}{O(Z(G))}$

That is,  $\frac{p^2}{O(Z(G))} \dots\dots\dots(1)$

that is  $O(Z(G)) = 1$  or  $p$  or  $p^2$ .

By theorem 2.11.2,  $Z(G) \neq (e)$ .

That is,  $O(Z(G)) \neq 1$ .

Hence the possibilities are either  $p$  or  $p^2$ .

Suppose  $O(Z(G)) = p$ .

Then there exists an element  $a$  in  $G$  but not in  $Z(G)$ .

Since  $N(a)$  is a subgroup of  $G$  and  $G$  is a finite group again by lagrange's theorem  $\frac{O(G)}{O(N(a))}$

That is  $\frac{p^2}{O(N(a))}$ .

Hence  $O(N(a)) = 1$  or  $p$  or  $p^2$

Since  $N(a)$  is a subgroup of  $G$ ,  $a$  and  $e$  in  $N(a)$  we have  $O(N(a)) \neq 1$ .

Thus either  $O(N(a)) = p$  or  $p^2$

let  $z$  in  $Z(G)$ .

Then  $az = za$  for all  $a$  in  $G$ .

Hence  $Z(G)$  is a subset of  $N(a)$ .

Since  $a$  in  $N(a)$  and  $Z(G)$  is not equal to  $N(a)$  we have  $O(N(a)) \neq p^2$ .

Therefore  $O(N(a)) = O(G)$

Hence  $a$  is in  $Z(G)$ , which is a contradiction to our assumption that  $a$  does not belong to  $Z(G)$ .

Therefore  $Z(G) = G$ .

Thus  $G$  is abelian.

### **Example 2.11.1**

A group of order 121 is an abelian group.

#### **Solution:**

Let  $O(G) = 121 = 11^2$ .

By using above corollary, a group of order 121 is an abelian group.

### **Theorem 2.11.3 CAUCHY**

If  $p$  is a prime number and  $p \mid O(G)$  then  $G$  has an element of order  $p$ .

#### **Proof:**

Suppose  $G$  is a finite group and  $p \mid O(G)$ , where  $p$  is a prime number.

To prove  $G$  has an element of order  $p$ .

To prove that there exists an element  $a \neq e \in G$  such that  $a^p = e$ .

That is to prove that  $O(a) = p$ .

We prove this theorem by induction on  $O(G)$ .

Let  $O(G) = 1$ .

Therefore  $O(G) = \{e\}$  and  $e^1 = e$ .

Thus  $O(e) = 1$ .

Hence the theorem is true for  $O(G) = 1$ .

Assume that the theorem is true for all group of order is less than  $q$ .

Now we prove the theorem for  $O(G)$ .

Then there exists a subgroup  $H$  which is not equal to  $G$  such that  $p$  divides  $O(H)$ .

Hence the theorem is true for  $H$  because  $O(H) < O(G)$ .

Therefore  $O(a) = p$ .

Since  $a$  is in  $H$ ,  $a$  is also in  $G$ , there exists an element  $a$  is in  $G$  such that  $O(a) = p$ .

Thus we may assume that  $p$  is not a divisor of any proper subgroup of  $G$ .

Let  $Z(G)$  be the centre of  $G$ .

Consider the class equation

$$\begin{aligned} O(G) &= \sum \frac{O(G)}{O(N(a))} \\ &= \sum_{a \text{ in } Z(G)} \frac{O(G)}{O(N(a))} + \sum_{a \text{ not in } Z(G)} \frac{O(G)}{O(N(a))} \\ &= O(Z(G)) + \sum_{a \text{ not in } Z(G)} \frac{O(G)}{O(N(a))} \end{aligned}$$

$$O(Z(G)) = O(G) - \sum_{a \text{ not in } Z(G)} \frac{O(G)}{O(N(a))}$$

Hence  $p$  divides  $O(Z(G))$ .

Thus  $Z(G)$  is a subgroup of  $G$  whose order is divisible by  $p$ .

But we may assume that  $p$  does not divide any proper subgroup of  $G$ .

Hence  $Z(G) = G$ .

Since  $Z$  is an abelian and  $G$  is also an abelian group.

Therefore by applying Cauchy theorem for abelian group, the theorem is true for  $O(G)$ .

Thus  $G$  has an element of order  $p$ .

### **Lemma 2.11.3**

The number of conjugate classes in  $S_n$ , is  $p(n)$ , the number of partitions of  $n$ .

#### **Proof:**

Let the permutation be  $(1\ 2)$  in  $S_n$ . There are  $(n-2)!$

Also  $(1\ 2)$  commutes with itself.

This way we get  $2(n-2)!$  elements in the group generated by  $(1\ 2)$  and the  $n(n-1)/2$  transpositions and these are conjugates of  $(1,2)$ .

By counting principle

$$\frac{n(n-1)}{2} = \frac{O(S_n)}{r} = \frac{n!}{r}$$

Thus  $r = 2(n-2)!$ .

That is the order of the normalizer of  $(1,2)$  is  $2(n-2)$ .

Now any  $n$ -cycle is conjugate to  $(1,2,\dots,n)$  and there are  $(n-1)!$  distinct  $n$ -cycles in  $S_n$ .

Thus if  $u$  denotes the order of the normalizer of  $(1,2,\dots,n)$  in  $S_n$ ,  $O(S_n) / u = \text{number of conjugates of } (1,2,\dots,n) \text{ in } S_n = (n-1)!$

Therefore  $u = \frac{n!}{(n-1)!} = n$ .

Hence the order of the normalizer of  $(1,2,\dots,n)$  in  $S_n$  is  $n$ .

The powers of  $(1,2,\dots,n)$  having given as  $n$  such elements.

Hence the lemma was proved.

### **Theorem 2.12.1 First part of Sylow's Theorem**

If  $P$  is a prime number and  $P^\alpha | O(G)$  then  $G$  has a subgroup of order  $P^\alpha$ .

Proof:

Given  $P$  is a prime number and  $P^\alpha | O(G)$

$\implies O(G) = P^\alpha m$

We know that,  $nC_k = \frac{n!}{k!(n-k)!}$  -----(1)

Let  $n = P^\alpha m$

Where  $P$  is a prime number and if  $P^\alpha | m$  but  $P^{\alpha+1} \nmid m$

Take  $k = P^\alpha$  substitute this in (1)

We get,  $P^\alpha m C_{P^\alpha} = \frac{P^\alpha m!}{P^\alpha!(P^\alpha m - P^\alpha)!}$

$P^\alpha!(P^\alpha m - P^\alpha)!$

$= P^\alpha (P^{\alpha m-1}) (P^{\alpha m-2}) \dots (P^{\alpha m-1}) \dots (P^{\alpha m - P^\alpha + 1})$

$P^\alpha (P^{\alpha-1}) \dots (P^{\alpha-i}) \dots (P^{\alpha m - P^\alpha + 1})$

$= P^\alpha m (P^{\alpha m-1}) \dots (P^{\alpha m-1}) \dots (P^{\alpha m - P^\alpha + 1}) P^\alpha (P^{\alpha-1}) \dots (P^{\alpha-i}) \dots 3.2.1$

Now, we show that the power of  $P$  dividing  $(P^\alpha m - i)$  in the numerator is the same as the power of  $P$  dividing  $(P^{\alpha m-i})$  in the denominator.

Let  $P^\alpha (P^{\alpha-1}) \dots (P^{\alpha-i}) \dots$  -----(2)

$\implies P^{\alpha-i} = aP^k$  where  $k \leq \alpha$

$\implies -i = aP^k - P^\alpha$

Add both sides by  $P^\alpha m$ ,

We get,

$$\begin{aligned}
P^{\alpha}m-i &= aP^k \cdot P^k + P^{\alpha}m \\
&= aP^k + P^{\alpha}(m-1) \\
P^{\alpha}m-i &= P^k[a + P^{\alpha-k}(m-1)] \\
\implies P^k | P^{\alpha}m-i
\end{aligned}$$

Conversely,

Let  $P^k$  divides  $P^{\alpha}m-i$

$$\implies P^{\alpha}m-1 = aP^k = P^{\alpha}-i$$

$$\implies aP^k = P^{\alpha}-i$$

$$\implies P^k | P^{\alpha}-i$$

Hence, all the powers of  $P$  cancel out except the power which divides  $m$ .

Thus,  $P^r | P^{\alpha}m \subset P^{\alpha}$  but  $P^{r+1} \nmid P^{\alpha}m \subset P^{\alpha}$ .

Let  $M$  be the set of all subsets of  $G$  which have  $P^{\alpha}$  elements.

Thus,  $M$  has  $P^{\alpha}m \subset P^{\alpha}$  elements. Given  $M_1, M_2 \in M$ . Since  $M$  is a subset of  $G$  having  $P^{\alpha}$  elements on likewise  $M_1$  define  $M_1 \sim M_2$ , if there exist an element  $g \in G$  such that  $m_1 = m_2g$ . Now To prove the relation, ' $M$ ' is an equivalence relation on  $M$ ,

### 1) Reflexive:

Since  $M_1 = M_1e \therefore M_1 = M_2$ .

### 2) Symmetric:

Let  $M_1 \sim M_2$  then  $M_1 = M_2g$  where  $g \in G$

$$\therefore M_1g^{-1} = M_2$$

$\therefore$  there exist  $g^{-1} \in G$  such that  $M_2 = M_1g^{-1} \therefore M_2 \sim M_1$

### 3. Transitive:

Let  $M_1 \sim M_2$  and  $M_2 \sim M_3 \therefore$  There exist  $g_1 \in G$  such that  $M_1 = M_2g_1$  and

$g_2 \in G$  such that  $M_2 = M_3g_2 \therefore M_1 = M_3g_2g_1$

$M_3 g_2 g_1 = M_{3(g_2 g_1)} = M_3 g \therefore M_1 \sim M_3$  Hence the relation ' $\sim$ ' is an equivalence relation.

We claim that there is atleast one equivalent class of  $M$  such that the

number of elements in the class is not a multiple of  $P^{r+1}$  for if  $P^{r+1}$  is a divisor of

the size of each equivalence class then  $P^{r+1}$  is also a divisor of the number of

elements in  $M$ , which is not possible.

Since  $M$  has  $P^a m C P^a$  elements and  $P^{r+1} \nmid P^a m C P^a$  Let  $\{M_1, M_2, \dots, M_n\}$  be such an equivalence class in  $M$  where  $P^{r+1}$  does not divide  $n$ .

By our definition of equivalence class in  $M$ ,  $g \in G$  for each  $i=1, 2, \dots, n$

$$M_i g = M_j \text{ for some } j, 1 \leq j \leq n$$

$$\text{Let } H = \{g \in G / M_1 g = M_1\}$$

Since  $g \in G$ ,  $H$  is a subset of  $G$

To prove:  $H$  is a subgroup of  $G$

$$\therefore e \in H$$

Hence  $H$  is non-empty.

Let  $g_1, g_2 \in H$  Then  $M_1 g_1 = M_1$  and  $M_1 g_2 = M_1$

$$\text{Now, } M_1 (g_1 g_2) = M_1 g_1 g_2 = M_1 g_2 = M_1$$

$$\therefore g_1 g_2 \in H$$

$\therefore$  Closure is satisfied.

Let  $g \in H$  then  $M_1 g = M_1$

$$\implies M_1 = M_1 g^{-1}$$

$$\implies g^{-1} \in H$$

$\therefore$  Inverse is also satisfied.



Hence H is a subgroup of G.

Now we show that there exist a one-one correspondence between the equivalence class  $\{M_1, M_2, \dots, M_n\}$  and the set of all right cosets of H in  $G = \{H_g / g \in H\}$ .

Let  $M_1 g_1 = M_2 g_2$

$$\Leftrightarrow M_1 g_1 g_2^{-1} = M_2$$

$$\Leftrightarrow g_1 g_2^{-1} \in H$$

$$\Leftrightarrow H g_1 g_2^{-1} = H \Leftrightarrow H g_1 = H g_2$$

$\therefore$  There exists a one-one correspondence between

the equivalence class and the set of all right coset of H in G.

Hence G is a finite group and H is a subgroup of G.

Then by Lagrange's theorem,  $|G| = |H| \cdot n$

Again, by using 2<sup>nd</sup> counting principle  $|G| =$

$|H| \cdot n$  = the number of distinct right cosets

of H in G.

Here the number of elements in the equivalence class is n,

$$\text{i.e., } |G| = |H| \cdot n$$

$$\text{i.e., } |G| = n |H|$$

$$p^{r+1} \nmid p^{\alpha} m \text{ and } p^{r+1} \nmid n$$

$$\text{i.e., } p^{r+1} \nmid n |H|$$

It follows that  $p^{\alpha} \mid |H|$

$$\Rightarrow |H| \geq p^{\alpha} \text{-----(3)}$$

Let if  $m_1 \in M_1$  and  $\forall h \in H$  Then  $m_1 h \in H$  Thus,  $M_1$  has at least order of H distinct element. However

$$M_1 \text{ is a subset containing } p^{\alpha} \text{ elements } p^{\alpha} \geq |H| \text{-----(4)}$$

From equation (3) & (4)

$$p^{\alpha} = |H|$$

Hence, H is a subgroup of G having  $p^{\alpha}$  elements.

Hence the proof.

### **COROLLARY:**

If  $p^m \mid |G|$  and  $p^{m+1} \nmid |G|$  then G has a subgroup of order  $p^m$ .

**Proof:**

Suppose  $p^m/o(G) \nmid p^{m+1}/o(G)$

To prove :  $G$  has a subgroup of order  $p^m$ .

By using first part of sylow's theorem

We get a subgroup of order  $p^m$ .

**Definition:**

Let  $n(k)$  be defined by  $p^{n(k)}/p^{(k)}!$  but  $p^{n(k)+1}/p^{(k)}!$ .

**Definition :**

subgroup of  $G$  of order  $p^m$  where  $p^m/o(G) \nmid p^{m+1}/o(G)$  is called a  $p$  sylow subgroup of  $G$ .

**Lemma 2.12.1**

Prove that  $n(k) = 1 + p + \dots + p^{k-1}$

Proof:

By the define of  $n(k)$ ,  $p^{n(k)}/p^{(k)}$  , but  $p^{n(k)+1}/p^{(k)}!$

We know that

$$p! = 1.2.....(p-1)p$$

Hence  $p/p!$  but  $p^2/p!$  if  $k=1$  then  $n(1) = 1$

Now  $p^{(k)}! = 1.2.....2p....3p.....p^{k-1}.p$

It is the expansion of  $p^{(k)}!$

It is also the multiplies of  $p$ .

Hence the powers of  $p$  dividing  $p^{(k)}!$

$N(k)$  must be the powers of  $p$  which divides  $(p) (2p) (3p).....(p^{k-1}.p)$ .

(i.e)  $(p) (2p) (3p)..... (p^{k-1}.p) = p^{i(k-1)}(p^{k-1}j)!$

But  $n(k) = n(k-1) + p^{k-1}$

& also  $n(k-1) - n(k-2) = p^{k-2}$

$$N(k-2) - n(k-3) = p^{k-3}$$

$$n(2) - n(1) = p^{-1} \text{ (i.e) } n(1) = 1.$$

Adding these we get

$$n(k) = p^{k-1} + p^{k-2} + \dots + 1 \text{ (i.e) } n(k) = 1 + p + \dots + p^{k-1}$$

Hence the Lemma.

### **Lemma 2.12.2**

$S_p^k$  has a  $p$ -sylow subgroup

proof:

If  $k=1$ , then the element  $(1 \ 2 \ \dots \ p)$ , is  $s_p$  is of order  $p$ , so generated a subgroup of order  $p$ .

since  $n(1)=1$ , suppose that the result is correct for  $k-1$

we show that, it that must follow for  $k$ . Divide the integers  $1, 2, \dots, p^k$  into  $p$ .

$$\{1, 2, \dots, p^{k-1}\}, \{p^{k-1}+1, p^{k-1}+2, \dots, 2p^{k-1}\}, \dots, \{(p-1)p^{k-1}+1, \dots, p^k\},$$

The permutation  $\sigma$  defined by  $\sigma = (1, p^{k-1}+1, 2p^{k-1}+1, \dots, (p-1)p^{k-1}+1) \dots (j, p^{k-1}+j, 2p^{k-1}+j, \dots, (p-1)p^{k-1}+j, \dots, p^k)$

each  $p_i$  is isomorphic to  $p_1$  so has order  $p^{n(k-1)}$

$\therefore p$ -sylow subgroup of  $s_p^k$ .

### **DEFINITION :**

Let  $G$  be a group,  $A, B$  two subgroups of  $G$ . if,  $x, y \in G$  defined  $x \sim y$  if  $y = axb$  where  $a \in A, b \in B$ .

### **Lemma : 2.12.3**

The relation define above is an equivalence relation of  $G$ , the equivalence class  $x \in G$  is the set,  $AxB = \{ axb/a \in A, b \in B \}$ .

**Proof:**

Here the set  $AxB$  is a double coset of  $a, b$  in  $G$ . Now to prove that the relation  $x \sim y$ .

If  $y = axb, a \in A, b \in B$  is an equivalence relation.

**Reflexive :**

To prove  $x \sim x$

$\rho_1 \in A, \rho_2 \in B$ . We can write  $x$  as  $\rho_1 x \rho_2$

$$\therefore x \sim x.$$

**Symmetric :**

Let  $x \sim y$

To prove :  $y \sim x$ . Here  $x \sim y$ ,  $y$  can be written as  $y = axb, a \in A, b \in B$

$$\begin{aligned} a^{-1} \in A, b^{-1} \in B, \text{ Now } a^{-1} y b^{-1} &= a^{-1} (axb) b^{-1} \\ &= (a^{-1} a) x (b b^{-1}) \\ &= x. \end{aligned}$$

$$\therefore y \sim x.$$

**Transitive :**

Let  $x \sim y$  &  $y \sim z$

To prove :  $x \sim z$

$$\begin{aligned} x \sim y &\Rightarrow y = a_1 x b_1 \\ y \sim z &\Rightarrow z = a_2 x b_2, a_1 a_2 \in A, b_1 b_2 \in B \\ &= a_2 (a_1 x b_1) b_2 \\ &= (a_2 a_1) x (b_1 b_2) \\ &= c_1 x c_2 \\ \therefore x &\sim z. \end{aligned}$$

Here the given relation is an equivalence relation.

**Definition :**

A subgroup of  $G$  of order  $p^m$  where  $p^m \mid o(G)$  but  $p^{m+1} \nmid o(G)$  is called a  $p$  sylow subgroup of  $G$ .

**Lemma:2.12.4:**

If  $A, B$  are finite subgroup of  $G$  then  $o(AB) = o(A) \cdot o(B) / o(A \cap B)$

proof;

Given that  $G$  is a finite group and  $A, B$  are finite subgroups of  $G$ .

To prove that :  $o(AB) = o(A) \cdot o(B) / o(A \cap B)$

The set  $Bx^{-1}$  is defined as

$$Bx^{-1} = \{ bx^{-1} / b \in B \}$$

first we want to p.t  $Bx^{-1}$  is a subgroup of  $G$ .

$$\text{let } xb_1x^{-1}, xb_2x^{-1} \in Bx^{-1}, b_1, b_2 \in B$$

$$\text{Now } (xb_1x^{-1})(xb_2x^{-1}) = xb_1x^{-1}xb_2x^{-1}$$

$$= xb_1(x^{-1}x)b_2x^{-1} = Bx^{-1} [\because b_1b_2 \in B]$$

$\therefore Bx^{-1}$  is a subgroup of  $G$ .

Here, we get  $A$  and  $Bx^{-1}$  are two finite subgroup of  $G$ .

Now, By using “First counting principle”

“ If  $H$  &  $K$  are finite subgroup of  $G$  then  $o(HK) = o(H)o(K)/o(H \cap K)$

we write,

$$o(ABx^{-1}) = o(A) \cdot o(Bx^{-1}) / o(A \cap Bx^{-1})$$

$$(i.e) o(ABx^{-1}) = o(A) \cdot o(B) / o(A \cap Bx^{-1}) \text{-----}(1) [\because o(Bx^{-1}) = o(B)]$$

Now to prove that  $o(ABx^{-1}) = o(AB)$ .

consider the mapping  $f: AB \rightarrow ABx^{-1}$  such that  $f(AXB) = AXB^{-1}$ , where  $a \in A, b \in B$ .

To prove :  $f$  is one-one and onto

$$a_1xb_1, a_2xb_2 \in AB$$

To prove  $f$  is one-one and onto

$$axb_1, a_2xb_2 \in AxB$$

$$\therefore f(a_1xb) = f(a_2xb_2)$$

$$a_1xb_1 = a_2xb_2$$

f is one-one

Now to prove : f is onto

Let  $axbx^{-1} \in AxBx^{-1}$ , where  $a \in A, b \in B$   $a \in axb \in AxB$ ,

Here  $f(axb) = axbx^{-1}$

Hence f is on to.

Thus there is a onto corresponding between  $AxB$  &  $AxBx^{-1}$

$$\therefore o(AxB) = o(AxBx^{-1})$$

Substituting in equation (1) we get ,

$$o(AxBx^{-1}) = [o(A).o(B)]/o(A \cap Bx^{-1}) \rightarrow 1$$

$$o(AxB) = [o(A).o(B)]/o(A \cap B)$$

Hence proved.

### Lemma 2.12.5

Let G be a finite group and suppose that G is a subgroup of the finite group M. suppose further that M has a sylow subgroup Q . Then G has a p-sylow subgroup p. In fact,  $p = G \cap xQx^{-1}$  for some  $x \in M$ .

**Proof :**

suppose that  $p^m/o(M), p^{m+1} \nmid o(M)$  , Q is a subgroup of M of order  $p^m$ .

Let  $o(G) = p^n t$  where  $p \nmid t$

By Lemma 2.12.4

$p$  is a subgroup of  $G$  and has order  $p^n$ , the lemma is proved.

### **THEOREM: 2.12.2 SECOND PART OF SYLOW'S THEOREM**

If  $G$  is a finite group,  $P$  is a prime and  $P^n | O(G)$  but  $P^{n+1} \nmid O(G)$  then any two subgroup of  $G$  order  $P^n$  are conjugate.

**Proof:**

Let  $A, B$  be subgroup of  $G$ , each of order  $P^n$  where  $P^n | O(G)$

but  $P^{n+1} \nmid O(G)$ ----- (1)

$$\therefore O(A) = O(B) = P^n$$

To prove that  $A$  and  $B$  are conjugate in  $G$ .

It is enough to prove that  $A = gBg^{-1}$  for some  $g \in G$ .

Let if equation (1) is possible then  $A = xBx^{-1} \forall x \in G$

Now we decompose  $G$  into double cosets of  $A$  and  $B$ .

$$\therefore G \text{ can be written as } G = \cup AxB$$

Now by using  $O(AxB) = O(A) O(B)$  ----- (2)

$O(A \cap xBx^{-1})$  Here  $A$  and  $B$  are subgroups of  $G$  and  $O(A) = O(B) = P^n$  and also  $A \cap xBx^{-1}$  is a proper subgroup of  $G$  if  $A \neq xBx^{-1} \forall x \in G$

Then  $O(A \cap xBx^{-1}) = P^m$  where  $m < n$

$\therefore$  Equation (2) becomes  $O(AxB) = P^n \cdot P^m = P^{2m-n}$

$$\implies n-m > 0$$

$$\implies n-m \geq 1$$

The above relation  $P^{n+1} | O(AxB)$  for every  $x$ .

Since,  $O(G) = \sum O(AxB)$  which is a contradiction to our assumption that

$P^{n+1} \nmid O(G)$ .

Hence  $A = gBg^{-1}$  for some  $g \in G$ . Hence  $A$  and  $B$  are conjugate in  $G$ .

### Lemma 2.12.6

The number of  $p$ -syllow subgroups in  $G$  equals  $o(G)/o(N(p))$ , Where  $p$  is any  $p$ -syllow subgroup of  $G$ . In particular, this number is a divisor of  $o(G)$ .

#### Proof:

$P$ -syllow subgroups for a given prime  $p$ , in  $G$ .

### Theorem: 2.12.3 THIRD PART OF SYLOW THEOREM:

Prove that the number of  $p$ -syllow subgroups in  $G$  for a given prime is of the form  $1+kp$ .

#### Proof:

Let  $p$  be a  $p$ -syllow subgroup of  $G$

To prove that the number of  $p$ -syllow subgroup in  $G$  is of the form  $1+kp$  where  $p$  is a prime number.

Now, we decompose  $G$  is a double cosets of  $p$  and  $p$ .

Thus  $G = \cup xpx$

By using theorem 2.12.14

$$o(xpx) = [o(p) \cdot o(p)] / o(p \cap xpx^{-1}) \text{-----(1)}$$

$$o(xpx) = (o(p))^2 / o(p \cap xpx^{-1}) \text{-----(2)}$$

$$\text{Also } o(G) = \sum o(xpx) \text{-----(3) [By eqn(1)]}$$

If  $p \cap (xpx^{-1}) \neq p$  then  $p^{n+1} / o(xpx)$



where  $o(p) = p^n$ ------(4)

Also, if  $x \in N(p)$

then  $pxp = p(xp)$

$$= p(px) = (pp)x$$

(i.e)  $pxp = px$ .

$$\therefore u(pxp) = Upx$$

Since  $p < N(p)$ ,  $\sum_{x \in N(p)} o(pxp) = o(N(p))$ ------(5)

eqn(5) becomes

$$o(G) = \sum_{x \in N(p)} o(pxp) + \sum_{x \notin N(p)} o(pxp)$$
------(6)

where each sum runs over one element from each double cosets.

If  $x \notin N(p)$  then  $xpx^{-1} \neq p$

$$\Rightarrow p \cap xpx^{-1} < p$$

$$\Rightarrow o(p \cap xpx^{-1}) / o(p)$$

$$\Rightarrow o(p \cap xpx^{-1}) = p^m \text{ where } m < n$$

Equation (3) becomes

$$o(pxp) = p^n p^m / p^m \text{ where } m < n,$$

$$o(pxp) = p^{n+(n-m)}$$

Since  $n-m > 0$  and  $n - m \geq 1$ , it follows

That  $p^{n+1} / o(pxp) \forall x \notin N(p)$

$$\Rightarrow P^{n+1} / \sum_{x \notin N(p)} o(pxp) = p^{n+1} \cdot u$$
 ------(7) for some integer u

Using (5) and (7) in equation (6) we get

$$O(G) = o(N(p)) + p^{n+1} \cdot u$$

$$O(G)/o(N(p)) = 1 + [p^{n+1} \cdot u]/o(N(p)) \text{ -----(8)}$$

Since  $N(p)$  is subgroup of  $G$  and  $G$  is finite group

By Lagrange's theorem.

$o(G)/o(N(p))$  and it is an integers.

Since  $p$  is a  $p$ -sylow's subgroup of  $G$  and by defn  $p^n \mid o(G)$  and  $p^{n+1} \nmid o(G)$

Hence  $p^{n+1}$  cannot divide  $o(N(p))$ .

But,  $p^{n+1} \cdot u/o(N(p))$  must be divisible by  $p$ .

$p^{n+1} \cdot u/o(N(p))$  is of the form  $k_p$ .

where  $k$  is an integers.

(i.e)  $p^{n+1} \cdot u/o(N(p)) = kp$

Eqn(8) becomes,

$$o(G)/o(N(p)) = 1 + kp,$$

Hence, the number of  $P$ - sylow's sub groups in  $G = 1+kp$ .

## **UNIT II - FIELDS, VECTORS SPACES, MODULES**

**18hrs**

Direct products – Finite abelian groups – Modules

**Chapter 2: Sections 2.13 and 2.14** [only theorem 2.14.1]

**Chapter 4: Section 4.5**

### **2.13 DIRECT PRODUCTS**

#### **Section 2.13 GROUPS AND MODULES**

##### **Introduction**

Let A and B be any two groups and consider the Cartesian product  $G = A \times B$  of A and B.

G consist of all ordered pairs A,B. where  $a \in A, b \in B$ . In this way we define the product of  $(a_1, b_1)$  &  $(a_2, b_2)$  is  $(a_1, b_1) (a_2, b_2) = (a_1 a_2, b_1 b_2)$ . Now we prove the Cartesian product  $G = A \times B$  is a group.

### (i) Closure

Let  $a_1, b_1$  and  $a_2, b_2 \in A \times B = G$  Where  $a_1, a_2 \in A$  and  $b_1, b_2 \in B$

Now,  $(a_1, b_1) \cdot (a_2, b_2) = (a_1 a_2, b_1 b_2) \in G$

$$= A \times B$$

Therefore closure is satisfied.

### (ii) Associative

Let  $(a_1, b_1), (a_2, b_2), (a_3, b_3) \in G = A \times B$

Consider,  $(a_1, b_1) [(a_2, b_2) (a_3, b_3)] = (a_1, b_1) (a_2 a_3, b_2 b_3) = (a_1 a_2 a_3, b_1 b_2 b_3) \text{-----}(1)$

Similarly

$$[(a_1, b_1) (a_2, b_2)] (a_3, b_3) = (a_1 a_2, b_1 b_2) (a_3, b_3) = (a_1 a_2 a_3, b_1 b_2 b_3) \text{-----}(2)$$

### (iii) Identity

Let  $e$  and  $f$  be the identity elements of A and B respectively,

Now  $(a, b) (e, f) = (ae, bf) = (a, b)$

Also  $(e, f) \cdot (a, b) = (ea, fb) = (a, b)$

### (iv) Inverse

Let  $(a_1, b_1), (a_1^{-1}, b_1^{-1}) \in G$

Now  $(a_1, b_1) \cdot (a_1^{-1}, b_1^{-1}) = (a_1 a_1^{-1}, b_1 b_1^{-1}) = (e, f)$

$$= (e, f)$$

Hence  $G = A \times B$  is a group.

### Internal direct product

Let  $G$  be a group and  $N_1, N_2, N_3, \dots, N_n$  be the normal subgroups of  $G$  such that,

- 1)  $G = N_1 N_2 N_3 \dots N_n$ .
- 2) Given  $g \in G$  then  $g = m_1 m_2 \dots m_n$  where  $m_i \in N_i$  in a unique way then we can say that  $G$  is the internal direct product of  $N_1, N_2, N_3, \dots, N_n$ .

### Result

If  $G$  is the internal direct product of the groups  $A$  and  $B$  then  $G$  is the internal direct product of  $\bar{A}$  and  $\bar{B}$  where  $\bar{A} = \{(a, f) / a \in A\}$  and  $\{(e, b) / b \in B\}$ . Here  $e$  and  $f$  are identity elements of  $A$  and  $B$  respectively. Also prove that,  $A \cong \bar{A}$  and  $B \cong \bar{B}$  (or)

If  $G = A \times B$  then prove that,  $G = \bar{A} \bar{B}$

### Proof:

Given,  $G = A \times B$

Where  $A$  and  $B$  are any two groups of  $G$

To prove that,  $A \cong \bar{A}$  and  $B \cong \bar{B}$

Define a mapping  $\phi: A \rightarrow \bar{A}$  by  $\phi(a) = (a, f)$  for all  $a \in A$

Now to prove one to one, Let  $\phi(a_1) = \phi(a_2)$  that is  $(a_1, f) = (a_2, f) \Rightarrow a_1 = a_2$

Therefore  $\phi$  is one to one.

Now to prove,  $\phi$  is onto

Let,  $(a, f) \in \bar{A} \Rightarrow a \in A$  and  $f$  is the identity element of  $A$

Therefore  $\phi(a) = (a, f)$ , Hence  $\phi$  is onto

Now to prove,  $\phi$  is homomorphism,

Let,  $(a_1, a_2) \in A$  then (i)  $(a_1 a_2, f) = (a_1, f) \cdot (a_2, f)$  that is  $\phi(a_1 a_2) = \phi(a_1) \cdot \phi(a_2)$

(ii)  $(a_1 + a_2, f) = (a_1, f) + (a_2, f)$  that is  $\phi(a_1 + a_2) = \phi(a_1) + \phi(a_2)$

Therefore  $\phi$  is homomorphism. Hence,  $A \cong \bar{A}$

Similarly We can prove that  $B \cong \bar{B}$

Next we want to prove that  $G$  is the internal direct product of  $\bar{A}$  and  $\bar{B}$  that is to prove that,

(i)  $\bar{A}$  is the normal subgroup of  $G$  and  $\bar{B}$  is the normal subgroup of  $G$

(ii) Every element  $g \in G$  can be written  $G = \bar{a} \bar{b}$  for all  $a \in A, b \in B, \bar{a} \in \bar{A}, \bar{b} \in \bar{B}$

Now to prove  $\bar{A}$  is the normal subgroup of  $G$ , Let  $(a, f), (b, f) \in \bar{A}$ ,

Now,  $(a, f) \cdot (b, f)^{-1} = (a, f) \cdot (b^{-1}, f)$

Therefore  $\bar{A}$  is a subgroup of  $G$ . since,  $\bar{A} \subset G = A \times B$  and  $(a, f) \in \bar{A}$  that is  $(a, f) \in G$

Therefore,  $\bar{A} \subset G$

Let,  $(a, b) \in G$  and  $(a, f) \in \bar{A}$

Now,  $(a, b) (a, f) (a, b)^{-1} = (a, b) (a, f) (a^{-1} b^{-1})$

$$= (a a a^{-1}, b f b^{-1})$$

$$= (a e, f b b^{-1})$$

$$= (a, f) \in \bar{A}$$

Therefore  $\bar{A}$  is normal subgroup of  $G$

Similarly  $\bar{B}$  is normal subgroup of  $G$

Hence we have an isomorphic copy  $\bar{A}$  of  $A$  and  $\bar{B}$  of  $B$  in  $G$  which is a normal subgroup of  $G$ .

Now we claim that  $G = \bar{A} \bar{B}$  for all  $g \in G$  is a unique decomposition in the form,  $g = \bar{a} \bar{b}$  .  
 where,  $\bar{a} \in \bar{A}$  ,  $\bar{b} \in \bar{B}$

Now,  $G = A \times B$

Let  $g \in G$  , then  $g = (a,b)$ , where  $a \in A$  ,  $b \in B$

$$= (a,e).(f,b)$$

Since,  $(a,e) \in \bar{A}$  and  $(f,b) \in \bar{B}$

Therefore  $g = \bar{a} \bar{b}$  with  $\bar{a} = (a,e)$ ,  $\bar{b} = (f,b)$  that is  $g \in \bar{A} \bar{B}$

Now to prove, this representation is unique.

Let  $G = \bar{x} \bar{y}$  , where  $\bar{x} = (x,e)$  and  $\bar{y} = (f,y)$  then,

$$g = (x,e) . (f,y)$$

$$= (xf,ey)$$

$$= (x,y)$$

But  $g = \bar{a} \bar{b}$  , Therefore,  $a=x$  and  $b=y$

Hence  $G$  is the internal direct product of  $\bar{A}$  and  $\bar{B}$  .

### **Lemma 2,13.1**

Suppose that  $G$  is the internal direct product of  $N_1, N_2 \dots N_n$  then for  $i \neq j$ ,  $N_i \cap N_j = \{e\}$  and if  $a \in N_i, b \in N_j$  then  $ab=ba$ .

### **Proof:**

Given that ,  $G$  is the internal direct product of  $N_1, N_2 \dots N_n$ .

Therefore  $N_1, N_2 \dots N_n$

Where,  $N_1, N_2 \dots N_n$  are normal subgroup of  $G$ .

If  $g \in G$  then by definition of internal direct product of  $g = m_1, m_2 \dots m_n$  in a unique way.

Where,  $m_i \subseteq N_i$

Now to prove  $N_i \cap N_j = \{e\}$  for all  $i \neq j$

Suppose that,  $x \in N_i \cap N_j \Rightarrow x \in N_i$  and  $x \in N_j$  then we can write 'x' as

$$x = e_1 e_2 \dots e_{i-1} x e_{i+1} \dots e_j \dots e_n \text{-----(I)}$$

Where  $e_i = e$ , viewing  $x$  as an element in  $N_i$ .

$$\text{Similarly We can write, } x \text{ as } x = e_1 e_2 \dots e_i \dots e_{j-1} x e_{j+1} \dots e_n \text{-----(II)}$$

Where  $e_i = e$ , viewing  $x$  as an element in  $N_j$ . But,  $x$  as a unique representation in the form  $m_1 m_2 \dots m_n$ , Where  $m_1 \in N_1, m_2 \in N_2 \dots m_n \in N_n$

From the equations (I) and (II)

The two decomposition in these form for 'x' must coincide, the entry from  $N_i$  in each must be equal. In our first decomposition(I). This entry is 'x' in the 2<sup>nd</sup> decomposition

Hence,  $x = e$ , Thus  $N_i \cap N_j = \{e\}$  for all  $i \neq j$

Suppose  $a \in N_i, b \in N_j$  and  $i \neq j$  then  $aba^{-1} \in N_j$  and since  $N_j$  is the normal subgroup of  $G$ .

Thus,  $aba^{-1}b^{-1} \in N_j$ , (since  $b \in N_j, b^{-1} \in N_j$ )

Similarly,  $a^{-1} \in N_i, ba^{-1}b^{-1} \in N_i$ , where  $aba^{-1}b^{-1} \in N_i$ ,

But then  $aba^{-1}b^{-1} \in N_i \cap N_j = \{e\}$

$$aba^{-1}b^{-1} = e$$

$$ab(ba)^{-1} = e$$

$$ab = e(ba) \text{ Hence the proof.}$$

### Lemma 2.131

Let  $G$  be a group and suppose that  $G$  is the internal direct product of  $N_1, N_2, \dots, N_n$ .

Let  $T = N_1 \times N_2 \times \dots \times N_n$ . then  $G$  and  $T$  are isomorphic.

**Proof:**

Given that,  $G$  is the group and also  $G$  is the internal direct product of  $N_1, N_2, \dots, N_n$ .

Also given that,  $T = N_1 \times N_2 \times \dots \times N_n$

To prove,  $G$  and  $T$  are isomorphic. Define the mapping,  $\psi: T \rightarrow G$  by  $\psi(b_1, b_2, \dots, b_n) = b_1 b_2 \dots b_n$

Where, each  $b_i \in N_i, i=1, 2, \dots, n$ . We claim that  $\psi$  is the isomorphism of  $T$  onto  $G$ .

Now to Prove,  $\psi$  is one to one.

Let,  $x, y \in T$  then  $x = (a_1, a_2, \dots, a_n)$  and  $y = (b_1, b_2, \dots, b_n)$  such that,  $\psi(x) = \psi(y)$

$$\Rightarrow \psi(a_1, a_2, \dots, a_n) = \psi(b_1, b_2, \dots, b_n)$$

$$\Rightarrow (a_1, a_2, \dots, a_n) = (b_1, b_2, \dots, b_n)$$

$$\Rightarrow x_i = y_i$$

$$\Rightarrow x = y$$

Therefore  $\psi$  is one to one.

Now to prove,  $\psi$  is onto

Since,  $G$  is the internal direct product of  $N_1, N_2, \dots, N_n$  and if  $x \in G$  then  $x = (a_1, a_2, \dots, a_n)$  for some  $a_1 \in N_1, a_2 \in N_2, \dots, a_n \in N_n$ . But then,

$$\psi(a_1, a_2, \dots, a_n) = a_1 a_2 \dots a_n = x, \text{ Therefore } \psi \text{ is onto}$$

The mapping  $\psi$  is one to one by uniqueness of the representation of every element as a product of element of the form,  $N_1, N_2, \dots, N_n$ . For if,  $\psi(a_1, a_2, \dots, a_n) = c_1 c_2 \dots c_n$ . Where,  $a_i \in N_i, c_i \in N_i$  for  $i = 1, 2, \dots, n$ .

Then by definition of  $\psi$ ,  $a_1 a_2 \dots a_n = c_1 c_2 \dots c_n$ .

$$\Rightarrow a_i = c_i, \quad i=1, 2, \dots, n.$$

Thus  $\psi$  is one to one



Now to show that,  $\psi$  is a homomorphism of  $T$  onto  $G$ .

If  $x = (a_1, a_2, \dots, a_n)$ ,  $y = (b_1, b_2, \dots, b_n)$  are the elements of  $T$ .

$$\begin{aligned}\text{Then, } \psi(xy) &= \psi[(a_1, a_2, \dots, a_n) (b_1, b_2, \dots, b_n)] \\ &= \psi(a_1 b_1, a_2 b_2, \dots, a_n b_n) \\ &= a_1 b_1, a_2 b_2, \dots, a_n b_n \quad \text{by lemma(2.13.1)}\end{aligned}$$

$$a_i b_j = b_j a_i \text{ for } i \neq j$$

This gives,  $a_1 b_1 \cdot a_2 b_2 \dots a_n b_n = a_1 a_2 \dots a_n \cdot b_1 b_2 \dots b_n$

$$\begin{aligned}\text{Therefore } \psi(xy) &= a_1 a_2 \dots a_n \cdot b_1 b_2 \dots b_n \\ &= (a_1, a_2, \dots, a_n) (b_1, b_2, \dots, b_n) \\ &= \psi(x) \cdot \psi(y)\end{aligned}$$

That is  $\psi(xy) = \psi(x) \cdot \psi(y)$

$\Psi$  is homomorphism.

Hence,  $\psi$  is an isomorphism of  $T$  onto  $G$ .

Therefore  $G$  and  $T$  are isomorphic.

## 2.14 FINITE ABELIAN GROUPS

A finite abelian group is a group satisfying the following equivalent conditions.

- (i) It is isomorphic to a direct product of finitely many finite cyclic groups.
- (ii) It is isomorphic to a direct product of abelian groups of prime power order.
- (iii) It is isomorphic to a direct product of cyclic groups of prime power order.

### Theorem 2.14.1

#### Statement

Every finite abelian group is the direct product of cyclic groups

**Proof:**

Every finite abelian group  $G$  is finitely generated

Hence it is generated by the finite set consisting of all its elements.

Therefore Applying this theorem,

Let  $R$  be a Euclidean Ring, then any finitely generated  $R$ -Module,  $M$  is the direct sum of the finite number of cyclic sub-modules.

**Proof:**

Let  $M$  be the finitely generated  $R$ -Module. To prove that the theorem for ring of integers. Since the ring of integers is also a Euclidean ring. Hence we assume that  $M$  is an abelian group which has a finite generating set.

Now we prove the theorem by the induction on the rank of  $M$ .

**Step-1:** If the rank of  $M$  is one. Then  $M$  is generated has a single element.

$\therefore M$  is cyclic, Hence the theorem is proved for rank one.

**Step-2:** Let us assume that the theorem is proved for all abelian group of rank less than  $q$ .

That is the result is true for all abelian groups of rank for  $r-1$ , Hence any  $R$ -Module where rank is  $q-1$  is the direct sum of finite number of cyclic sub-module.

**Step-3:** Now we prove the theorem for rank  $M = q$ . Let  $a_1, a_2, \dots, a_q$  be the minimal generating set of  $M$ . If any relation of the form  $r_1a_1 + r_2a_2 + \dots + r_qa_q = 0$ . Where  $r_1, r_2, \dots, r_q$  are integers then  $r_1a_1 = 0, r_2a_2 = 0, \dots, r_qa_q = 0$ . Hence  $M$  is the direct sum of  $M_1, M_2, \dots, M_q$ , where each  $M_i$  is the cyclic sub-Module generated by  $a_i$ .

**Step-4:** Let us assume that given any minimal generating set  $b_1, b_2, \dots, b_q$  of  $M$  must be integers  $r_1, r_2, \dots, r_q$  such that  $r_1b_1 + r_2b_2 + \dots + r_qb_q = 0$  and in which not all  $r_1a_1, r_2a_2, \dots, r_qa_q$  are zero.

Among all possible such relations for all minimal generating set, there is a smallest possible +ve integers occurring as coefficient. Let this integer be  $s_1$  and let the generating set for which it occurs be  $a_1, a_2, \dots, a_q$  thus  $s_1 a_1 + s_2 a_2 + \dots + s_q a_q = 0$ .------(1)

We claim that if  $r_1 a_1 + r_2 a_2 + \dots + r_q a_q = 0$ . -----(2)

if not  $r_1 = ms_1 + t$  -----(3) where  $0 \leq t < s_1$ .

Now (1) multiplying by  $m$  and subtracting from eqn. (2) we get

$$(2)-(1)Xm \Rightarrow (r_1 - ms_1)a_1 + \dots + (r_q - ms_q)a_q = 0.$$

That is  $ta_1 + (r_2 - ms_2)a_2 + \dots + (r_q - ms_q)a_q = 0$ . Since  $t < s_1$  and  $s_1$  is the smallest possible +ve integer in such a relation. We must have  $t=0$ .

$\therefore$  eqn.(3) becomes  $r_1 = ms_1$ , therefore  $s_1/n$ .

Now we claim that  $s_1/s_i$  for  $i = 1, 2, \dots, q$

Suppose not then  $s_1$  does not divide  $s_2$ , therefore  $s_2 = m_2 s_1 + t$  -----(A), where  $0 \leq t < s_1$ .

Now  $a_1^1 = a_1 + m_2 a_2, a_2, a_3, \dots, a_q$  is also generated by  $m$ . Hence we have from eqn. (1)

$$s_1 a_1 + s_2 a_2 + \dots + s_q a_q = 0$$

$$\text{i.e., } s_1(a_1^1 - m_2 a_2) + s_2 a_2 + \dots + s_q a_q = 0$$

$$\text{i.e., } s_1 a_1^1 - s_1 m_2 a_2 + s_2 a_2 + \dots + s_q a_q = 0$$

$$\text{i.e., } s_1 a_1^1 - (s_2 - s_1 m_2) a_2 + \dots + s_q a_q = 0$$

$$\text{i.e., } s_1 a_1^1 + t a_2 + \dots + s_q a_q = 0 \text{ (by using (4))}$$

Thus  $t$  occurs as a coefficient in some relation among elements of a minimal generating set.  $\therefore$

By the very choice of  $s_1$  that  $t = 0$ . Hence  $s_2 = m_2 s_1 \Rightarrow s_1/s_2$ .

Similarly for the other  $s_i$ , hence we write  $s_i = ms_1$  and also  $s_1/s_i, i=1, 2, 3, \dots, q$

Consider the elements  $a_1^* = a_1 + m_2 a_2 + m_3 a_3 + \dots + m_q a_q, a_2, \dots, a_q$  where  $a_2, a_3, \dots, a_q$  generate  $M$ .

Moreover,  $s_1 a_1^* = s_1 a_1 + s_1 m_2 a_2 + s_1 m_3 a_3 + \dots + s_1 m_q a_q = s_1 a_1 + s_2 a_2 + \dots + s_q a_q$ .

If  $r_1 a_1^* + r_2 a_2 + \dots + r_q a_q = 0$ . Substitute for  $a_1^*$ , we get

$$r_1(a_1 + m_2 a_2 + m_3 a_3 + \dots + m_q a_q) + r_2 a_2 + \dots + r_q a_q = 0. \quad r_1 a_1 + (r_1 m_2 + r_2) a_2 + \dots + (r_1 m_q + r_q) a_q = 0.$$

Therefore the coefficient of  $a_1$  is  $r_1$ , hence  $r_1 a_1^* = 0$ .

If  $M_1$  is the cyclic sub-module generated by  $a_1^*$  and  $M_2$  is the sub-module of  $M$  generated by  $a_2, a_3, \dots, a_q$ . We have  $M_1 \cup M_2 = \{e\}$  and  $M_1 + M_2 = M$ . since  $a_1^*, a_2, a_3, \dots, a_q$  generate  $M$  and  $M$  is the direct sum of  $M_1$  and  $M_2$ . Since  $M_2$  is the sub-module generated by  $a_2, a_3, \dots, a_q$  and its rank is atmost  $q-1$ . Hence by induction hypothesis  $M_2$  is the direct sum of cyclic sub-modules.

Since  $M_1$  is the cyclic sub-modules generated by  $a_1^*$  and hence  $M$  is the direct sum of cyclic sub-modules  $M_1$  &  $M_2$  whose rank is  $q$ . Now the proof can be modified to the Euclidean ring  $R$  as follows. Instead of taking  $s_1$ , let us take the elements of the ring  $R$ , whose value is maximal and whenever we take of  $t$ , where  $r_1 = ms_1 + t$  either  $t=0$  or  $d(t) < d(s)$

Hence the Euclidean ring  $R$ -Module is the direct sum of finite number of cyclic sub-module.

We get any finite abelian group is the direct product of cyclic group.

## Section 4.5

### Modules

Let  $R$  be any ring. A non-empty set  $M$  is said to be an  $R$ -Module over  $R$ . If  $M$  is an abelian group under the operation '+' such that for every  $r \in R$ ,  $m \in M$  there exist an element  $rm$  in  $M$  subject to

- (i)  $r(a+b) = r(a) + r(b)$
- (ii)  $r(sa) = (rs)a$
- (iii)  $(r+s)a = ra + sa$  for all  $a, b \in M$ ,  $r, s \in R$

#### Unital R-Module:

If  $R$  has a unit element one and if  $1.m = m$  for every element  $m$  in  $M$ . Then  $M$  is called a unital  $R$ -Module.

#### Definition:

An additive subgroup  $A$  of the  $R$ -Module is called sub-module of  $M$ , if whenever  $r \in R$ ,  $a \in A$ ,  $ra \in A$ .

**Examples:**

- (i) Every abelian group  $G$  is a module over the ring of integers.
- (ii) Let  $R$  be any ring and let  $M$  be the left ideal of  $R$ . Then  $M$  is an  $R$ -Module.

**Definition:**

If  $M$  is an  $R$ -Module and if  $M_1, M_2, \dots, M_s$  are the sub-module of  $M$ , then  $M$  is said to be the direct sum of  $M_1, M_2, \dots, M_s$

i.e.,  $M = M_1 \oplus M_2 \oplus \dots \oplus M_s$ , if every element  $m \in M$  can be written in a unique manner as  $m_1 + m_2 + \dots + m_s$ , where  $m_1 \in M_1, m_2 \in M_2, \dots, m_s \in M_s$ .

**Definition:**

An  $R$ -Module is said to be cyclic if there is an element  $m_0 \in M$ , such that every  $m \in M$  is of the form  $m = rm_0$  where  $r \in R$ .

**Definition:**

An  $R$ -Module is said to be finitely generated if there exist elements  $a_1, a_2, \dots, a_n \in M$ , such that every  $M$  is of the form  $r_1a_1 + r_2a_2 + \dots + r_na_n$ .

**Definition:**

If  $M$  is finitely generated  $R$ -Module. Then a generating set having a few elements as possible is called the minimal generating set.

**Definition:**

The number of elements in a minimal generating set is called rank of  $M$ .

**Result:**

Prove that the intersection of two sub-Modules is again a Sub-Module.

**Proof:**

Let  $M$  be an  $R$ -Module and  $s_1$  and  $s_2$  be the sub-modules of  $M$ .

To prove that  $s_1 \cap s_2$  is a subset of  $M$ , we have,  $s_1 \cap s_2 \neq \emptyset$ .

We know that  $s_1 \cap s_2$  is a additive subgroup of  $M$ . (since the number of two subgroups is again a subgroup)

Let  $a, b \in s_1 \cap s_2 \Rightarrow a \in s_1, a \in s_2$  and  $b \in s_1, b \in s_2$ .

Therefore  $(a, b) \in s_1 \cap s_2$

Therefore  $(s_1, +)$  &  $(s_2, +)$  is a additive subgroup.

Let  $r \in R$  and  $s \in s_1 \cap s_2 \Rightarrow r \in R$  and  $s \in s_1$  and  $s \in s_2$ .

$\Rightarrow rs \in s_1$  and  $rs \in s_2$ .

$\Rightarrow rs \in s_1 \cap s_2$ , Therefore  $s_1 \cap s_2$  is sub-module.

**Theorem:4.5.1: Fundamental theorem on finitely generated  $R$ -Module.**

Let  $R$  be a Euclidean Ring, then any finitely generated  $R$ -Module,  $M$  is the direct sum of the finite number of cyclic sub-modules.

**Proof:**

Let  $M$  be the finitely generated  $R$ -Module. To prove that the theorem for ring of integers. Since the ring of integers is also a Euclidean ring. Hence we assume that  $M$  is an abelian group which has a finite generating set.

Now we prove the theorem by the induction on the rank of  $M$ .

**Step-1:** If the rank of  $M$  is one. Then  $M$  is generated has a single element.

$\therefore M$  is cyclic, Hence the theorem is proved for rank one.

**Step-2:** Let us assume that the theorem is proved for all abelian group of rank less than  $q$ .

That is the result is true for all abelian groups of rank for  $r-1$ , Hence any  $R$ -Module where rank is  $q-1$  is the direct sum of finite number of cyclic sub-module.

**Step-3:** Now we prove the theorem for rank  $M = q$ . Let  $a_1, a_2, \dots, a_q$  be the minimal generating set of  $M$ . If any relation of the form  $r_1a_1 + r_2a_2 + \dots + r_qa_q = 0$ . Where  $r_1, r_2, \dots, r_q$  are integers then  $r_1a_1 = 0, r_2a_2 = 0, \dots, r_qa_q = 0$ . Hence  $M$  is the direct sum of  $M_1, M_2, \dots, M_q$ , where each  $M_i$  is the cyclic sub-Module generated by  $a_i$ .

**Step-4:** Let us assume that given any minimal generating set  $b_1, b_2, \dots, b_q$  of  $M$  must be integers  $r_1, r_2, \dots, r_q$  such that  $r_1b_1 + r_2b_2 + \dots + r_qb_q = 0$  and in which not all  $r_1a_1, r_2a_2, \dots, r_qa_q$  are zero.

Among all possible such relations for all minimal generating set, there is a smallest possible +ve integers occurring as coefficient. Let this integer be  $s_1$  and let the generating set for which it occurs be  $a_1, a_2, \dots, a_q$  thus  $s_1a_1 + s_2a_2 + \dots + s_qa_q = 0$ .------(1)

We claim that if  $r_1a_1 + r_2a_2 + \dots + r_qa_q = 0$ . -----(2)

if not  $r_1 = ms_1 + t$  ------(3) where  $0 \leq t < s_1$ .

Now (1) multiplying by  $m$  and subtracting from eqn. (2) we get

$$(2)-(1)Xm \Rightarrow (r_1-ms_1)a_1 + \dots + (r_q-ms_q)a_q = 0.$$

That is  $ta_1 + (r_2-ms_2)a_2 + \dots + (r_q-ms_q)a_q = 0$ . Since  $t < s_1$  and  $s_1$  is the smallest possible +ve integer in such a relation. We must have  $t=0$ .

$\therefore$  eqn.(3) becomes  $r_1 = ms_1$ , therefore  $s_1/n$ .

Now we claim that  $s_i/s_1$  for  $i = 1, 2, \dots, q$

Suppose not then  $s_1$  does not divide  $s_2$ , therefore  $s_2 = m_2s_1 + t$  ------(A), where  $0 \leq t < s_1$ .

Now  $a_1^{-1} = a_1 + m_2a_2, a_2, a_3, \dots, a_q$  is also generated by  $m$ . Hence we have from eqn. (1)

$$s_1a_1 + s_2a_2 + \dots + s_qa_q = 0$$

$$\text{i.e., } s_1(a_1^{-1} - m_2a_2) + s_2a_2 + \dots + s_qa_q = 0$$

$$\text{i.e., } s_1a_1^{-1} - s_1m_2a_2 + s_2a_2 + \dots + s_qa_q = 0$$

$$\text{i.e., } s_1a_1^{-1} - (s_2 - s_1m_2)a_2 + \dots + s_qa_q = 0$$

$$\text{i.e., } s_1a_1^{-1} + ta_2 + \dots + s_qa_q = 0 \text{ (by using (4))}$$

Thus  $t$  occurs as a coefficient in some relation among elements of a minimal generating set.  $\therefore$

By the very choice of  $s_1$  that  $t = 0$ . Hence  $s_2 = m_2 s_1 \Rightarrow s_1/s_2$ .

Similarly for the other  $s_i$ , hence we write  $s_i = m_i s_1$  and also  $s_1/s_i$ ,  $i=1,2,3,\dots,q$

Consider the elements  $a_1^* = a_1 + m_2 a_2 + m_3 a_3 + \dots + m_q a_q$ ,  $a_2, \dots, a_q$  where  $a_2, a_3, \dots, a_q$  generate  $M$ .

Moreover,  $s_1 a_1^* = s_1 a_1 + s_1 m_2 a_2 + s_1 m_3 a_3 + \dots + s_1 m_q a_q = s_1 a_1 + s_2 a_2 + \dots + s_q a_q$ .

If  $r_1 a_1^* + r_2 a_2 + \dots + r_q a_q = 0$ . Substitute for  $a_1^*$ , we get

$$r_1 (a_1 + m_2 a_2 + m_3 a_3 + \dots + m_q a_q) + r_2 a_2 + \dots + r_q a_q = 0. \quad r_1 a_1 + (r_1 m_2 + r_2) a_2 + \dots + (r_1 m_q + r_q) a_q = 0.$$

Therefore the coefficient of  $a_1$  is  $r_1$ , hence  $r_1 a_1^* = 0$ .

If  $M_1$  is the cyclic sub-module generated by  $a_1^*$  and  $M_2$  is the sub-module of  $M$  generated by  $a_2, a_3, \dots, a_q$ . We have  $M_1 \cup M_2 = \{e\}$  and  $M_1 + M_2 = M$ . since  $a_1^*, a_2, a_3, \dots, a_q$  generate  $M$  and  $M$  is the direct sum of  $M_1$  and  $M_2$ . Since  $M_2$  is the sub-module generated by  $a_2, a_3, \dots, a_q$  and its rank is at most  $q-1$ . Hence by induction hypothesis  $M_2$  is the direct sum of cyclic sub-modules.

Since  $M_1$  is the cyclic sub-modules generated by  $a_1^*$  and hence  $M$  is the direct sum of cyclic sub-modules  $M_1$  &  $M_2$  whose rank is  $q$ . Now the proof can be modified to the Euclidean ring  $R$  as follows. Instead of taking  $s_1$ , let us take the elements of the ring  $R$ , whose value is maximal and whenever we take of  $t$ , where  $r_1 = ms_1 + t$  either  $t=0$  or  $d(t) < d(s)$

Hence the Euclidean ring  $R$ -Module is the direct sum of finite number of cyclic sub-module.

### **Corollary: Fundamental theorem on finite abelian groups:**

#### **Statement:**

Any finite abelian group is the direct product of cyclic groups.

#### **Proof:**

Every finite abelian group  $G$  is finitely generated. Hence it is generated by the finite set consisting of all its elements. Therefore applying the theorem of Fundamental theorem on finitely generated  $R$ -Module. Hence Any finite abelian group is the direct product of cyclic groups.



Solvability by Radicals - Galois groups over the Rationals

### Chapter 5: Sections: 5.7 and 5.8

#### 5.7 Solvability by radicals:

##### Solvable:

A group  $G$  is said to be solvable if we can find a finite chain of subgroups  $N_0 \supset N_1 \supset N_2 \dots \supset N_k = \{e\}$  where  $N_i$  is a normal subgroup of  $N_{i-1}$  and such that every factor group  $\frac{N_{i-1}}{N_i}$  is abelian.

##### **Result:**

Prove that abelian group is solvable.

##### **Proof:**

Let  $G$  be an abelian group. To prove that  $G$  is solvable.

We take  $N_0 = G$  and  $N_1 = \{e\}$  such that  $G = N_0 \supset N_1 = \{e\}$ . To prove  $N_1$  is a normal subgroup  $N_0 = G$ . Let  $g \in G$ , Now  $geg^{-1} = (gg^{-1})e = ee = e \in G$ . Therefore  $gg^{-1} \in N_1$ .

Hence  $N_1$  is a normal subgroup of  $N_0 = G$ . Now to prove  $\frac{N_0}{N_1}$  is abelian. Here the factor group  $\frac{N_0}{N_1} = \frac{G}{\{e\}} = \{ex = xe/x \in G\}$ . Since  $G$  is abelian,  $\frac{N_0}{N_1}$  is abelian. Hence  $G$  is solvable.

Every abelian is solvable.

##### **Definition:**

Let  $G$  be a group and the elements  $a, b \in G$ , then the commutator of  $a$  and  $b$  is the elements  $a^{-1}, b^{-1}, ab$ .

##### **Definition:**

The commutator subgroup  $G'$  of  $G$  is the subgroup of  $G$  generated by all the commutators in  $G$ .

##### **Result:**

Prove that the commutator subgroup  $G'$  is a subgroup of  $G$ .

##### **Proof:**

Let  $G$  be a group and  $S = \{a^{-1}b^{-1}ab \text{ such that } a, b \in G\}$  the commutator subgroup

$G' = \{S_1, S_2, \dots, S_m \mid S_i \in G\}$ ,  $M$  is arbitrary. Let  $s \in S$  then  $S = a^{-1} b^{-1} ab$  for some  $a, b \in G$ .

Consider  $(a^{-1} b^{-1} ab)^{-1} = b^{-1} a^{-1} ba \in S$

No to prove  $G'$  is a subgroup of  $G$ , Let  $x, y \in G'$  then  $x = S_1, S_2, \dots, S_m$ ,  $S_i \in S$ ,  $m$  is arbitrary and  $y = S_1', S_2', \dots, S_n'$ ,  $S_i' \in S$ ,  $n$  is arbitrary.

Consider,  $xy^{-1} = (S_1, S_2, \dots, S_m)(S_1', S_2', \dots, S_n')^{-1} = (S_1, S_2, \dots, S_m)(S_1'^{-1}, S_2'^{-1}, \dots, S_n'^{-1})$

Therefore  $xy^{-1}$  is a finite product of finite number of elements of  $S$ .

Therefore  $xy^{-1}$  is a finite product of finite number of elements of  $G$ .

$\therefore xy^{-1} \in G'$ , Hence  $G'$  is a subgroup of  $G$ .

### **Result:**

Prove that the commutator subgroup  $G'$  is a normal subgroup of  $G$ .

### **Proof:**

Let  $G$  be a group and  $G'$  be the commutator subgroup of  $G$ . Let  $x \in G$  and  $a \in G'$

Consider,  $xax^{-1} = (xax^{-1})(a^{-1}a)$

$$= (xax^{-1}a^{-1})a \in G'$$

By lemma(1),  $xax^{-1}a^{-1} \in S$  and  $s \in G'$

Hence  $G'$  is a normal subgroup of  $G$ .

### **Result:**

Let  $G$  be a group and  $G'$  be a commutator subgroup of  $G$ , then

(i)  $G/G'$  is abelian

(ii) If  $H$  is any normal subgroup of  $G$  such that  $G/H$  is a abelian then  $G' \subset H$ .

### **Proof:**

Given  $G$  is a group and  $G'$  is the commutator subgroup of  $G$ .

i) To prove:  $G/G'$  is abelian. since  $G'$  is normal in  $G$ ,  $G/G'$  is a factor group and  $G/G' = \{aG'/a \in G\}$ .

Let  $aG', bG' \in \frac{G}{G'}$ , where  $a, b \in G$

Now,  $aG'.bG' = abG', bG'.aG' = baG' \text{ -----(1)}$

Now consider  $(ab)^{-1}ba \in G'$

$$(ab)^{-1}ba G' = G' \rightarrow baG' = G'(ab) \rightarrow baG' = abG$$

Therefore  $bG'.aG' = aG'.bG'$

Hence  $G/G'$  is abelian.

ii) Let  $G/H$  is a abelian

To prove  $G' \subset H$

since  $G/H$  is a abelian

$$aH.bH = bH.aH \rightarrow abH = baH \rightarrow (ba)^{-1}(ab)H = H$$

$$\rightarrow (ba)^{-1}(ab)H \in H$$

$$\therefore a^{-1}b^{-1}ab \in H$$

therefore  $H$  contains all the elements of the form  $a^{-1}b^{-1}a$ .

Hence  $G' \subset H$ .

### **Lemma-5.7.1:**

$G$  is solvable  $\leftrightarrow G^{(k)} = \{e\}$  for some integer  $k$ .

### **Proof:**

#### **Necessary part:**

$$\text{Let } G^{(k)} = \{e\}$$

To prove  $G$  is solvable

$$\text{Let } N_0 = G, N_1 = G^1, N_2 = G^{(2)} \dots N_k = G^{(k)} = \{e\} \text{ we have } G = N_0 \subset N_1 \subset N_2 \dots \subset N_k = \{e\}$$

where each  $N_i$  is normal in  $G$ . By lemma (2)  $G^{(i+1)}$  is a normal subgroup of  $G^{(i)}$ . Therefore  $\frac{N_{i+1}}{N_i}$

$$= \frac{G^{(i+1)}}{G^{(i)}} = \frac{G^{(i+1)}}{G^{(i+1)}^1}$$

By lemma 3,  $\frac{G^{(i)}}{G^{(i+1)}}$  is an abelian group.

Hence  $G$  is solvable.

**Sufficient part:**

Let  $G$  be a solvable group, To prove  $G^{(k)} = \{e\}$

Since  $G$  is solvable there exist a chain  $G = N_0 \subset N_1 \subset N_2 \dots \subset N_k = \{e\}$  and  $N_i$  is a normal subgroup  $N_{i-1}$  and also  $\frac{N_{i-1}}{N_i}$  is abelian. But then commutator subgroup  $(N_{i-1})'$  must be contained in  $N_i$ .

i.e.,  $N_{i-1} \subset N_i$ .

Thus,  $N_i \supset N_0'$

$$N_2 \supset N_1' = (G')' = G^{(2)} \dots \dots N_k \supset N_{k-1} = G^{(k)} \text{ -----(1)}$$

Also  $N_k = \{e\}$  Eqn (1) which implies  $G^{(k)} = \{e\}$ .

Hence the theorem.

**Corollary:**

If  $G$  is a solvable group and  $\bar{G}$  is homomorphism image of  $G$ , then  $\bar{G}$  is solvable. Prove that homomorphic image of solvable group is solvable.

**Proof:**

Let  $\phi: G \rightarrow \bar{G}$  be a onto homomorphism

Let  $S = \{ a^{-1}b^{-1}ab / a, b \in G \}$  and  $G' = \{ s_1, s_2 \dots s_m / s_i \in S, m \text{ is arbitrary} \}$

Let  $\bar{S} = \{ \bar{a}^{-1}\bar{b}^{-1}\bar{a}\bar{b} / \bar{a}\bar{b} \in \bar{G} \}$

$\bar{G}' = \{ \bar{s}_1, \bar{s}_2 \dots \bar{s}_n / \bar{s}_i \in \bar{S}, n \text{ is arbitrary} \}$

To prove:  $\phi(S) = \bar{S}$

Let  $s \in S$ , then  $S = a^{-1}b^{-1}ab$  where  $a, b \in G$

Now,  $\phi(S) = \phi(a^{-1}b^{-1}ab)$

$$= \phi(a^{-1}) \phi(b^{-1}) \phi(a) \phi(b)$$

$$= (\phi(a^{-1}))^{-1} (\phi(b^{-1}))^{-1} \phi(a) \phi(b)$$

$$= \bar{a}^{-1}\bar{b}^{-1}\bar{a}\bar{b}$$

$$\phi(S) \in \bar{S} \text{ ----- (1)}$$

Let  $(\bar{a})^{-1}(\bar{b})^{-1}\bar{a}\bar{b} \in \bar{S}$ , where  $\bar{a}\bar{b} \in \bar{G}$

since  $\phi$  is onto there exist  $a, b \in G$  such that  $\phi(a) = \bar{a}$ ,  $\phi(b) = \bar{b}$

$$\begin{aligned}\text{Now } (\bar{a})^{-1}(\bar{b})^{-1}\bar{a}\bar{b} &= (\phi(a^{-1}))^{-1}(\phi(b^{-1}))^{-1}\phi(a)\phi(b) \\ &= \phi(a^{-1}b^{-1}ab) \in \phi(S)\end{aligned}$$

$$\therefore \bar{S} \subset \phi(S) \text{ -----(2)}$$

$$\text{From (1) and (2) } \phi(S) = \bar{S}$$

$$\text{Now to prove } \phi(G') = \bar{G}'$$

Let  $s_1, s_2, \dots, s_m \in G'$ ,  $s_i \in S$ ,  $m$  is arbitrary.

$$\begin{aligned}\text{Now } \phi(s_1, s_2, \dots, s_m) &= \phi(s_1)\phi(s_2)\dots\phi(s_m) \\ &= \bar{s}_1, \bar{s}_2, \dots, \bar{s}_m \in \bar{G}'\end{aligned}$$

$$\phi(G') \subset \bar{G}' \text{ -----(3)}$$

$$\text{Now to prove } \bar{G}' \subset \phi(G')$$

$$\text{Let } \bar{x} = \bar{s}_1, \bar{s}_2, \dots, \bar{s}_m \in \bar{G}'$$

since  $\phi$  is onto there exist  $s_i \in S$ , such that  $\phi(s_i) = \bar{s}_i$ ,

$$\text{Let } x = s_1, s_2, \dots, s_m \in G'$$

$$\phi(x) = \phi(s_1, s_2, \dots, s_m) = \bar{s}_1, \bar{s}_2, \dots, \bar{s}_m$$

$$\bar{G}' \supset \phi(G') \text{ ----- (4)}$$

$$\text{From (3) and (4) } \phi(G') = \bar{G}'$$

Hence  $\bar{G}'$  is a homomorphic image of  $G^{(1)}$ . implies that  $(\bar{G}')'$  is a homomorphic image of  $G^{(2)} \dots (\bar{G}^{(k-1)})'$  is a homomorphic image of  $G^{(k)}$

Also  $(G^{(k)})' = \{\bar{e}\}$  where  $\bar{e}$  is the identity element of  $\bar{G}$

A group  $G$  is solvable  $G^{(k)} = \{e\}$ . Here  $\bar{G}$  is a homomorphic image of  $G$  and also  $\bar{G}^{(k)}$  is the image of  $G^{(k)}$ .

Hence  $\bar{G}$  is solvable.

**Result:**

Prove that subgroup of a solvable group is solvable.

**Proof:**

Let  $G$  be a solvable group and  $H$  its subgroup.

To prove that  $H$  is solvable

Since  $G$  is solvable, then by definition of solvable group

- (i)  $G = G = N_0 \supset N_1 \dots \supset N_k = \{e\}$
- (ii)  $N_i$  is normal subgroup of  $N_{i-1}$
- (iii)  $\frac{N_{i-1}}{N_i}$  is an abelian group, here  $G = G = N_0 \supset N_1 \dots \supset N_k = \{e\}$

$$\text{Now, } H \cap G = H \cap N_0 \supset H \cap N_1 \dots \supset H \cap N_k = \{e\}$$

$$\text{i.e., } H = H_0 \supset H_1 \dots \supset H_k = \{e\}$$

Let  $H \cap N_i = H_i \forall i$ , we know that  $N_i$  is a normal subgroup of  $N_{i-1}$ , then  $H \cap N_i$  is a normal subgroup of  $H \cap N_{i-1}$ .

Implies that  $H_i$  is a normal subgroup of  $H_{i-1}$ .

Now, let us define the mapping  $F: H_i \rightarrow \frac{N_{i-1}}{N_i}$ ,  $f(x) = xN_{i+1}$ ,  $\forall x \in H_i$

To prove  $F$  is well defined

Here  $H_i = H \cap N_i \subset N_i$ ,  $\therefore H_i \subset N_i$ .

Let  $x \in H_i$  implies that  $x \in N_i$ .

Therefore  $xN_{i+1} \in \frac{N_i}{N_{i+1}}$ ,

$\therefore f$  is well defined.

Now to prove  $f$  is homomorphism

Let  $x, y \in H_i$

- i)  $f(x+y) = (x+y)N_{i+1} = xN_{i+1} + yN_{i+1} = f(x) + f(y)$ .
- ii)  $f(xy) = (xy)N_{i+1} = (xN_{i+1})(yN_{i+1}) = f(x)f(y)$ .

Now to prove  $f$  is onto

$$xN_{i+1} \in \frac{N_i}{N_{i+1}} \Rightarrow x \in N_i.$$

$$\Rightarrow x \in H \cap N_i \Rightarrow x \in H_i.$$

$$\therefore f(x) = xN_{i+1}$$

Now to prove  $\ker f = H_{i+1}, \forall i$

We know that  $\ker f = \{ x \in H_i / f(x) = N_{i+1} \}$

$$\text{Let } x \in \ker f \Leftrightarrow f(x) = N_{i+1} \Leftrightarrow xN_{i+1} = N_{i+1} \Leftrightarrow x \in N_{i+1} \Leftrightarrow x \in H \cap N_{i+1}$$

$$\Leftrightarrow x \in H_{i+1} \Leftrightarrow \ker f = H_{i+1}$$

Hence  $f$  is an onto homomorphism.

i.e.,  $f: H_i \rightarrow \text{onto } \frac{N_i}{N_{i+1}}$ , homomorphism with  $\ker f = H_{i+1}$ . By using fundamental theorem of homomorphism  $\frac{H_i}{H_{i+1}} \cong \frac{N_i}{N_{i+1}}$ , Here  $\frac{N_i}{N_{i+1}}$  and  $\frac{H_i}{H_{i+1}}$  is an abelian group.

Hence  $H$  is a solvable group.

### Lemma 5.7.2:

Prove that if  $G = S_n$ , where  $n \geq 5$  then  $G^{(k)}$  for  $k = 1, 2, \dots$  contains every 3-cycle of  $S_n$ .

### Proof:

Let  $G = S_n$ ,  $n \geq 5$ , to prove  $G^{(k)}$  for  $k = 1, 2, \dots$  contains every 3 cycle of  $S_n$ .

We know that if  $N'$  is a normal subgroup of  $G$  then  $N'$  must also be a normal subgroup of  $G$ .

### Step-1:

We claim that if  $N$  is a normal subgroup of  $G = S_n$ , where  $n \geq 5$  which contains every 3-cycle in  $S_n$ .

$$\text{Suppose } a = (1, 2, 3), b = (1, 4, 5) \text{ are in } N. \text{ Then } a^{-1} = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix} = \begin{pmatrix} 3 & 2 & 1 \end{pmatrix}$$

$$\text{Also } b = \begin{pmatrix} 1 & 4 & 5 \\ 4 & 5 & 1 \end{pmatrix} \quad b^{-1} = \begin{pmatrix} 1 & 4 & 5 \\ 5 & 4 & 1 \end{pmatrix}$$

$$\begin{aligned} \text{Then, } a^{-1}b^{-1}ab &= \begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix} \begin{pmatrix} 1 & 4 & 5 \\ 5 & 4 & 1 \end{pmatrix} \begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix} \begin{pmatrix} 1 & 4 & 5 \\ 4 & 5 & 1 \end{pmatrix} \\ &= \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \end{pmatrix} = \begin{pmatrix} 1 & 4 & 2 \end{pmatrix} \text{ is a commutator of elements} \end{aligned}$$

$$4 \ 1 \ 3 \ 2 \ 5$$

Of  $N$  must be in  $N'$ . since  $N'$  is a normal subgroup of  $G$  equal to  $S_n$  for any  $\pi \in S_n$ ,

$\pi^{-1}(1 \ 4 \ 2)\pi$  must also be in  $N'$ .

$\therefore \pi^{-1}(1 \ 4 \ 2)\pi \in N'$ . Now let  $i_1, i_2, i_3$  be three distinct integer in the range from  $i=1,2,3,\dots, n$ .

To prove  $i_1, i_2, i_3 \in N'$ , i.e., To prove  $\pi^{-1}(1 \ 4 \ 2)\pi = (i_1, i_2, i_3)$  is in  $N'$ .

Since  $i_1, i_2, i_3$  are 3-cycle in  $S_n$ . Choose  $\pi \in S_n$  such that  $\pi(1) = i_1, \pi(4) = i_2, \pi(2) = i_3$ , where  $(i_1, i_2, i_3)$  are 3 distinct integer range from  $i = 1,2,3, \dots$ .

### Step-2:

Let  $G = S_n$  which is normal in  $G$  and contains all the 3-cycle in  $G$ . Also we have  $N' = G', N'$  contains every 3-cycle of  $S_n$ , we have  $G'$  also contains every 3-cycle of  $S_n$ .

Now,  $(G')^{(1)} = G^{(2)}$  contains every 3-cycle of  $S_n$ . Since  $G^{(2)}$  is normal in  $G$ ,  $G^{(2)}$  containing every 3-cycle of  $S_n$ . Also,  $(G^{(2)})^{(1)} = G^{(3)}$  is normal in  $G$ ,  $G^{(3)}$  containing in this way we get  $G^{(k)}$  contains every 3-cycle of  $S_n$  for arbitrary  $k$ .

### Theorem: 5.7.1:

Prove that  $S_n$  is not solvable for  $n \geq 5$ .

### Proof:

Let  $G = S_n$ , where  $n \geq 5$ ,

Then by using lemma 5.7.2,  $G^{(k)}$  contains every 3-cycle of  $S_n$

Hence  $G = S_n$  is not solvable for  $n \geq 5$ .

## SECTION 5.8 GALOIS GROUPS OVER THE RATIONALS

In Theorem, Let  $f(x) \in F(x)$  be of degree  $n \geq 1$ . Then there is an  $E$  of  $F$  of degree at most  $n!$  in which  $f(x)$  has  $n$  roots. We saw that given a field  $F$  and a polynomial  $p(x)$  over  $F$  has degree at most  $n!$  over  $F$ . In the preceding section we saw that this upper limit of  $n!$  is indeed, taken on for some choice of  $F$  and some polynomial  $p(x)$  of degree  $n$  over  $F$ . In fact, if  $F_0$  is any field and if  $F$



is the field of rational functions in the variables  $a_1, a_2, \dots, a_n$  over  $F_0$ , it was shown that the splitting field  $K$ , of the polynomial  $p(x) = x^n + a_1x^{n-1} + \dots + a_n$  over  $F$  has degree exactly  $n!$  over  $F$ . Moreover, it was shown that the Galois group of  $K$  over  $F$  is  $S_n$ , the symmetric group of degree  $n$ . This turned out to be the basis for the fact that the general polynomial of degree  $n$ , with  $n \geq 5$ , is not solvable by radicals.

We shall make use of the fact that polynomials with rational coefficients have their roots in the complex field

### Theorem 5.8

Let  $q(x)$  is an irreducible polynomial of degree  $p$ ,  $p$  a prime, over the field  $Q$  of rational numbers. Suppose that  $q(x)$  has exactly two non real roots in the field of complex numbers then the Galois group of  $q(x)$  over  $Q$  is  $S_p$ , the symmetric group of degree  $p$ . Thus the splitting field of  $q(x)$  over  $Q$  has degree  $p$  over  $Q$

**Proof:** Let  $K$  be the splitting field of the polynomial  $q(x)$  over  $Q$

If  $\alpha$  is a root of  $q(x)$  in  $K$ , since  $q(x)$  is irreducible over  $Q$ , then by theorem 5.1.3  $[Q(\alpha) : Q] = p$

Since  $K \supset Q(\alpha) \supset Q$  and according to theorem 5.1.1

$$[K : Q] = [K : Q(\alpha)] [Q(\alpha) : Q] = [K : Q(\alpha)]p$$

By theorem 5.6.4  $O(G) = [K : F]$ . Thus  $p \mid O(G)$

Hence by Cauchy's theorem,  $G$  has an element  $\sigma$  of order  $p$  to this point we have not used our hypothesis that  $q(x)$  has exactly two non real roots. We use it now  $\alpha_1, \alpha_2$  are these non-real roots, then  $\alpha_1 = \overline{\alpha_2}, \alpha_2 = \overline{\alpha_1}$  where the bar denotes the complex conjugate.

If  $\alpha_3, \dots, \alpha_p$  are the other roots since they are real  $\overline{\alpha_i} = \alpha_i, i \geq 3$

Thus the complex conjugate mapping takes  $K$  into itself, is an automorphism  $\tau$  of  $K$  over  $Q$  and interchanges  $\alpha_1, \alpha_2$  leaving the other roots of  $q(x)$  fixed.

Now the elements of  $G$  take roots of  $q(x)$  into roots of  $q(x)$ . So induces permutations of  $\alpha_1, \alpha_2, \dots, \alpha_p$

In this way we imbed  $G$  in  $S_p$ . The automorphism  $\tau$  described above is the transposition  $(1, 2)$

Since  $\tau(\alpha_1) = \alpha_2, \tau(\alpha_2) = \alpha_1$ , and  $\tau(\alpha_i) = \alpha_i, i \geq 3$

What about the element  $\sigma \in G$ . Which we mentioned above has order  $p$ ? As an element of  $S_p$ .  $\sigma$  has order  $p$ , but the only elements of order  $p$  in  $S_p$  are  $p$  cycles. Thus  $S$  must be a  $p$  cycles

Therefore  $G$  has a subgroup of  $S_p$  contains a transposition and  $p$  cycles

To prove that any transposition and only  $p$  cycles in  $S_p$  generates  $S_p$ . Thus  $\sigma$  and  $\tau$  generates  $S_p$ , but since they are in  $G$ , the group generated by  $\sigma$  and  $\tau$  must be in  $G$ .  $G = S_p$

In otherwords, the Galois group of  $q(x)$  over  $Q$  indeed  $S_p$

## UNIT - IV - LINEAR TRANSFORMATIONS

18hrs

Linear Transformations: Canonical forms- Triangular form -Nilpotent transformations.

-Jordan form

### Chapter 6: Sections 6.4, 6.5, 6.6

#### SECTION 6.4

#### CANONICAL FORM AND TRIANGULAR FORM

##### Definition: Linear Transformation

Let  $V$  be a vector space over a field  $F$  a mapping  $T : V \rightarrow V$  is called a Linear transformation. If it satisfies the following conditions

- (i)  $(v_1 + v_2)T = T(v_1) + T(v_2)$
- (ii)  $\alpha(vT) = \alpha v(T)$

Note:  $\text{Hom}(V, V)$  is the set of all homomorphism of  $V$  into itself and  $\text{Hom}(V, V)$  is a vector space and it is denoted by  $A(V)$  and it is the set of all linear transformation from  $V$  to  $V$

##### Definition: Matrices

Let  $V$  be an  $n$ -dimensional vector space over a field  $F$ . Let  $\{v_1, v_2, \dots, v_n\}$  be a basis of  $V$  over  $F$ . If  $T \in A(V)$  then  $T$  is determined by any vectors depends on the basis of  $V$ . Since  $T \in A(V)$ ,  $T(v_1), T(v_2), \dots, T(v_n)$  are belonging to  $V$

$$T(v_1) = \alpha_{11}v_1 + \alpha_{12}v_2 + \dots + \alpha_{1n}v_n$$

$$T(v_2) = \alpha_{21}v_1 + \alpha_{22}v_2 + \dots + \alpha_{2n}v_n$$

.....

$$T(v_n) = \alpha_{n1}v_1 + \alpha_{n2}v_2 + \dots + \alpha_{nn}v_n, \text{ where } \alpha_{ij} \in F$$

This system of linear equation can be written as  $T(v_i) = \sum_{j=1}^n \alpha_{ij} v_j$ ,  $i = 1, 2, \dots, n$ . Then the matrix

$$\text{of } T \text{ is the basis } \{v_1, v_2, \dots, v_n\} \text{ is written as } m(T) = \begin{pmatrix} \alpha_{11} & \alpha_{12} & \dots & \alpha_{1n} \\ \alpha_{21} & \alpha_{22} & \dots & \alpha_{2n} \\ \dots & \dots & \dots & \dots \\ \alpha_{n1} & \alpha_{n2} & \dots & \alpha_{nn} \end{pmatrix}$$

**Invariant:** Let  $W$  be the subspace of a vector  $V$  over  $F$ . Suppose  $W$  is invariant under the transformation  $T \in A(V)$  if  $W(T) \subseteq W$

**Invertible (or) Regular:** An element  $T \in A(V)$  is said to be invertible (or) regular. If there exist an element  $S \in A(V)$  such that  $ST = TS = 1$

**Similar Linear Transformation:** The Linear transformation  $S, T \in A(V)$  is said to be similar transformation if there exist an invertible element  $C \in A(V)$  such that  $T \in CSC^{-1}$  then we say that  $S$  and  $T$  are similar to each other

**Similar matrices:** Let  $F_n$  be the set of all  $n \times n$  matrices over  $F$ . The matrices  $A, B \in F_n$  are said to be similar if there exist an invertible matrix  $C \in F_n$  such that  $B = CAC^{-1}$

**Minimal Polynomial:** Let  $V$  be a  $n$ -dimensional vector space over  $F$  then for any element  $T \in A(V)$  there exist a non-trivial polynomial  $q(x) \in F(x)$  such that  $q(T) = 0$

A non-trivial polynomial of lowest degree satisfying this property is called the minimal polynomial of  $T$  over  $F$

**Result:** If  $p(x)$  is the minimal polynomial of  $T$  and if  $T$  satisfies  $h(x) \in F(x)$  then  $p(x)$  is the divisor of  $h(x)$

**Proof:** Given that  $p(x)$  is the minimal polynomial of  $T$ .

Therefore  $p(x)$  is the least degree polynomial of  $T$  and  $p(T) = 0$ . Also given that  $T$  satisfies  $h(x)$

Therefore  $h(T) = 0$

Since  $p(x), h(x) \in F(x)$  there exist  $q(x), r(x) \in F(x)$  such that  $h(x) = p(x)q(x) + r(x)$

$\Rightarrow$  either  $r(x) = 0$  (or)  $\deg r(x) < \deg p(x)$  since  $h(T) = 0$

$\Rightarrow h(T) = p(T)q(T) + r(T)$

Now  $r(T) = 0$  we get  $h(x) = p(x)q(x) \Rightarrow p(x) \mid h(x)$

Hence  $p(x)$  is a divisor of  $h(x)$

**Lemma: 6.4.1**

If  $W \subset V$  is invariant under  $T$  then  $T$  induces a linear mapping  $\bar{T}$  on  $V/W$  defined by  $(v+W)\bar{T} = vT+W$ . If  $T$  satisfies the polynomial  $q(x) \in F(x)$  then so does  $\bar{T}$  (or)

If  $p_1(x)$  is the minimal polynomial for  $\bar{T}$  over  $F$  and if  $p(x)$  is that for  $T$  then  $p_1(x) \mid p(x)$

**Proof:**

Given that  $W \subset V$  is invariant under  $T \Rightarrow W(T) \subseteq W$

Define the mapping  $\bar{T} : \frac{V}{W} \rightarrow \frac{V}{W}$  by  $(v+W)\bar{T} = vT+W$

(i) To prove  $\bar{T}$  is well defined

Let  $v_1 + W, v_2 + W \in \frac{V}{W}$  such that  $v_1 + W = v_2 + W$

$$\Rightarrow v_1 - v_2 + W = W \Rightarrow v_1 - v_2 \in W$$

$$\Rightarrow (v_1 - v_2)T \in WT \subset W$$

$$v_1T - v_2T + W = W$$

$$(v_1T + W) - (v_2T + W) = W$$

$$(v_1T + W) = (v_2T + W)$$

$$(v_1 + W)\bar{T} = (v_2 + W)\bar{T}$$

Therefore  $\bar{T}$  is well defined.

(ii) To Prove  $\bar{T}$  is a linear transformation

$$\begin{aligned} (1) \quad (v_1 + W + v_2 + W)\bar{T} &= v_1T + v_2T + W \\ &= (v_1 + W)\bar{T} + (v_2 + W)\bar{T} \end{aligned}$$

$$\begin{aligned} (2) \quad \alpha(v + W)\bar{T} &= \alpha(vT + W) \\ &= \alpha vT + W = (\alpha v + W)\bar{T} \end{aligned}$$

Therefore  $\bar{T}$  is a linear transformation  $V / W$

Let us take  $q(x) = \alpha_0 + \alpha_1 x + \dots + \alpha_m x^m$  be minimal polynomial for  $T$  and its satisfy  $q(T) = 0$

Now  $q(\bar{T}) = 0$

Consider,  $(v_1 + W)\bar{T}^2 = vT^2 + W = (v + W)\bar{T}^2$

$$\Rightarrow \bar{T}^2 = (\bar{T})^2$$

Similarly we can prove  $\bar{T}^k = (\bar{T})^k$

Now consider  $(v + W)q(\bar{T}) = vq(T) + W$

$$\begin{aligned} &= v(\alpha_0 + \alpha_1 T + \dots + \alpha_m T^m) + W \\ &= \alpha_0(v + W) + \alpha_1(vT + W) + \dots + \alpha_m(vT^m + W) \\ &= \alpha_0(v + W) + \alpha_1(v + W)\bar{T} + \dots + \alpha_m(v + W)\bar{T}^m \\ &= (v + W)(\alpha_0 + \alpha_1 \bar{T} + \dots + \alpha_m \bar{T}^m) \end{aligned}$$

$$(v + W)q(\bar{T}) = (v + W)q(T) \Rightarrow q(\bar{T}) = q(T)$$

Therefore for any  $q(x) \in F(x)$  with  $q(T) = 0$ , Since  $\bar{0}$  is the 0 transformation on  $V / W$  and have  $q(\bar{T}) = q(T) = 0$

$\bar{T}$  satisfies the minimal polynomial  $q(x) \in F(x)$  then by using the result “ If  $p(x)$  is the minimal polynomial of  $T$  and if  $T$  satisfies  $h(x)$  then  $p(x)$  is the divisor of  $h(x)$ ”

We get  $p_1(x) / q(x)$

Therefore  $p(x)$  is the minimal polynomial for  $T$  over  $F$  then  $p(T) = 0$  hence  $p(\bar{T}) = 0$

Again by using the result  $p_1(x) / p(x)$

**Definition:** If  $T \in A(V)$  &  $\lambda \in F$  is called a characteristic root (or) Eigen value of  $T$  then  $\lambda - T$  is singular

**Definition:** The matrix  $A$  is called triangular if all the entries of above the main diagonal (or) above the main diagonal are zero

**Definition:** If  $T$  is linear transformation on  $V$  over  $F$  then matrix of  $T$  in the basis  $\{v_1, v_2, \dots, v_n\}$  is triangular if

$$v_1 T = \alpha_{11} v_1$$

$$v_2 T = \alpha_{21} v_1 + \alpha_{22} v_2$$

.....

$$v_n T = \alpha_{n1} v_1 + \alpha_{n2} v_2 + \dots + \alpha_{nn} v_n$$

### **Theorem: 6.4.1**

If  $T \in A(V)$  has all its characteristic root in  $F$  then there is a basis of  $V$  in which the matrix of  $T$  is triangular

#### **Proof:**

We shall prove this theorem by induction on  $n$ , where  $n$  is the dimension of  $V$  over  $F$  that is  $\dim_F V = n$

#### **Step 1:**

Let  $\dim_F V = 1$  then  $V$  has the basis with 1 element. Therefore  $m(T)$  is a one by one matrix. Hence the theorem is true for  $n = 1$

#### **Step 2:**

Assume that the theorem is true for all vector spaces over  $F$  of dimension  $n - 1$

#### **Step 3:**

Let  $V$  be of dimension  $n$  over  $F$

To prove the matrix of  $T$  is triangular in the basis of  $V$  over  $F$

Let  $\lambda_1 \in F$  be the characteristic root of  $T$  then there exist a non-zero vector  $v_1$  such that  $v_1 T = \lambda_1 v_1 \dots (1)$

Since by the property of characteristic root  $\lambda \in F, T \in A(V)$  then  $vT = \lambda v, v \neq 0$

Let  $W = \{\alpha v_1 / \alpha \in F\} \dots (2)$

Here  $W$  is a one-dimensional subspace of  $V$

To prove  $W$  is invariant under  $T$

That is to prove  $W(T) \subseteq W$

Let  $\alpha v_1 T \in wT$

$\alpha v_1 T = (\alpha \lambda_1) v_1 \in W$  by equation(1)

Therefore  $W(T) \subseteq W$

Hence  $W$  is invariant under  $T$

Let  $\bar{V} = \frac{V}{W}$ ,  $\therefore \dim \bar{V} = \dim V - \dim W = n - 1$

By lemma 6.4.1,  $T$  induces in linear transformation  $\bar{T}$  on  $\bar{V}$  whose minimal polynomial over  $F$  divides the minimal polynomial of  $T$  over  $F$

Thus all the roots of the minimal polynomial of  $\bar{T}$  being the roots of the minimal polynomial of  $T$ , must be lie in  $F$

$\bar{T}$  on  $\bar{V}$  satisfies the hypothesis of the theorem, since  $\bar{V}$  is  $n - 1$  dimensional over  $F$ , our induction hypothesis there is a basis  $\bar{v}_2, \bar{v}_3, \dots, \bar{v}_n$  over  $F$  such that

$$\bar{v}_2 \bar{T} = \alpha_{22} \bar{v}_2$$

$$\bar{v}_3 \bar{T} = \alpha_{32} \bar{v}_2 + \alpha_{33} \bar{v}_3$$

.....

$$\bar{v}_n \bar{T} = \alpha_{n2} \bar{v}_2 + \alpha_{n3} \bar{v}_3 + \dots + \alpha_{nn} \bar{v}_n$$

Let  $\{v_2, v_3, \dots, v_n\}$  be the elements of  $V$  into  $\bar{v}_2, \bar{v}_3, \dots, \bar{v}_n$  respectively

To prove  $\{v_1, v_2, v_3, \dots, v_n\}$  forms a basis of  $V$  over  $F$

That is to prove that (i)  $\{v_1, v_2, v_3, \dots, v_n\}$  are linearly independent (ii) Any element  $v \in V$  is a linear combination of  $\{v_1, v_2, v_3, \dots, v_n\}$

Let  $\alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n = 0$ ,  $\alpha_i \in F$  .....(3)

Now to prove all constants  $\alpha_i = 0$

Equation (3) implies  $\alpha_2 v_2 + \dots + \alpha_n v_n = -\alpha_1 v_1 \in W$

$$\alpha_2(v_2 + W) + \dots + \alpha_n(v_n + W) = W$$

$$\alpha_2 \overline{v_2} + \dots + \alpha_n \overline{v_n} = W$$

Since  $\overline{v_2}, \overline{v_3}, \dots, \overline{v_n}$  is a basis of  $V / W$  and  $\alpha_2 \overline{v_2} + \dots + \alpha_n \overline{v_n} = W$ ,  $\alpha_2 = \alpha_3 = \dots = \alpha_n = 0$

Therefore eqn(3) becomes  $\alpha_1 v_1 = 0 \Rightarrow \alpha_1 = 0 \because v_1 \neq 0$

Let  $v \in V$  then  $\overline{v} = v + W \in \frac{V}{W} = \overline{V} \dots \dots \dots (4)$

$$\text{Let } v = \sum_{i=2}^n \alpha_i v_i$$

$$v + W = \sum_{i=2}^n \alpha_i v_i + W$$

$$v - \sum_{i=2}^n \alpha_i v_i + W = W$$

$$v = \alpha_1 v_1 + \sum_{i=2}^n \alpha_i v_i$$

$$v = \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n$$

Hence any element  $v \in V$  is a linear combination of  $\{v_1, v_2, v_3, \dots, v_n\}$

Now to prove the matrix of  $T$  is triangular in the basis  $\{v_1, v_2, v_3, \dots, v_n\}$

$$\text{Now by (1) } v_1 T = \lambda_1 v_1 = \alpha_{11} v_1$$

$$\overline{v_2 T} = \alpha_{22} \overline{v_2}$$

$$v_2 T - \alpha_{22} v_2 + W = W$$

$$v_2 T - \alpha_{22} v_2 \in W = W$$

$$v_2 T = \alpha_{21} v_1 + \alpha_{22} v_2$$

$$v_3 T = \alpha_{31} v_1 + \alpha_{32} v_2 + \alpha_{33} v_3$$

Similarly we can prove that  $v_n T = \alpha_{n1} v_1 + \alpha_{n2} v_2 + \dots + \alpha_{nn} v_n$



$$\text{Hence } m(T) = \begin{pmatrix} \alpha_{11} & 0 & 0 \dots & 0 \\ \alpha_{21} & \alpha_{22} & 0 \dots & 0 \\ \alpha_{31} & \alpha_{32} & \alpha_{33} \dots & 0 \\ \dots & & & \\ \alpha_{n1} & \alpha_{n2} & \alpha_{n3} \dots & \alpha_{nn} \end{pmatrix}$$

Therefore  $m(T)$  is triangular

**Alternate form of theorem 6.4.1:**

If the matrix  $A \in F_n$  has all its characteristic roots in  $F$  then there is a matrix  $C \in F_n$  such that  $CAC^{-1}$  is triangular

**Theorem 6.4.2:**

If  $V$  is an  $n$ -dimensional vector space over  $F$  and if  $T \in A(V)$  all has its characteristic roots in  $F$  then  $T$  satisfies the polynomial of degree  $n$  over  $F$

**Proof:** Let  $V$  be an  $n$ -dimensional vector space over  $F$

Suppose that  $T \in A(V)$  has all its characteristic roots in  $F$  then by theorem 6.4.1, we can find a basis  $\{v_1, v_2, v_3, \dots, v_n\}$  of  $V$  over  $F$  such that

$$v_1 T = \lambda_1 v_1 = \alpha_{11} v_1$$

$$v_2 T = \alpha_{21} v_1 + \alpha_{22} v_2$$

$$v_3 T = \alpha_{31} v_1 + \alpha_{32} v_2 + \alpha_{33} v_3$$

Here the above can be rewritten as

$$\begin{aligned} v_1 T &= \lambda_1 v_1 \\ v_1 (T - \lambda_1) &= 0 \dots \dots (1) \end{aligned}$$

$$\text{Also } v_2 (T - \lambda_2) = \alpha_{21} v_1 \dots \dots (2)$$

Similarly we can write  $v_n (T - \lambda_n) = \alpha_{n1} v_1 + \alpha_{n2} v_2 + \dots \dots + \alpha_{nn-1} v_{n-1}$

$$\text{Also } (T - \lambda_1)(T - \lambda_2) = (T - \lambda_2)(T - \lambda_1)$$

Continuing in this way, we get

$$(T - \lambda_1)(T - \lambda_2) \dots (T - \lambda_n) = 0$$

Multiplying both side by  $(T - \lambda_1)$  in eqn(2) we get

$$v_2(T - \lambda_2)(T - \lambda_1) = \alpha_{21}v_1(T - \lambda_1) = 0$$

Proceeding in this manner we get

$$v_n(T - \lambda_n) \dots (T - \lambda_1) = 0$$

Let  $S = (T - \lambda_1)(T - \lambda_2) \dots (T - \lambda_n)$  which satisfies

$$v_1S = 0, v_2S = 0, \dots, v_nS = 0$$

Hence  $S = 0$ ,  $v_i \neq 0$ ,  $i = 1, 2, 3, \dots, n$

$$(T - \lambda_1)(T - \lambda_2) \dots (T - \lambda_n) = 0$$

Therefore  $T$  satisfies the polynomial  $(x - \lambda_1)(x - \lambda_2) \dots (x - \lambda_n) \in F[x]$  of degree  $n$

Hence  $T$  satisfies the polynomial of degree  $n$  over  $F$

## Section 6.5

### Canonical Transformation – Nilpotent Transformation

#### Lemma: 6.5.1

If  $V = v_1 \oplus v_2 \oplus \dots \oplus v_k$  where each subspace  $v_i$  is of dimension  $n_i$  and is invariant under  $T$ , then a basis of  $V$  can be found so that, the matrix of  $T$  in this basis is of the form,

$$\begin{pmatrix} A_1 & 0 & \dots & 0 \\ 0 & A_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & A_k \end{pmatrix}$$

Where each  $A_i$  is  $n_i \times n_i$  matrix and the linear transformation induced by  $T$  on  $v_i$ .

**Proof:**

Choose a basis  $V$  as follows:

$\{v_1^{(1)}, v_2^{(1)} \dots v_{n_1}^{(1)}\}$  is a basis of  $V_1$

$\{v_1^{(2)}, v_2^{(2)} \dots v_{n_2}^{(2)}\}$  is a basis of  $V_2 \dots$

$\{v_1^{(n)}, v_2^{(n)} \dots v_{n_k}^{(n)}\}$  is a basis of  $V_k$

Since each  $V_i$  is invariant under  $T$ ,  $v_j^{(i)} T \in V_i$ ,  $i = 1..k$  and so it is a linear combination of  $v_1^{(i)}, v_2^{(i)} \dots v_{n_i}^{(i)}$ . thus the matrix of  $T$  this basis is the desired form.

ie, the matrix of  $T$ , in this basis is of the form  $n_i \times n_i$

Let this matrix be  $A_i$ . ie, each  $A_i$  is a matrix of  $T_i$  and  $T_i$  is the linear transformation induced by  $T$  on  $V_i$

Hence we get, the matrix of  $T$  in the above basis of  $V$  as

$$\begin{pmatrix} A_1 & 0 \dots & 0 \\ 0 & A_2 \dots & 0 \\ \vdots & \vdots & \vdots \\ 0 & 0 \dots & A_k \end{pmatrix}$$

Hence the theorem.

### Definition of Nilpotent:

An element  $T \in A(V)$  is said to be an invertible then there exist an element  $S \in A(V)$  such that  $ST = TS = 1$

### Lemma: 6.5.2.

If  $T \in A(V)$  is nilpotent then  $\alpha_0 + \alpha_1 T + \dots + \alpha_m T^m$  where the  $\alpha_i \in F$  is invertible  $\alpha_0 \neq 0$ .

### Proof:

Suppose that  $T$  is nilpotent, the definition of nilpotent have exist an integer  $r$  such that  $T^r = 0$ .

To prove  $\alpha_0 + \alpha_1 T + \dots + \alpha_m T^m$  is invertible if  $\alpha_0 \neq 0$ .

Let  $S = \alpha_0 + \alpha_1 T + \dots + \alpha_m T^m$ . Now to prove  $\alpha_0 + S$  is invertible.

Consider,  $S^r = (\alpha_1 T + \dots + \alpha_m T^m)^r$

$$= (T(\alpha_1 + \dots + \alpha_m T^m)^r)$$

$$= T^r(\alpha_1 + \dots + \alpha_m T^m)^r$$

$$= 0 \quad (T^r = 0)$$

Consider,  $(\alpha_0 + S) = \left( \frac{1}{\alpha_0} - \frac{S}{\alpha_0^2} + \frac{S^2}{\alpha_0^3} + \dots + \frac{(-1)^{r-1} S^{r-1}}{\alpha_0^r} \right)$

$$= 1 - \frac{S}{\alpha_0} + \dots + \frac{(-1)^{r-1} S^{r-1}}{\alpha_0^{r-1}} + \frac{S}{\alpha_0} - \frac{S^2}{\alpha_0^2} + \dots + \frac{(-1)^{r-1} S^r}{\alpha_0^r}$$

$$= 1 + \frac{(-1)^{r-1} S^r}{\alpha_0^r}$$

$$= 1 \quad (\text{since } S^r = 0)$$

Hence  $\alpha_0 + S$  is invertible.  $\alpha_0 + \alpha_1 T + \dots + \alpha_m T^m$  is invertible if  $\alpha_0 \neq 0$ .

### Definition:

If  $T \in A(V)$  is nilpotent then  $k$  is called the index of nilpotent of  $T$ . If  $T^k = 0$  but  $T^{k-1} \neq 0$ .

### Theorem 6.5.1:

If  $T \in A(V)$  is nilpotent, of index of nilpotent  $n_1$  then a basis of  $V$  can be found such that the matrix of  $T$  in this basis of the form

$$\begin{pmatrix} A_1 & 0 & \dots & 0 \\ 0 & A_2 & \dots & 0 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \dots & A_k \end{pmatrix}$$

Where  $n_1 \geq n_2 \geq \dots \geq n_r$  and where  $n_1 + \dots + n_r = \dim_F V$

### Proof:

Given that  $T \in A(V)$  is nilpotent.  $T^n = 0$

Also given that,  $T$  is of index of nilpotents  $n_1$ .  $T^{n_1} = 0$  but  $T^{n_1-1} \neq 0$ .----(1)

Now we can find a vector  $v \in V$  such that  $v T^{n_1-1} \neq 0$ .

We claim that the vectors  $v, v T, \dots, v T^{n_1-1}$  are linearly independent over  $F$

Suppose that the above vectors are not linearly independent then

$\alpha_1 v + \alpha_2 v T + \dots + \alpha_{n_1} v T^{n_1-1} = 0$  where  $\alpha_i \in F$ , here all the  $\alpha$ 's are not zero. Let  $\alpha_s$ 's be the first non zero coefficient of the above equation.

$$\alpha_s v T^{s-1} + \dots + \alpha_{n_1} v T^{n_1-1} = 0$$

$$v T^{s-1} (\alpha_s + \dots + \alpha_{n_1} T^{n_1-s}) = 0 \text{ -----(2)}$$

since  $\alpha_s \neq 0$  by using lemma 6.5.2, we get  $(\alpha_s + \alpha_s T + \dots + \alpha_{n_1} T^{n_1-s})$  is invertible.

Equation (2) becomes

$$v T^{s-1} I = 0$$

$$v T^{s-1} I I^{-1} = 0 I^{-1} = 0$$

$$v T^{s-1} = 0. \text{ Which is a contradiction to } v T^{n_1-1} \neq 0 \text{ for } s < n_1.$$

Hence  $v, v T, \dots, v T^{n_1-1}$  are linearly independent. Let  $v_1$  be the subspace of  $V$  spanned by

$$v_1 = v, v_2 = v T, \dots, v_{n_1} = v T^{n_1-1}$$

$v_1 T \subset v_1$ . Hence  $v_1$  is invariant under  $T$

Thus in this basis the linear transformation induced by  $T$  on  $v_1$  has the matrix  $M_{n_1}$

$$M_{n_1} = \begin{pmatrix} 0 & 1 & \dots & 0 \\ 0 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 1 \end{pmatrix}$$

Now to prove the rest of the theorem we need the following lemma's

**Lemma: 6.5.3.**

If  $u \in V_1$  is such that  $u T^{n_1-k} = 0$  where  $0 < k \leq n_1$  then  $u = u_0 T^k$  some  $u_0 \in V_1$

**Proof:**

Given that  $u \in V_1$  and  $V_1$  is a subspace of  $V$  spanned by  $v, vT, \dots, vT^{n_1-1}$ . Also given that

$$u T^{n_1-k} = 0. \text{-----}(3)$$

$$\text{Then } u = \alpha_1 v + \alpha_2 vT + \dots + \alpha_{n_1} v T^{n_1-1}$$

$$\begin{aligned} u T^{n_1-k} &= (\alpha_1 v + \alpha_2 vT + \dots + \alpha_{n_1} v T^{n_1-1}) T^{n_1-k} \\ &= \alpha_1 v T^{n_1-k} + \alpha_2 v T^{n_1-k+1} + \dots + \alpha_{n_1} v T^{2n_1-k-1} \\ &= 0 \end{aligned}$$

$v T^{n_1-k}, \dots, v T^{2n_1-k-1}$  are linearly independent

$$\text{Hence } \alpha_1 = \alpha_2 = \dots = \alpha_k = 0$$

$$u = \alpha_{(k+1)} v T^k + \dots + \alpha_{n_1} v T^{n_1-1} = u_0 T^k$$

$$u_0 = \alpha_{(k+1)} v + \dots + \alpha_{n_1} v T^{n_1-k-1} \in V_1$$

**Lemma: 6.5.4.**

There exist a subspace  $W$  of  $V$ , invariant under  $T$  such that  $V = V_1 \oplus W$

**Proof:**

Let  $W$  be a subspace of  $V$  which is the largest possible such that

- (i)  $V_1 \cap W = \{0\}$
- (ii)  $W$  is invariant under  $T$

To show that  $V = V_1 + W$ . where  $V_1$  is the subspace of  $V$  which is invariant under  $T$

Suppose not  $V \neq V_1 + W$ . Then there exist an element  $z \in V$  such that  $z$  does not belongs to  $V_1 + W$ . since  $T^{n_1} = 0$ , there exist an integer  $k$ ,  $0 < k \leq n_1$  such that  $zT^k \in V_1 + W$  and such that  $zT^i$  does not belongs to  $V_1 + W$ , for  $i < k$  -----(4)

Thus  $zT^k = u + w$  where  $u \in V_1$  &  $w \in W$  -----(5)

$$zT^{n_1} = 0$$

$$(zT^k) T^{n_1-k} = 0$$

$$(u+w)T^{n_1-k} = 0$$

$$u T^{n_1-k} + w T^{n_1-k} = 0 \text{ -----(6)}$$

Since  $W$  is invariant under  $T$ ,  $uT \in V_1$ ,  $wT \in W$

$$u T^{n_1-k} \in V_1 \text{ \& } w T^{n_1-k} \in W$$

Equation (6) becomes

$$u T^{n_1-k} + w T^{n_1-k} \in V_1 \cap W = \{0\}$$

$$u T^{n_1-k} = - w T^{n_1-k} \in V_1 \cap W = \{0\}$$

$$u T^{n_1-k} = 0$$

Now by using lemma 6.5.3.

There exist an integer  $u_0 \in V_1$  Show that  $u = u_0 T^k$

Equation (5) becomes

$$zT^k = u+w$$

$$= u_0 T^k + w$$

$$zT^k = u_0 T^k = w$$

$$T^k(z - u_0) = w \in W$$

Let  $u_1 = z - u_0$  then  $T^k u_0 = w \in W$

Since  $W$  is invariant under  $T$ ,  $wT \in W$

$$u.T^k T \in W$$

$$u_1 T^m \in W, m > k$$

on the other hand if  $i > k$  then,

$$\begin{aligned} u_1 T^i &= (z - u_0) T^i \\ &= (z T^i - u_0 T^i) \end{aligned}$$

Does not contain  $V_1 + W$

For otherwise  $u_1 T^i \in V_1 + W$ . Which is a contradiction to equation (4)

Let  $W$  be the subspace of  $V$  spanned by  $W$  &  $z_1, z_1 T, \dots, z_1 T^{k-1}$

Since  $w \in W$  and  $W \subset w_1$  Then  $\dim W < \dim w_1$

$\dim w_1$  must be larger than that of  $W$

Since  $z_1 T^k \in W$

If  $W$  is invariant under  $T$ ,  $w_1$  must be invariant under  $T$

To prove  $w_1 T \in W_1$  Where  $w_1 \in W_1$ . Here  $w_1 = w_0 + \alpha_1 z_1 T + \dots + \alpha_k z_1 T^{k-1}$  ----(7)

$$w_1 T = w_0 T + \alpha_1 z_1 T^2 + \dots + \alpha_k z_1 T^k$$

$$w_0 T \in W \text{ \& } z_1 T^k \in W$$

$$w_1 T \in W_1$$

hence  $W_1$  is invariant under  $T$ . We have  $V_1 \cap W_1 \neq \{0\}$ , otherwise this will affect the maximum matrix of  $W$ . There exist an element  $w_0 \in W$  is of the form,  $\alpha_0 + \alpha_1 z_1 + \dots + \alpha_k z_1 T^k \neq 0$  ---(8) in  $V_1 \cap W$  have all the scalars  $\alpha_1 \dots \alpha_k$  are non-zero. But  $w_0 \in W \subset W_1$

$w_0 \neq 0$ , which is a contradiction to our assumption that  $V_1 \cap W_1 = \{0\}$ ,

Let  $\alpha$ s be the first non-zero coefficient of equation (7)



$$w_0 + \alpha_1 z_1 + \dots + \alpha_k z_1 T^{k-1} \neq 0 \in V_1$$

$$w_0 + z_1 T^{s-1} (\alpha_s + \dots + \alpha_k z_1 T^{k-s}) \in V_1$$

Since  $\alpha_s \neq 0$  by using lemma 6.5.2., we get

$$\alpha_s + \alpha_{s+1} T + \dots + \alpha_k z_1 T^{k-s} = \frac{1}{R} \dots (9)$$

$$\text{Equation (9) becomes } w_0 + z_1 T^{s-1} = \frac{1}{R} \in V_1$$

$$\text{ie) } w_0 R + z_1 T^{s-1} \in V_1 R \subset V_1$$

$$\text{ie) } z_1 T^{s-1} \in V_1 + W, \text{ since } s-1 < k \text{ which is impossible.}$$

Our assumption that  $V_1 + W \neq V$ .  $V = V_1 + W$ . Already we have  $V_1 \cap W = \{0\}$ . Hence we get,  $V = V_1 \oplus W$ .

Proof the main theorem, here  $V = V_1 \oplus W$ . Where  $W$  is invariant under  $T$ , Then by using lemma 6.5.1., the matrix of  $T$  in the basis  $v_1, v_2, \dots, v_n$  has the form  $\begin{pmatrix} M_{n_1} & 0 \\ 0 & n_2 \end{pmatrix}$ . Where  $A_2$  is the matrix of  $T_2$  &  $T_2$  is the linear transformation induced by  $T$  on  $W$ . since  $T^{n_1} = 0$ ,  $T^{n_2} = 0$  for some  $n_2 \leq n_1$  repeating the above argument used for  $T$  on  $V$  for  $T_2$  on  $W$ . Hence we get a basis of  $V$  in which the matrix of  $T$  is the form

$$\begin{pmatrix} M_{n_1} & 0 & \dots & 0 \\ 0 & M_{n_2} & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & n_r \end{pmatrix}$$

Where  $n_1 \geq n_2 \geq \dots \geq n_r$ . Since the size of the matrix is  $n \times n$ . Hence we have,

$$n_1 + n_2 + \dots + n_r = \dim V$$

$$\text{(ie) } \dim V = n$$

Hence the Theorem

### Definition – 1:

The integer  $n_1, n_2, \dots, n_r$  are called the invariants of  $T$

**Definition – 2:**

If  $T \in A(V)$  is nilpotent, the subspace  $M$  of  $V$  is of dimension  $m$  which is invariant under  $T$  is called cyclic with respect to  $T$ . If (i)  $MT^m = 0, MT^{m-1} \neq 0$

(ii) There is an element  $z \in M$  such that  $z, zT, \dots, zT^{m-1}$  form a basis of  $M$ .

**Lemma: 6.5.5.**

If  $M$  is of dimension  $m$  is cyclic with respect to  $T$ . Then the dimension of  $MT^k$  is  $m-k$  for all  $h \subseteq M$

**Proof:**

Given that  $M$  is cyclic with respect to  $T$  and  $M$  is of dimension  $m$ .

To prove that  $\dim MT^k = m-k$ , for all  $k \leq m$ .

Since  $M$  is cyclic with respect to then by definition of cyclic

(i)  $MT^m = 0, MT^{m-1} \neq 0$

(ii) There is an element  $z \in M$  such that  $z, zT, \dots, zT^{m-1}$  form a basis of  $M$ .

**Claim:**

$z, zT, \dots, zT^{m-1}$  of  $M$  leads to a basis  $zT^k, zT^{k+1}, \dots, zT^{m-1}$  of  $mT^k$ .

First we want to prove,  $zT^k, zT^{k+1}, \dots, zT^{m-1}$  are linearly independent

$$\text{Let } \alpha_1 zT^k + \alpha_2 zT^{k+1} + \dots + \alpha_{m-k} zT^{m-1} = 0$$

$$0.z + 0.zT + \dots + \alpha_1 zT^k + \alpha_2 zT^{k+1} + \dots + \alpha_{m-k} zT^{m-1} = 0$$

$$\alpha_i = 0 \text{ for all } i$$

$\{zT^k, zT^{k+1}, \dots, zT^{m-1}\}$  is linearly independent

Now to prove every element of  $mT^k$  is linear combination of  $\{zT^k, zT^{k+1}, \dots, zT^{m-1}\}$ . Let  $x \in M$

$$\text{ie) } x = \alpha_1 z + \alpha_2 zT + \dots + \alpha_m zT^{m-1}$$

$$xT^k = \alpha_1 zT^k + \alpha_2 zT^{k+1} + \dots + \alpha_m zT^{m+k-1}$$

$$xT^k \in MT^k$$

Every element of  $MT^k$  is a linear combination of  $\{zT^k, zT^{k+1}, \dots, zT^{m-1}\}$  form a basis of  $MT^k$ .

$$\dim MT^k = m - k$$

Hence the lemma.

### **Theorem: 6.5.2.**

Two nilpotent linear transformation are similar iff they have the sae invariants.

### **Proof:**

#### **Necessary Part:**

Let T & S be to similar nilpotent linear transformations.

To prove that, T & S have the same invariants

Given that T is a nilpotent linear transformation. By using 6.5.1. theorem, we can find a integers  $n_1 \geq n_2 \geq \dots \geq n_r$  and subspaces  $v_1, v_2, \dots, v_r$  of V cyclic with respect to T and of dimensions  $n_1, n_2, \dots, n_r$  respectively such that  $V = v_1 \oplus v_2 \oplus \dots \oplus v_r$

Again given that s is a nilpotent linear transformation then by using theorem 6.5.1.

We can find another integer,  $m_1 \geq m_2 \geq \dots, m_s$  and subspaces  $u_1, u_2, \dots, u_s$  of cyclic with respect to S and such of dimensions  $m_1, m_2, \dots, m_s$  respectively such that that  $V = U_1 \oplus U_2 \oplus \dots \oplus U_s$

### **Claim:**

$r = s, n_1 = m_1, n_2 = m_2, \dots, n_r = m_s$ . Let us assume that the above one is not true. (ie) there exist atleast one integer k such that  $n_k \neq m_k$ .

Let I be the first integer such that  $n_i \neq m_i$ , where  $n_1 = m_1, n_2 = m_2, \dots, n_{i-1} = m_{i-1}$  without loss of generality, let  $m_i < n_i$ . Since  $V = v_1 \oplus v_2 \oplus \dots \oplus v_r$  Now  $VT^{mi} = v_1 T^{mi} \oplus v_2 T^{mi} \oplus \dots \oplus v_r T^{mi}$

$$\dim (VT^{mi}) = \dim v_1 T^{mi} + \dots + \dim v_r T^{mi}$$

$$\geq (n_1 - m_i) + (n_2 - m_i) + \dots + (n_r - m_i) \text{ also } V = U_1 \oplus U_2 \oplus \dots \oplus U_s$$

$$\text{Now } VT^{m_i} = U_1 T^{m_i} \oplus U_2 T^{m_i} \oplus \dots \oplus U_s T^{m_i}$$

$$\dim(VT^{m_i}) = \dim U_1 T^{m_i} + \dots + \dim U_s T^{m_i}$$

$$\geq (m_1 - m_i) + (m_2 - m_i) + \dots + (m_s - m_i), \text{ I is } n_1=m_1, n_2=m_2, \dots, n_i=m_i = 1$$

$$\text{Where } VT^{m_i} = (n_1 - m_i) + (n_2 - m_i) + \dots + (n_{i-1} - m_i)$$

$$\text{Which is contradiction to dimension of, } \dim(VT^{m_i}) \geq (n_i - m_i) \dots (n_r - m_i)$$

Thus there is a unique set of integer,  $n_1 \geq n_2 \geq \dots \geq n_r$ . Such that  $V$  is the direct sum of subspaces, cyclic with respect to  $T$  of dimensions  $n_1, n_2, \dots, n_r$  thus they have the same invariants.

### Sufficient Part:

Assume that two nilpotent linear transformation  $T$  &  $S$  have the same invariant. To prove that  $T$  &  $S$  are similar.

Let the invariants  $T$  &  $S$  be  $n_1 \geq n_2 \geq \dots \geq n_r$ , then by theorem 6.5.1, there exist a basis  $\{v_1, v_2, \dots, v_n\}$  and  $\{w_1, w_2, \dots, w_n\}$  of  $V$ . Such that the matrix of  $T$  and the matrix of  $S$  are equal

$$M(T) = \begin{pmatrix} M_{n1} & 0 \dots & 0 \\ 0 & M_{n2} \dots & 0 \\ \vdots & \vdots & \vdots \\ 0 & 0 \dots & M_{nr} \end{pmatrix}$$

But if  $A$  is a linear transformation defined on  $V$  by  $v_i A = w_i$ . Then  $S = ATA^{-1}$  (Since by using the result. Let  $T$  &  $S$  be linear transformation defined on  $V$  such that the matrix of  $T$  in one basis is equal to the matrix of  $S$  in another basis. Then a transformation  $A$  on  $B$  such that  $T = ASA^{-1}$ )

Thus  $T$  and  $S$  are similar linear transformations.

## 6.6 Canonical Forms: A Decomposition of $V$ : Jordan Form

### Lemma 6.6.1

Suppose that  $V = V_1 \oplus V_2$ , where  $V_1$  and  $V_2$  are subspaces of  $V$  invariant under  $T$ .

Let  $T_1$  and  $T_2$  be the linear transformations induced by  $T$  on  $V_1$  and  $V_2$  respectively. If the minimal polynomial of  $T_1$  over  $F$  is  $p_1(x)$  while that of  $T_2$  is  $p_2(x)$ , then the minimal polynomial for  $T$  over  $F$  is the least common multiple of  $p_1(x)$  and  $p_2(x)$ .

**Proof:**

Given that  $V = V_1 \oplus V_2$ , where  $V_1$  and  $V_2$  are subspaces of  $V$  invariant under  $T$ .

Let  $p(x)$  be the minimal polynomial for  $T$  over  $F$ . Then  $p(T) = 0$ .

Therefore,  $p(T_1) = 0$  and  $p(T_2) = 0$ .

Since  $p_1(x)$  is a minimal polynomial of  $T_1$ , we have  $p_1(T_1) = 0$ , which implies  $p_1(x)|p(x)$ .

Similarly,  $p_2(x)$  is a minimal polynomial of  $T_2$ , we have  $p_2(T_2) = 0$ , which implies  $p_2(x)|p(x)$ .

Hence, the L.C.M of  $p_1(x)$  and  $p_2(x)$  must divide  $p(x)$ .

Let  $q(x)$  be the L.C.M of  $p_1(x)$  and  $p_2(x)$  then  $q(x)|p(x)$  \_\_\_\_\_(1)

Since  $q(x)$  is the L.C.M of  $p_1(x)$  and  $p_2(x)$ , we have  $p_1(x)|q(x)$ .

$\Rightarrow q(x) = p_1(x)h(x)$  where  $h(x) \in F[x]$ .

Also,  $q(T_1) = p_1(T_1)h(T_1) \Rightarrow q(T_1) = 0$ , (since  $p_1(T_1) = 0$ )

Consider,  $v_1 \in V_1$ , then  $v_1q(T) = v_1q(T_1)$ ,

$$= v_1p_1(T_1)h(T_1) = 0, \text{ (since } p_1(T_1) = 0\text{).}$$

Similarly,  $v_2 \in V_2$ , then  $v_2q(T) = v_2q(T_2)$ ,

$$= v_2p_2(T_2)h(T_2) = 0, \text{ (since } p_2(T_2) = 0\text{).}$$

Let  $v \in V$ , then  $v_1 + v_2 = v$ ,  $v_1 \in V_1$  and  $v_2 \in V_2$

Now,  $vq(T) = (v_1 + v_2)q(T)$

$$= v_1q(T) + v_2q(T)$$

$$vq(T) = 0 \implies q(T) = 0 \quad \text{_____}(2)$$

From (1) and (2),

$q(x)$  is the minimal polynomial of  $T$  which is the L.C.M of  $p_1(x)$  and  $p_2(x)$ .

**Corollary:**

If  $V = V_1 \oplus V_2 \oplus \dots \oplus V_k$ , where  $V_i$  is invariant under  $T$  and if  $p_i(x)$  is the minimal polynomial over  $F$  of  $T_i$ , the linear transformation induced by  $T$  on  $V_i$ , then the minimal polynomial of  $T$  over  $F$  is the least common multiple of  $p_1(x), p_2(x), \dots, p_k(x)$ .

**Proof:**

We prove this result by induction on  $k$ .

For  $k = 1$ , the result is obvious.

For  $k = 2$  then  $V = V_1 \oplus V_2$ .

$\therefore$  By using previous theorem, we get the result.

Assume that, the result is true for  $k - 1$ , then by induction hypothesis the minimal polynomial  $p_i(x)$  of  $T_i$  is the L.C.M of  $p_1(x), p_2(x), \dots, p_{k-1}(x)$ .

Now,  $T = T_i + T_k$ , then by using previous lemma,

The minimal polynomial of  $T$  over  $F$  is the L.C.M of  $p_1(x), p_2(x), \dots, p_{k-1}(x)$ .

**Theorem: 6.6.1 [Jordan Theorem]**

For each  $i = 1, 2, \dots, k, V_i \neq (0)$  and  $V = V_1 \oplus V_2 \oplus \dots \oplus V_k$ . The minimal polynomial of  $T_i$  is  $q_i(x)^{l_i}$ . **(OR)** Let  $T \in A(V)$  and  $p(x) = q_1(x)^{l_1} \cdot q_2(x)^{l_2} \dots q_k(x)^{l_k}$ , where  $q_i(x)^{l_i}$  are distinct irreducible polynomial over  $F$  be the minimal polynomial for  $T$  over  $F$  then  $V = V_1 \oplus V_2 \oplus \dots \oplus V_k$ , where each  $V_i \neq (0)$  and  $T(V_i) \subseteq V_i$  is a subspace of  $V$  is invariant under  $T$ . Then the minimal polynomial for  $T_i$  is the linear transformation induced by  $T$  on  $V_i$  is  $q_i(x)^{l_i}$ .

**Proof:**

**Claim 1**

To prove, each  $V_i$  is invariant under  $T$ .

If  $k = 1$ , then  $V = V_1$  and  $p(x) = q_1(x)^{l_1}$ .

Then,  $p(T) = q_1(T)^{l_1} = 0$ .

$\Rightarrow V$  is the subspace and  $T$  is the minimal  $p(x)$ , a power of the irreducible polynomial.

$\therefore$  The theorem is true for  $k = 1$ .

Let  $k > 1$ , then  $p(x) = q_1(x)^{l_1} \cdot q_2(x)^{l_2} \dots q_k(x)^{l_k}$ .

Let  $V_1 = \{v \in V \mid vq_1(T)^{l_1} = 0\}$

$V_2 = \{v \in V \mid vq_2(T)^{l_2} = 0\}$

$\vdots$

$V_i = \{v \in V \mid vq_i(T)^{l_i} = 0\}$

$\vdots$

$V_k = \{v \in V \mid vq_k(T)^{l_k} = 0\}$

Clearly,  $V_1, V_2, \dots, V_k$  are subspaces of  $V$ . Also if  $v \in V_i$  then  $vq_i(T)^{l_i} = 0$ .

To prove  $vT \in V_i$  for  $v \in V_i$ , i.e. To prove,  $vTq_i(T)^{l_i} = 0$ .

Now,  $vTq_i(T)^{l_i} = v(q_i(T)^{l_i})T = 0$

$\therefore V_i$  is invariant under  $T$ .

**Claim 2**

Now,  $h_1(x) = q_2(x)^{l_2} \cdot q_3(x)^{l_3} \dots q_k(x)^{l_k}$

$h_2(x) = q_1(x)^{l_1} \cdot q_3(x)^{l_3} \dots q_k(x)^{l_k}$

$\vdots$

$h_i(x) = \prod_{j \neq i} q_j(x)^{l_j}$

$\vdots$

$$h_k(x) = q_1(x)^{l_1} \cdot q_3(x)^{l_3} \dots q_{k-1}(x)^{l_{k-1}}$$

Since  $p(x)$  is the minimal polynomial for  $T$ , we have  $p(T) = 0$ .

$$\text{Also } \deg(h_i(x)) < \deg(p(x))$$

$$\Rightarrow h_i(T) \neq 0, \forall i = 1, 2, \dots, k$$

$$\therefore \exists v_i \in V \text{ such that } v_i h_i(T) \neq 0$$

Let  $w_i = v_i h_i(T)$ , then

$$\begin{aligned} w_i q_i(T)^{l_i} &= (v_i h_i(T)) q_i(T)^{l_i} \\ &= v_i p(T) \end{aligned}$$

$$w_i q_i(T)^{l_i} = 0, (\because p(T) = 0)$$

$$\Rightarrow w_i \neq 0 \in V_i, \text{ also } v_i h_i(T) \neq 0 \text{ and for which } v_i h_i(T) \in V h_i(T)$$

$$\text{i.e., } v_i h_i(T) q_i(T)^{l_i} = v_i p(T) = 0$$

$$\text{But } v_i h_i(T) \neq 0 \in V_i, \text{ we have } v_j h_i(T) = 0, i \neq j.$$

$$\text{Thus, } q_j(x)^{l_j} | h_i(x).$$

### Claim 3

$$V = V_1 \oplus V_2 \oplus \dots \oplus V_k$$

We know that,  $h_1(x), h_2(x), \dots, h_k(x)$  are distinct irreducible polynomials. Therefore, they are relatively prime.

Hence, we can find a polynomial  $a_1(x), a_2(x), \dots, a_k(x) \in F[x]$ , such that

$$a_1(x)h_1(x) + a_2(x)h_2(x) + \dots + a_k(x)h_k(x) = 1$$

$$\Rightarrow a_1(T)h_1(T) + a_2(T)h_2(T) + \dots + a_k(T)h_k(T) = 1$$

Now for  $v \in V$ , we have

$$v(a_1(T)h_1(T) + a_2(T)h_2(T) + \dots + a_k(T)h_k(T)) = 1 \cdot v$$

$$va_1(T)h_1(T) + va_2(T)h_2(T) + \dots + va_k(T)h_k(T) = v$$

Now, each  $va_i(T)h_i(T) \in V h_i(T)$  and also each  $v = v_1 + v_2 + \dots + v_k$ , where each  $v_i = va_i(T)h_i(T)$  is in  $V h_i(T)$ .



Thus,  $V = V_1 + V_2 + \cdots + V_k$

Suppose that,  $V_1 + V_2 + \cdots + V_k = 0$  for each  $V_i \in V$ .

Now,  $(V_1 + V_2 + \cdots + V_k)h_1(T) = 0$

Let  $v \in V$  then  $v = v_1 + v_2 + \cdots + v_k$ , then

$$(v_1 + v_2 + \cdots + v_k)h_1(T) = 0$$

$$v_1 h_1(T) + v_2 h_1(T) + \cdots + v_k h_1(T) = 0$$

Which implies that,  $v_1 h_1(T) = 0$ ,  $[\because v_j h_i(T) = 0, \text{ for } i \neq j]$

Also,  $v_i q_i(T)^{l_1} = 0$  and  $h_1(x), q_1(x)^{l_1}$  are relatively prime, we get  $p_1 = 0$ .

By the same procedure we get,  $v_2 = 0, v_3 = 0, \dots, v_k = 0$

Hence,  $V = V_1 \oplus V_2 \oplus \dots \oplus V_k$ .

#### Claim 4

The minimal polynomial for  $T_i$  is the linear transformation induced by  $T$  on  $V_i$  is  $q_i(x)^{l_i}$  on  $V_i$ .

$$\text{By } V_i q_i(T)^{l_i} = 0 \Rightarrow q_i(T)^{l_i} = 0$$

$$\Rightarrow T_i \text{ satisfies the polynomial } q_i(x)^{l_i}$$

$$\Rightarrow \text{The minimal polynomial for } T_i \text{ must be the divisor of } q_i(x)^{l_i}$$

$$\text{Of the form } q_i(x)^{f_i} \text{ where } f_i \leq l_i$$

By the **Corollary 6.6.1**, we get ,

The minimal polynomial of  $T$  over  $F$  is the L.C.M of  $q_1(x)^{f_1}, q_2(x)^{f_2}, \dots, q_k(x)^{f_k}$ .

$$\therefore q_1(x)^{l_1} q_2(x)^{l_2} \dots q_k(x)^{l_k} = q_1(x)^{f_1} q_2(x)^{f_2} \dots q_k(x)^{f_k}$$

$$\Rightarrow l_1 = f_1, l_2 = f_2, \dots, l_k = f_k$$

Thus the minimal polynomial for  $T_i$  is  $q_i(x)^{l_i}$ .

#### Corollary:

If all the distinct characteristic root  $\lambda_1, \lambda_2, \dots, \lambda_k$  of  $T$  lie in  $F$  then  $V$  can be written as  $V = V_1 \oplus V_2 \oplus \dots \oplus V_k$  where  $V_i = \{v \in V / v(T - \lambda_i)^{l_i} = 0\}$  and where  $T_i$  has only one characteristic root  $\lambda_i$  on  $V_i$ .

**Proof:**

By the above **Theorem 6.6.1**,

we have proved that for the minimal polynomial,

$$p(x) = q_1(x)^{l_1}, q_2(x)^{l_2}, \dots, q_k(x)^{l_k}, V = V_1 \oplus V_2 \oplus \dots \oplus V_k \text{ where}$$

$$V_i = \{x \in V / vq_i(T)^{l_i} = 0\}.$$

We know that, the characteristic roots of  $T$  are the roots of the minimal polynomial  $p(x)$ , the characteristic roots lies in  $F$ , the factorization of  $p(x)$  becomes,

$$p(x) = (x - \lambda_1)^{l_1}(x - \lambda_2)^{l_2} \dots (x - \lambda_k)^{l_k}$$

Where  $\lambda_1, \lambda_2, \dots, \lambda_k$  are distinct characteristic roots of  $T$ .

$\therefore$  The irreducible factors,

$$q_i(x) = x - \lambda_i$$

$$q_i(T) = T - \lambda_i$$

$\therefore T_i$  has only one characteristic root  $\lambda_i$  on  $V_i$ .

**Definition: (Jordan Form)**

The matrix  $\begin{pmatrix} \lambda & 1 & 0 & \dots & 0 \\ 0 & \lambda & \dots & \dots & \dots \\ \vdots & \dots & \dots & \dots & \dots \\ \vdots & \dots & \dots & \dots & 1 \\ 0 & \dots & \dots & \dots & \lambda \end{pmatrix}$  with  $\lambda$ 's on the diagonal, 1's on the superdiagonal and

0's elsewhere, is a basic Jordan Block belonging to  $\lambda$ .

**Theorem: 6.6.2**

Let  $T \in A_F(V)$  have all its distinct characteristic roots,  $\lambda_1, \lambda_2, \dots, \lambda_k$  in  $F$ . Then a basis of  $V$

can be found in which the matrix  $T$  is of the form  $\begin{pmatrix} J_1 & & \\ & J_2 & \\ & & \ddots \\ & & & J_k \end{pmatrix}$  where each

$J_i = \begin{pmatrix} B_{i1} & & \\ & B_{i2} & \\ & & \ddots \\ & & & B_{ir_i} \end{pmatrix}$  and where  $B_{i1}, B_{i2}, \dots, B_{ir_i}$  are basic Jordan blocks belonging to  $\lambda_i$ .

**Proof:**

Let  $T \in A_F(V)$  have all its distinct characteristic roots,  $\lambda_1, \lambda_2, \dots, \lambda_k$  in  $F$ .

To prove, A basis of  $V$  can be found in which the matrix of  $T$  is of the form  $\begin{pmatrix} J_1 & & \\ & J_2 & \\ & & J_k \end{pmatrix}$ ,

where  $J_i = \begin{pmatrix} B_{i1} & & \\ & B_{i2} & \\ & & B_{ir_i} \end{pmatrix}$ .

Since  $T$  has all its distinct roots in  $F$ .

By the **Corollary 6.6.1**,  $V$  can be written as,

$$V = V_1 \oplus V_2 \oplus \dots \oplus V_k, \text{ where } V_i = \{v \in V / v(T - \lambda_i)^{l_i} = 0\} \quad (1)$$

And  $T|_{V_i}$  has only one characteristic root  $\lambda_i$  on  $V_i$ .

Again by using **Lemma 6.5.1**,

The matrix of  $T$ ,  $m(T) = \begin{pmatrix} J_1 & 0 & \dots & 0 \\ 0 & J_2 & \dots & 0 \\ \vdots & \dots & \dots & \dots \\ 0 & \dots & \dots & J_k \end{pmatrix}$

We know that,  $v_i(T - \lambda_i) = 0$ , (by (1))

Which implies that,  $T - \lambda_i$  is nilpotent.

By using **Theorem 6.5.1**,

$$m(T - \lambda_i) = \begin{pmatrix} M_{i1} & 0 & \dots & 0 \\ 0 & M_{i2} & \dots & 0 \\ \vdots & \dots & \dots & \dots \\ 0 & \dots & \dots & M_{ir_i} \end{pmatrix}$$

Now  $T$  can be written as,

$$T = \lambda_i I + (T - \lambda_i)$$

$$\therefore m(T) = \lambda_i m(I) + m(T - \lambda_i)$$

$$= \lambda_i \begin{pmatrix} 1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \vdots & \dots & \dots & \dots \\ 0 & \dots & \dots & 1 \end{pmatrix} + \begin{pmatrix} M_{i1} & 0 & \dots & 0 \\ 0 & M_{i2} & \dots & 0 \\ \vdots & \dots & \dots & \dots \\ 0 & \dots & \dots & M_{ir_i} \end{pmatrix}$$

$$\begin{aligned}
&= \begin{pmatrix} \lambda_i & 0 & \cdots & 0 \\ 0 & \lambda_i & \cdots & 0 \\ \vdots & \cdots & \cdots & \cdots \\ 0 & \cdots & \cdots & \lambda_i \end{pmatrix} + \begin{pmatrix} M_{i1} & 0 & \cdots & 0 \\ 0 & M_{i2} & \cdots & 0 \\ \vdots & \cdots & \cdots & \cdots \\ 0 & \cdots & \cdots & M_{ir_i} \end{pmatrix} \\
&= \begin{pmatrix} B_{i1} & 0 & \cdots & 0 \\ 0 & B_{i2} & \cdots & 0 \\ \vdots & \cdots & \cdots & \cdots \\ 0 & \cdots & \cdots & b_{ir_i} \end{pmatrix} \\
\therefore m(T) &= \begin{pmatrix} J_1 & 0 & \cdots & 0 \\ 0 & J_2 & \cdots & 0 \\ \vdots & \cdots & \cdots & \cdots \\ 0 & \cdots & \cdots & J_k \end{pmatrix} = \begin{pmatrix} J_1 & & & \\ & J_2 & & \\ & & \ddots & \\ & & & J_k \end{pmatrix}.
\end{aligned}$$

## UNIT - V - LINEAR TRANSFORMATIONS

18hrs

Canonical Forms - Rational Canonical Form – Hermitian, Unitary, Normal transformations - Real Quadratic Forms.

**Chapter 6: Sections 6.7, 6.10 and 6.11**[Omit 6.8 and 6.9]

### 6.7 Canonical Forms: Rational Canonical Form

#### DEFINITION: (Companion Matrix)

If  $f(x) = \gamma_0 + \gamma_1 x + \cdots + \gamma_{r-1} x^{r-1} + x^r$  is in  $F[x]$ , then the  $r \times r$  matrix

$$\begin{pmatrix} 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 1 \\ -\gamma_0 & -\gamma_1 & \cdots & \cdots & -\gamma_{r-1} \end{pmatrix}$$

is called the companion matrix of  $f(x)$ . We write it as  $C(f(x))$ .

### THEOREM 6.7.1

If  $T \in A_F(V)$  has as minimal polynomial  $p(x) = q(x)^e$ , where  $q(x)$  is a monic, irreducible polynomial in  $F[x]$ , then a basis of  $V$  over  $F$  can be found in which the matrix of  $T$  is of the form

$$\begin{pmatrix} C(q(x)^{e_1}) & & \\ & C(q(x)^{e_2}) & \\ & & \ddots & C(q(x)^{e_r}) \end{pmatrix} \text{ where, } e_1 \geq e_2 \geq \dots \geq e_r.$$

**Proof:**

Since  $V$ , as a module over  $F[x]$ , is finitely generated and since  $F[x]$  is Euclidean, we can decompose  $V = V_1 \oplus V_2 \oplus \dots \oplus V_r$ , where the  $V_i$  are cyclic modules.

The  $V_i$  are thus invariant under  $T$ .

If  $T_i$  is the linear transformation induced by  $T$  on  $V_i$ , its minimal polynomial must be a divisor of  $p(x) = q(x)^e$  so is of the form  $q(x)^{e_i}$  where  $e_i < e$ , ( $i = 1, 2, \dots, r$ ).

$$\therefore e_1 \geq e_2 \geq \dots \geq e_r$$

To prove,  $e_1 = e$ :

Now  $q(T)^{e_1}$  annihilates each  $V_i$ .

i.e.,  $q(T)^{e_1}$  annihilates  $V$ , whence  $q(T)^{e_1} = 0$ ,  $T$  satisfies this polynomial  $q(x)^e$ .

$$\Rightarrow q(x)^e | q(x)^{e_1}$$

$$\Rightarrow e \leq e_1 \quad \text{_____} \quad (1)$$

$$\text{We have, } e_1 \leq e \quad \text{_____} \quad (2)$$

From (1) and (2), we get

$$e_1 = e$$

Since  $V_i$  is a cyclic module, there exist  $q(x)^{e_i}$  is the minimal polynomial for  $T_i$  on  $V_i$ .

By **Lemma 6.7.1**,

There is a basis of  $v_i$  in which the matrix of  $T_i$  is  $C(q(x)^{e_i})$ .

By **Lemma 6.6.1**,

We get the basis of  $V$  and with respect to the basis of  $T$  we have,

$$m(T) = \begin{pmatrix} C(q(x)^{e_1}) & & \\ & C(q(x)^{e_2}) & \\ & & \ddots & C(q(x)^{e_r}) \end{pmatrix}.$$

### THEOREM 6.7.2

Let  $V$  and  $W$  be two vector spaces over  $F$  and suppose that  $\psi$  is a vector space isomorphism of  $V$  onto  $W$ . Suppose that  $S \in A_F(V)$  and  $T \in A_F(W)$  are such that for any  $v \in V$ ,  $(vS)\psi = (v\psi)T$ . Then  $S$  and  $T$  have the same elementary divisors.

**Proof:**

**Claim 1**

$S$  and  $T$  have the same minimal polynomial.

By hypothesis, for any  $v \in V$ ,

$$\begin{aligned} (vS)\psi &= (v\psi)T \\ (vS^2)\psi &= ((vS)S)\psi \\ &= ((vS)\psi)T \\ &= ((v\psi)T)T \\ (vS^2)\psi &= (v\psi)T^2 \\ &\vdots \\ (vS^m)\psi &= (v\psi)T^m \end{aligned}$$

If  $f(x) \in F[x]$ , for any  $v \in V$ ,

$$(vf(s))\psi = (v\psi)f(T)$$

If  $f(s) = 0$  then  $(v\psi)f(T) = 0$ .

Since  $\psi$  maps  $V$  onto  $W$ ,  $f(T) = 0$ .

Conversely, If  $g(x) \in F[x]$ , for any  $v \in V$ , then

$$(vg(s))\psi = (v\psi)g(T)$$

If  $g(T) = 0$ , then for any  $v \in V$  we have  $(vg(s))\psi = 0$ .

Since  $\psi$  is an isomorphism,

$$vg(s) = 0$$

$$g(s) = 0$$

Thus  $S$  and  $T$  satisfies the same set of minimal polynomial in  $F[x]$ .

$\therefore S$  and  $T$  have the same minimal polynomial.

### Claim 2

Let  $p(x) = q_1(x)^{e_1}, q_2(x)^{e_2}, \dots, q_k(x)^{e_k}$  be the minimal polynomial for both  $S$  and  $T$ .

If  $v$  is a subspace of  $V$  invariant under  $S$ , then  $v\psi$  is a subspace of  $W$  invariant under  $T$ .

$$\therefore (v\psi)T = vS\psi \subset v\psi$$

Let  $S_1$  be the linear transformation induced by  $T$  on  $v\psi$ .

Now the minimal polynomial  $S$  on  $V$  is  $(x) = q_1(x)^{e_1}, q_2(x)^{e_2}, \dots, q_k(x)^{e_k}$ .

As we have seen in Theorem 6.7.1 and its Corollary,

We take as the 1<sup>st</sup> elementary divisor of  $S$  as the polynomial  $q_1(x)^{e_1}$  and we can find a subspace  $V_1$  of  $V$ , which is invariant under  $S$ .

### In terms of $S$ :

1.  $V = V_1 \oplus M$ , where  $M$  is invariant under  $S$ .
2. The only elementary divisor of  $S_1$  the linear transformation induced on  $V_1$  by  $S$  is  $q_1(x)^{e_1}$ .
3. The other elementary divisors of  $S$  are those of linear transformation  $S_2$  induced by  $S$  on  $M$ .

### In terms of $T$ :

1.  $W = W_1 \oplus N$ , where  $W_1 = V_1\psi$  and  $N = M\psi$  are invariant under  $T$ .
2. The only elementary divisor of  $T_1$  the linear transformation induced by  $T$  on  $W_1$  is  $q_1(x)^{e_1}$ .
3. The other elementary divisor of  $T$  are those of the linear transformation  $T_2$  induced by  $T$  on  $N$ .

Since  $N = M\psi$ ,  $M$  and  $N$  are isomorphic vector spaces over  $F$  under the isomorphism  $\psi_2$  induced by  $\psi$ .

$$\text{If } u \in M, \text{ then } u(S_2)\psi_2 = (uS)\psi = (u\psi)T = (u\psi_2)T_2.$$

$\therefore S_2$  and  $T_2$  are in the same relation vis-à-vis  $\psi_2$  as  $S$  and  $T$  were vis-à-vis  $\psi$ .

By induction on dimension  $S_2$  and  $T_2$  have the same elementary divisors.

$\therefore S$  and  $T$  have the same elementary divisors.

**THEOREM: 6.7.3**

The elements  $S$  and  $T$  in  $A_F(V)$  are similar in  $A_F(V)$  if and only if they have the same elementary divisors.

**Proof:**

**Necessary Part:**

Suppose  $S$  and  $T$  have the same elementary divisors. Then there are two bases

$\{v_1, v_2, \dots, v_n\} \times \{w_1, w_2, \dots, w_n\}$  of  $V$  over  $F$  such that matrix  $S$  in  $\{v_1, v_2, \dots, v_n\}$  equals the matrix of canonical form  $\begin{pmatrix} R_{11} & 0 & \dots & 0 \\ 0 & R_{12} & \dots & 0 \\ \vdots & \dots & \dots & \dots \\ 0 & \dots & \dots & R_{1i} \end{pmatrix}$  ( $\because$  By Corollary 6.7.1)

We know that, if  $V$  is a finite dimensional vector space over  $F$ , then any two bases of  $V$  have the same number of elements.

$$R_i = \begin{pmatrix} C(q_i(x)^{e_{i1}}) & & \\ & C(q_i(x)^{e_{i2}}) & \\ & & \ddots & C(q_i(x)^{e_{ir_i}}) \end{pmatrix}, \text{ where each } e_i = e_{i1} \geq e_{i2} \geq \dots e_{ir_i}.$$

By the result,

“Let  $S$  and  $T$  be linear transformation defined on  $V$ . If the matrix on  $T$  in of  $\{v_1, v_2, \dots, v_n\}$  is equal to the matrix of  $S$  in  $\{w_1, w_2, \dots, w_n\}$ . Then there exist a linear transformation  $A$  on  $V$  defined by  $V_i A = w_i, \forall i$ , such that  $T = ASA^{-1}$  (or)  $S = ATA^{-1}$  which gives  $S$  and  $T$  are similar”.

**Sufficient Part:**

Suppose that,  $S$  and  $T$  are similar there exist a linear transformation  $A$  on  $V$  such that  $T = ASA^{-1}$  (or)  $S = ATA^{-1}$ .

$\therefore T$  and  $S$  are same minimal polynomial.

Without loss of generality, We may assume that the minimal polynomial of  $T$  is  $q(x)^e$ , where  $q(x)$  is irreducible in  $F[x]$  of degree ' $d$ '.



“ The rational canonical form” states that we can decomposed  $V$  as  $V = V_1 \oplus V_2 \oplus \dots \oplus V_r$  , where  $V_i$  is invariant under  $T$  then the linear transformation induced by  $T$  on  $V_i$  as the matrix  $q(x)^{e_i}$ , where  $e_1 \geq e_2 \geq \dots \geq e_r$ .

i.e.  $q(x)^{e_1}.q(x)^{e_2} \dots q(x)^{e_r}$  are the elementary divisors of  $T$  \_\_\_\_\_ (A)

If  $V = V_1 \oplus V_2 \oplus \dots \oplus V_s$ , where the subspace  $V_j$  is invariant under  $S$ , then the linear transformation induced by  $S$  on  $V_j$  as the matrix  $q(x)^{f_j}$  where  $f_1 \geq f_2 \geq \dots \geq f_s$

i.e.  $q(x)^{f_1}q(x)^{f_2} \dots q(x)^{f_s}$  are the elementary divisor of  $S$  \_\_\_\_\_ (B)

From (A) and (B), we get

$$r = s, e_1 = f_1, e_2 = f_2, \dots e_r = f_s$$

### Claim

$$r = s, e_1 = f_1, e_2 = f_2, \dots e_r = f_s$$

Suppose that,  $e_i \neq f_i$

Then there exist a first inter  $m$ , such that  $e_m \neq f_m$ , where

$$e_1 = f_1, e_2 = f_2, \dots e_{m-1} = f_{m-1}.$$

Suppose that  $e_m = f_m$ , now  $q(T)^{f_m}$  annihilates  $U_m, U_{m+1}, \dots, U_s$ .

$$\text{i.e. } V_1 q(T)^{f_m} = 0$$

Consider,  $V q(T)^{f_m} = (V_1 \oplus V_2 \oplus \dots \oplus V_{m-1}) q(T)^{f_m}$

$$= V_1 q(T)^{f_m} \oplus V_2 q(T)^{f_m} \oplus \dots \oplus V_{m-1} q(T)^{f_m}$$

$$\dim U q(T)^{f_m} = \dim U_1 q(T)^{f_m} + \dim U_2 q(T)^{f_m} + \dots + \dim U_{m-1} q(T)^{f_m}$$

$$[\because \dim U_i = d f_i \text{ and } \dim q(T)^{f_m} = d f_m, \text{ for } i \leq m]$$

$$\dim(U_i q(T)^{f_m}) = d(f_i - f_m) \text{ _____ (1)}$$

$$\dim(U q(T)^{f_m}) = d(f_1 - f_m) + d(f_2 - f_m) + \dots + d(f_{m-1} - f_m)$$

$$\text{But, } V q(T)^{f_m} > V_1 q(T)^{f_m} \oplus V_2 q(T)^{f_m} \oplus \dots \oplus V_m q(T)^{f_m}$$

Consider,  $V q(T)^{f_m} = (V_1 \oplus V_2 \oplus \dots \oplus V_r) q(T)^{f_m}$

$$= V_1 q(T)^{f_m} \oplus V_2 q(T)^{f_m} \oplus \dots \oplus V_r q(T)^{f_m}$$

$$\dim Vq(T)^{f_m} = \dim V_1q(T)^{f_m} \oplus \dim V_2q(T)^{f_m} \oplus \dots \oplus \dim V_rq(T)^{f_m}$$

$$[\because \dim V_iq(T)^{f_m} \geq d(e_i - f_m), \text{ for } i \leq m] \text{ _____ (2)}$$

$\therefore$  By our choice of  $e_m, e_1 = f_1, e_2 = f_2, \dots e_{m-1} = f_{m-1}$ . and  $e_m > f_m$

Substituting in (1), we have

$$\dim(Vq(T)^{f_m}) \geq d(f_1 - f_m) + d(f_2 - f_m) + \dots + d(f_{m-1} - f_m)$$

This is necessary and sufficient to the equality of (1).

Which is a contradiction to our assumption.

$$\text{Hence, } r = s, e_i = f_i, \forall i$$

Thus  $T$  and  $S$  have same elementary divisors.

### **COROLLARY:6.7.3**

Suppose the two matrices  $A$  and  $B$  in  $F_n$  are similar in  $K_n$  where  $K$  is an extension of  $F$ . Then  $A$  and  $B$  are already similar in  $F_n$ .

**Proof:**

Suppose that  $A, B \in F_n$  are similar in  $K_n$  such that  $B = C^{-1}AC$  with  $C \in K_n$ .

Consider,  $K^{(n)}$  is the vector space of  $n$  –tuples over  $K$ . Since  $K$  is an extension of  $F$ .

$$\therefore F^{(n)} \leq K^{(n)}$$

$F^{(n)}$  is a vector space over  $F$  but not over  $K$ .

$\therefore$  The image of  $F^{(n)}$  is a subset of  $K^{(n)}$ .

Now,  $F^{(n)}C$  is a subset of  $K^{(n)}$ .

Let  $V$  be the vector space  $F^{(n)}$  over  $F$  and  $W$  be the vector space  $F^{(n)}C$  over  $F$ .

For any  $v \in V$ , let  $v\psi = vC$ .

Now,  $A \in A_F(V)$  and  $B \in A_F(W)$  and for any  $v \in V$ ,

$$(vA)\psi = vAC = vCB = (v\psi)B, (\because A = CBC^{-1} \implies AC = CB)$$

(whence the conditions of Theorem 6.7.3 are satisfied)

Thus  $A$  and  $B$  have the same elementary divisors.

Therefore by **Theorem 6.7.3**,  $A$  and  $B$  are similar in  $F_n$ .

## TRACE AND TRANSPOSE

### TRACE:

Let  $F$  be a field and let  $A$  be a matrix in  $F_n$ . Then the *trace* of  $A$  is the sum of the elements on the main diagonal of  $A$ . We can write the trace of  $A$  as  $tr A$ . Let  $A = (\alpha_{ij}) \in F$  then  $tr A =$

$$\sum_{i=1}^n \alpha_{ii}, \text{ where } A = (\alpha_{ij}) = \begin{pmatrix} \alpha_{11} & \alpha_{12} & \cdots & \alpha_{1n} \\ \alpha_{21} & \alpha_{22} & \cdots & \alpha_{2n} \\ \vdots & \vdots & \vdots & \vdots \\ \alpha_{n1} & \alpha_{n2} & \cdots & \alpha_{nn} \end{pmatrix}.$$

### LEMMA 6.8.1

For  $A, B \in F_n$  and  $\lambda \in F$ ,

1.  $tr(\lambda A) = \lambda tr A$ .
2.  $tr(A + B) = tr A + tr B$ .
3.  $tr(AB) = tr(BA)$ .

### Proof:

Let  $A = (\alpha_{ij}), B = (\beta_{ij})$  then  $AB = (\gamma_{ij})$  where  $\gamma_{ij} = \sum_{k=1}^n \alpha_{ik} \beta_{kj}$

1. To prove  $tr(\lambda A) = \lambda tr A$

Let  $A = (\alpha_{ij})$ . Then

$$tr(A) = \sum_{i=1}^n \alpha_{ii}$$

$$tr(\lambda A) = \sum_{i=1}^n (\lambda \alpha_{ii})$$

$$= \lambda \sum_{i=1}^n (\alpha_{ii})$$

$$\therefore tr(\lambda A) = \lambda tr A$$

2. To prove  $tr(A + B) = tr A + tr B$

Let  $A = (\alpha_{ij}), B = (\beta_{ij})$ . Then

$$A + B = (\alpha_{ij}) + (\beta_{ij})$$

$$tr(A + B) = \sum_{i=1}^n (\alpha_{ii} + \beta_{ii})$$

$$= \sum_{i=1}^n \alpha_{ii} + \sum_{i=1}^n \beta_{ii}$$

$$\therefore tr(A + B) = tr A + tr B$$

4. To prove  $tr(AB) = tr(BA)$ .

Let  $AB = (\gamma_{ij})$  where  $\gamma_{ij} = \sum_{k=1}^n \alpha_{ik} \beta_{kj}$  and let  $BA = (\mu_{ij})$  where

$\mu_{ij} = \sum_{k=1}^n \beta_{ik} \alpha_{kj}$ . Thus,

$$tr(AB) = \sum_{i=1}^n \gamma_{ii} = \sum_{i=1}^n \left( \sum_{k=1}^n \alpha_{ik} \beta_{ki} \right)$$

If we interchange the order of summation in this last sum, we get

$$\begin{aligned}
 \text{tr}(AB) &= \sum_{k=1}^n (\sum_{i=1}^n \alpha_{ik} \beta_{ki}) \\
 &= \sum_{k=1}^n (\sum_{i=1}^n \beta_{ki} \alpha_{ik}) \\
 &= \sum_{k=1}^n \mu_{kk} \\
 \therefore \text{tr}(AB) &= \text{tr}(BA).
 \end{aligned}$$

### COROLLARY

If  $A$  is invertible then  $ACA^{-1} = \text{tr } C$ .

#### Proof:

Given  $A$  is invertible, then we have

$$AA^{-1} = 1 \quad \text{_____} \quad (1)$$

Consider,  $B = CA^{-1}$

$$AB = ACA^{-1}$$

$$\text{tr}(AB) = \text{tr}(BA) = \text{tr}(CA^{-1}A) = \text{tr } C. \quad (\because AA^{-1} = 1)$$

### DEFINITION: (Trace of $T$ )

If  $T \in A(V)$  then  $\text{tr } T$ , then the trace of  $T$  is the trace of  $m_1(T)$  where  $m_1(T)$  is the matrix of  $T$  in some basis of  $V$ .

$$\text{i.e. } \text{tr } T = \text{tr } m_1(T)$$

### LEMMA : 6.8.2

If  $T \in A(V)$  then  $\text{tr } T$  is the sum of the characteristic roots of  $T$  (using each characteristic root as often as its multiplicity).

#### Proof:

Assume that  $T$  is a matrix in  $F_n$ .

By using the result,

“ If  $K$  is the splitting field for the minimum polynomial of  $T$  over  $F$  then in  $K_n$ ”, we get

$T$  can be brought to its Jordan form  $J$ ,  $J$  is a matrix on whose diagonal appear the characteristic roots of  $T$  each root appearing as often as its multiplicity.

Thus,  $\text{tr } J = \text{sum of the characteristic root } T$

$J$  is of the form,  $J = ATA^{-1}$

$$\text{tr } J = \text{tr } (ATA^{-1}) = \text{tr } T = \text{sum of the characteristic root of } T.$$

### LEMMA: 6.8.3

If  $F$  is a field of characteristic zero and if  $T \in A_F(V)$  is such that  $\text{tr } (T^i) = 0, \forall i \geq 1$ , then  $T$  is nilpotent.

#### Proof:

Since  $T \in A_F(V)$  and  $T$  satisfies some minimal polynomial,

$$p(x) = x^m + \alpha_1 x^{m-1} + \cdots + \alpha_m$$

$$p(T) = T^m + \alpha_1 T^{m-1} + \cdots + \alpha_m$$

$$\text{Then, } \text{tr } (p(T)) = \text{tr } (T^m + \alpha_1 T^{m-1} + \cdots + \alpha_m)$$

$$\therefore \text{tr } T^m + \alpha_1 \text{tr } T^{m-1} + \cdots + \text{tr } \alpha_m = 0$$

$$\text{Given } \text{tr } (T^i) = 0, \forall i \geq 1$$

$$\text{Then we get, } \text{tr } (\alpha_m) = 0$$

If  $\dim(V) = n$  then  $\text{tr } (\alpha_m) = n\alpha_m$  where  $n\alpha_m = 0$ . But the characteristic of  $F$  is zero.

$$\therefore n \neq 0 \Rightarrow \alpha_m = 0$$

Since the constant term of the minimal polynomial  $T = 0$ .

By a theorem,

“ If  $V$  is a finite dimensional over  $F$  then  $T \in A(V)$  is invertible if and only if the constant term of the minimal polynomial for  $T$  is not zero”

$\therefore T$  is not invertible

i.e.  $T$  is singular.

$\therefore$  Zero is the characteristic root of  $T$ .

Consider  $T$  as a matrix in  $F_n$ , also as a matrix in  $K_n$ , where  $K$  contains all characteristic root  $T$ .

By a theorem,

“ If  $T \in A(V)$  has all its characteristic roots in  $F_n$  then there is a basis of  $V$  in which the matrix of  $T$  is triangular”.

We can bring  $T$  to triangular form. Since zero is the characteristic root of  $T$  we can bring it of the form,

$$\begin{pmatrix} 0 & 0 & \cdots & 0 \\ \beta_2 & \alpha_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ \beta_n & * & \cdots & \alpha_n \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ * & T_2 \end{pmatrix} \text{ where } T_2 = \begin{pmatrix} \alpha_2 & 0 & \cdots & 0 \\ 0 & \cdots & \alpha_n \end{pmatrix}$$

$T_2$  is an  $(n-1) \times (n-1)$  matrix.

$$\text{Now, } T^k = \begin{pmatrix} 0 & 0 \\ 0 & T_2^k \end{pmatrix}$$

Hence  $\text{tr}(T^k) = 0, \forall k \geq 1$  either induction on ' $n$ ' or repeating the arguments on  $T_2$  used for  $T$  we get,

$\alpha_2, \alpha_3, \dots, \alpha_n$  are the characteristic root.

$$\text{i.e. } \alpha_2 = \alpha_3 = \cdots \alpha_n = 0$$

Thus when  $T$  is brought to triangular form all its entries on the main diagonals are zero.

$\therefore T$  is nilpotent.

### DEFINITION: (Transpose)

If  $A = (\alpha_{ij}) \in F_n$  then the transpose of  $A$ , written as  $A'$ , is the matrix  $A' = (\gamma_{ij})$  where  $\gamma_{ij} = \alpha_{ji}$  for each  $i$  and  $j$ .

### LEMMA: 6.8.5

For all  $A, B \in F_n$ ,

1.  $(A')' = A$
2.  $(A + B)' = A' + B'$
3.  $(AB)' = B'A'$

### Proof:

$$(i) \quad (A')' = A$$

$$\text{Let } A = (\alpha_{ij})$$

$$A' = (\beta_{ij}), \text{ where } \beta_{ij} = \alpha_{ji}, \forall i, j$$

$$(A')' = (\gamma_{ij}), \text{ where } \gamma_{ij} = \beta_{ji}, \text{ which implies that } \gamma_{ij} = \beta_{ji} = \alpha_{ij}$$

$$\therefore (A')' = \beta_{ji} = \alpha_{ij} = A$$

$$(ii) \quad (A + B)' = A' + B'$$

$$\text{Let } A = (\alpha_{ij})$$

$$A' = (a_{ij}) \text{ where } (a_{ij}) = \alpha_{ji}, \forall i, j$$

$$B = (\beta_{ij})$$

$$B' = (b_{ij}) \text{ where } (b_{ij}) = \beta_{ji}, \forall i, j$$

$$A + B = (\gamma_{ij}) \text{ where } \gamma_{ij} = \alpha_{ij} + \beta_{ij}, \forall i, j$$

$$(A + B)' = \delta_{ij} \Rightarrow \delta_{ij} + \gamma_{ij} = \alpha_{ji} + \beta_{ji} = (a_{ij}) + (b_{ij}) \in A' + B'$$

$$\therefore (A + B)' = A' + B'$$

$$(iii) \quad (AB)' = B'A'$$

$$\text{Let } A = (a_{ij}), A' = (\alpha_{ij}) \text{ where } (\alpha_{ij}) = a_{ji}$$

$$\text{Let } B = (b_{ij}), B' = (\beta_{ij}) \text{ where } \beta_{ij} = (b_{ji})$$

$$\text{Let } AB = (C_{ij}), \text{ where } (C_{ij}) = \sum_{k=1}^n a_{ik} b_{kj}$$

$$(AB)' = (d_{ij}) \text{ where } (d_{ij}) = (C_{ji})$$

$$B'A' = \lambda_{ji} \text{ where } \lambda_{ji} = \sum_{k=1}^n \beta_{ik} \alpha_{kj}$$

Consider for every  $i, j$ ,

$$\lambda_{ji} = \sum_{k=1}^n \beta_{ik} \alpha_{kj}$$

$$\lambda_{ji} = \sum_{k=1}^n b_{ki} a_{jk}$$

$$= \sum_{k=1}^n a_{jk} b_{ki} = C_{ji} = (d_{ij}) = (AB)'$$

$$\therefore (AB)' = B'A'$$

Definition:

Symmetric matrix:

If  $A \in F_n$  be a square matrix is said to be symmetric if  $A' = A$ .

Eg:

$$A = \begin{bmatrix} a & b \\ b & a \end{bmatrix} \quad A' = \begin{bmatrix} a & b \\ b & a \end{bmatrix}$$

Skew symmetric matrix:

If  $A \in F_n$  be a skew square matrix is said to be skew symmetric if  $A' = -A$ .

Eg:  $\begin{bmatrix} 0 & -a \\ a & 0 \end{bmatrix}$

Note 1:

In a skew symmetric matrix the leading diagonal elements are zero.

Note 2:

If  $A$  is square matrix  $A + A'$  is symmetric and  $A - A'$  is skew symmetric  $AA'$  and  $A'A$  are symmetric.

Adjoint on  $F_n$ :

A mapping  $*$ :  $F_n \rightarrow F_n$  is called adjoint on  $F_n$  if (i)  $(A^*)^* = A$

(ii)  $(A + B)^* = A^* + B^*$

(iii)  $(AB)^* = B^*A^* \forall A, B \in F_n$

Hermitian adjoint on  $F_n$ :

Let consider the field of complex number for every matrix  $A = (\alpha_{ij})$  and let  $A^* = \gamma_{ij}$

where  $\gamma_{ij} = \overline{\alpha_{ji}}$  in this case the  $*$  is called the Hermitian adjoint on  $F_n$ .

Hermitian matrix:

Let  $f$  be a field of complex number and  $*$  be a Hermitian adjoint every square matrix is called hermitian if  $A^* = A$ .

Eg:

$$\begin{bmatrix} 1 & -1 + 2i & 3 + 4i \\ -1 - 2i & -2 & 3 \\ 3 - 4i & 3 & -2 \end{bmatrix}$$

Remark:

1. If  $A \neq 0 \in F_n$  then  $tr(AA^*) > 0$

2. Let  $A_1, A_2, \dots, A_n \in F_n$  if  $A_1A_1^* + A_2A_2^* + \dots + A_kA_k^* = 0$

then  $A_1 = A_2 = \dots = A_k = 0$

3. If  $\lambda$  is a scalar matrix then  $\lambda^* = \overline{\lambda}$



Result :

The characteristic root of a Hermitian matrix are all real .

Proof :

Given that  $A \in F_n$  be a hermitian matrix

To prove that the characteristic roots of  $A$  is real.

We shall prove this by the method of contradiction

Assume that the roots of  $A$  is a complex number ie)  $\alpha + i\beta$  where  $\alpha, \beta$  are real, by using the definition of characteristic roots  $A - (\alpha + i\beta)$  is singular.

$$\Rightarrow [A - (\alpha + i\beta)][A - (\alpha - i\beta)] \text{ is singular}$$

$$\Rightarrow (A - (\alpha + i\beta))[A - (\alpha - i\beta)] \text{ is not invertible}$$

$$\Rightarrow [(A - \alpha) + i\beta] [(A - \alpha) - i\beta] \text{ is not invertible}$$

$$\Rightarrow (A - \alpha)^2 - (i\beta)^2 \text{ is not invertible}$$

$$\Rightarrow (A - \alpha)^2 + \beta^2 \text{ is not invertible}$$

By using the theorem,

If  $v$  is finite dimension vector space over  $F$  and if  $A \in F_n$  is not invertible then there exist a matrix  $B \neq 0$  such that  $AB = BA = 0$  there exist a matrix  $C \neq 0$  such that

$$C[(A - \alpha)^2 + \beta^2] = 0$$

Multiply  $C^*$  on R.H.S of both sides

$$C[(A - \alpha)^2 + \beta^2]C^* = 0$$

$$C(A - \alpha)(A - \alpha)C^* + C\beta\beta C^* = 0 \rightarrow \textcircled{1}$$

$$\text{Takes } D = C(A - \alpha) \quad E = C\beta$$

$$D^* = (A - \alpha)^* C^* \quad E^* = (C\beta)^*$$

$$= (A^* - \alpha^*) C^* \quad = \beta^* C^*$$

$$= (A - \alpha) C^* \quad = \beta C^*$$

Since  $A$  is hermitian  $\Rightarrow A^* = A$  and  $\alpha, \beta$  are real  $\Rightarrow \alpha^* = \alpha, \beta^* = \beta$

$$\text{From } \textcircled{1} \Rightarrow DD^* + EE^* = 0$$

$$\Rightarrow D = E = 0 \text{ [since by remark 2]}$$

In particular  $E = 0$

$$\beta C = 0$$

$$\beta = 0 \text{ [since } C \neq 0]$$

Which contradicts our assumption is wrong

The characteristic roots of hermitian matrix  $A$  is real.

Result:

For  $A \in F_n$ . The real characteristic roots are  $AA^*$  are non negative.

Proof:

Given that  $A \in F_n$

$$A^* = A$$

$$(AA^*)^* = (A^*)^* A^*$$

$$= AA^*$$

$\therefore AA^*$  is hermitian

To prove the real characteristic roots of  $AA^*$  is positive

We shall prove this by the method of contradiction

Let  $\alpha$  be the characteristic roots of  $AA^*$  which is negative

ie)  $\alpha = -\beta^2$  where  $\beta$  is real by using the definition of a characteristic root

$$AA^* - (-\beta^2) \text{ is singular}$$

$$AA^* + \beta^2 \text{ is singular}$$

By the theorem there exist  $C \neq 0$  such that  $C(AA^* + \beta^2) = 0$

Multiply  $C^*$  in R.H.S on both sides  $C(AA^* + \beta^2)C^* = 0$

$$CAA^*C^* + C\beta\beta C^* = 0$$

$$\text{Take } D = CA$$

$$E = C\beta$$

$$D^* = (CA)^*$$

$$E^* = (C\beta)^*$$

$$= A^*C^* \quad \quad \quad = \beta^*C^*$$

$$\textcircled{1} \Rightarrow DD^* + EE^* = 0 \text{ (since by remark 2)}$$

$$\Rightarrow D = E = 0$$

In particular  $E = 0$

$$\Rightarrow C\beta = 0$$

$$\Rightarrow \beta = 0 \text{ (since } C \neq 0)$$

Which contradicts our assumption that  $\alpha$  is negative

So our assumption is wrong

$\therefore$  The real characteristic roots of  $AA^*$  are non – negative.

Definition:

Hermitian Unitary and Normal Transformation:

In this section  $F$  we denote the field of complex number.

Fact 1:

A polynomial with coefficient which are complex number has all its roots in complex field.

Fact 2:

The only irreducible non constant polynomial over the field of real number are either of degree 1 or of degree 2.

Lemma 6.10.1:

If  $T \in A(V)$  is such that the inner product  $(vT, v) = 0 \forall v \in V$  then  $T = 0$  (Here  $V$  is an inner product space over the complex field)

Proof:

$$\text{Gn } T \in A(V) \text{ such that inner product } (vT, v) = 0 \forall v \in V \rightarrow \textcircled{1}$$

Here  $v$  is the inner product space over the complex field.

$$u, w \in v$$

$$u + w \in v \quad u + w = v \text{ sub in equation } \textcircled{1}$$

$$u + w \in v$$

$$\textcircled{1} \Rightarrow ((u+w)T, (u+w)) = 0$$

$$((uT + wT), (u + w)) = 0$$

$$(uT, u) + (uT, w) + (wT, u) + (wT, w) = 0 \text{ by equation 1}$$

$$(uT, w) + (wT, u) = 0 \rightarrow \textcircled{2}$$

Take  $w = iw$

$$(uT, iw) + (iwT, u) = 0$$

$$\Rightarrow i(uT, w) + i(wT, u) = 0$$

$$-i(uT, w) + i(wT, u) = 0$$

$$\div \text{ by } i, -(uT, w) + (wT, u) = 0 \rightarrow \textcircled{3}$$

$$\textcircled{2} + \textcircled{3} \Rightarrow 2(wT, u) = 0$$

$$\Rightarrow (wT, u) = 0$$

Take  $u = wT$

$$\Rightarrow (wT, wT) = 0$$

$$\Rightarrow wT = 0$$

$$\Rightarrow T = 0 (\because w \neq 0)$$

Note:

If  $v$  is inner product space over the real field .This lemma is false.

Let  $v = \{(\alpha, \beta) / \alpha, \beta \text{ are real}\}$

Let  $T: (\alpha, \beta) \rightarrow (-\beta, \alpha)$

Let  $v \in V \Rightarrow v = (\alpha, \beta) [\because (vT, v)] = 0$

$$[(\alpha, \beta)T, (\alpha, \beta)] = 0$$

$$((-\beta, \alpha), (\alpha, \beta)) = 0$$

$$-\beta\alpha + \alpha\beta = 0$$

$$\Rightarrow (vT, v) = 0 \quad \forall v \in V \text{ and } T \neq 0 \quad (\because T: (\alpha, \beta) \rightarrow (-\beta, \alpha))$$

Hence if  $v$  is the inner product space over the real field then same is not proved.

Definition:

Unitary Linear Transformation:

The linear transformation  $T \in A(V)$  is said to be unitary

$$(uT, vT) = (u, v), \forall u, v \in V$$

Problem:

1. If  $A$  and  $B$  are similar iff  $tr(A) = tr(B)$

Proof

Necessary part:

Given that  $A$  and  $B$  are similar

To prove  $tr(A) = tr(B)$

$$A = CBC^{-1}$$

$$tr(A) = tr(CBC^{-1})$$

$$= tr(B)$$

Sufficient part:

To prove  $A$  and  $B$  are similar

Given that  $tr(A) = tr(B)$

$$tr(ACC^{-1}) = tr(B)$$

$$tr(B) = tr(CAC^{-1})$$

$$\Rightarrow B = CAC^{-1}$$

$$\Rightarrow A \text{ and } B \text{ are similar}$$

2.  $S = \{A \in F_n / A^* = A\}$  and  $K = \{A \in F_n / A^* = -A\}$  prove i) If  $A, B \in S$  then  $AB + BA \in S$

ii) If  $A, B \in K$  then  $(AB - BA) \in K$  iii) If  $A \in S, B \in K$  then  $(AB - BA) \in S$  and  $(AB + BA) \in S$

proof:

i) To prove  $(AB + BA) \in S$

ie) To prove  $(AB + BA)^* = (AB + BA)$

$$A \in S \Rightarrow A^* = A$$

$$B \in S \Rightarrow B^* = B$$

Now consider  $(AB + BA)^* = (AB)^* + (BA)^*$

$$= B^*A^* + A^*B^*$$

$$= BA + AB (\because \text{by equ 1})$$

$$= AB + BA$$

$$\Rightarrow (AB + BA) \in S$$

ii) To prove  $(AB - BA) \in K$

ie) To prove  $(AB - BA)^* = -(AB - BA)$

$$A \in K \Rightarrow A^* = -A$$

$$B \in K \Rightarrow B^* = -B$$

Now consider  $(AB - BA)^* = -(AB)^* - (BA)^*$

$$= B^*A^* - A^*B^*$$

$$= (-B)(-A) - (-A)(-B) (\because \text{by equ 2})$$

$$= BA - AB$$

$$= -(AB - BA)$$

$$\Rightarrow AB - BA \in K$$

iii)  $A \in S, B \in K$  then  $AB - BA \in S$  and  $AB + BA \in K$

$$\left. \begin{array}{l} A \in S \Rightarrow A^* = A \\ B \in K \Rightarrow B^* = -B \end{array} \right\} \rightarrow \textcircled{3}$$

To prove  $(AB - BA) \in S$

ie) To prove  $(AB - BA)^* = -(AB - BA)$

Consider  $(AB - BA)^* = -(AB)^* - (BA)^*$

$$\begin{aligned}
&= B^*A^* - A^*B^* \\
&= (-B)A - A(-B) \\
&= BA + AB \\
&= (AB - BA)
\end{aligned}$$

$$\Rightarrow AB - BA \in S$$

To prove  $(AB - BA) \in K$

ie) To prove  $(AB + BA)^* = -(AB + BA)$

Consider  $(AB + BA)^* = (AB)^* + (BA)^*$

$$\begin{aligned}
&= B^*A^* + A^*B^* \\
&= (-B)A + A(-B) \\
&= -BA - AB
\end{aligned}$$

$$(AB + BA)^* = -(AB + BA)$$

$$\Rightarrow (AB + BA) \in K$$

Lemma 6.10.2:

If the inner product  $(vT, vT) = (v, v) \forall v \in V$  then  $T$  is unitary  $\rightarrow$  (1)

Proof:

ie) To prove  $(uT, vT) = (u, v) \forall u, v \in V$

Let  $u, v \in V$

$$\Rightarrow u + v \in V$$

$$\Rightarrow u + v = v$$

Sub  $u + v = v$  in equation 1

$$(1) \Rightarrow ((u + v)T, (u + v)T) = ((u + v), (u + v))$$

$$\Rightarrow ((uT + vT), (uT + vT)) = ((u + v), (u + v))$$

$$(uT, uT) + (uT, vT) + (vT, uT) + (vT, vT) = (u, u) + (u, v) + (v, u) + (v, v)$$

$$\Rightarrow (uT, vT) + (vT, uT) = (u, v) + (v, u) \rightarrow (2)$$

Take  $v = iv$

$$\begin{aligned} \textcircled{2} \Rightarrow (uT, ivT) + (ivT, uT) &= (u, iv) + (iv, u) \\ -i(uT, vT) + i(vT, uT) &= i(u, v) + i(v, u) \end{aligned}$$

$\div$  by  $i$

$$-(uT, vT) + (vT, uT) = -(u, v) + (v, u) \rightarrow \textcircled{3}$$

Adding equation 2 and 3 we get

$$\begin{aligned} 2(uT, vT) &= 2(u, v) \\ \Rightarrow (uT, vT) &= (u, v) \quad \forall u, v \in V \Rightarrow T \text{ is unitary} \end{aligned}$$

Theorem 6.10.1:

The Linear Transformation  $T$  on  $V$  is unitary iff it takes an orthonormal basis of  $V$  into an Orthonormal basis of  $V$ .

Proof:

Necessary part:

Suppose  $\{v_1, v_2, \dots, v_n\}$  be an Orthonormal basis of  $v$  then inner product

$$(v_i, v_j) = 0 \text{ for } (i \neq j)$$

$$(v_i, v_i) = 1 \text{ for } (i = j) \rightarrow \textcircled{1}$$

We have to prove if  $T$  is unitary then  $\{v_1T, v_2T, \dots, v_nT\}$  is also an Orthonormal basis of  $v$

$$\begin{aligned} \text{Consider } (v_iT, v_jT) &= (v_i, v_j) \quad [\because T \text{ is unitary}] \\ &= 0 \quad [\because \text{by equation 1}] \end{aligned}$$

$$\therefore (v_iT, v_jT) = 0 \quad \forall i \neq j$$

$$\begin{aligned} \text{Consider } (v_iT, v_iT) &= (v_i, v_i) \quad [\because T \text{ is unitary}] \\ &= 1 \quad [\text{by equation 1}] \end{aligned}$$

$$\therefore \{v_1T, v_2T, \dots, v_nT\} \text{ is an Orthonormal basis of } v.$$

Sufficient part:



If  $T \in A(V)$  such that both  $\{v_1, v_2, \dots, v_n\}$  and  $\{v_1 T, v_2 T, \dots, v_n T\}$  are Orthonormal basis of  $v$  then prove  $T$  is unitary

$$\left. \begin{array}{l} (v_i, v_j) = 0 \text{ for } (i \neq j) \\ (v_i, v_i) = 1 \end{array} \right\} \rightarrow \textcircled{1}$$

$$\left. \begin{array}{l} (v_i T, v_j T) = 0, \forall i \neq j \\ (v_i T, v_i T) = 1 \end{array} \right\} \rightarrow \textcircled{2}$$

Let  $u, w \in v \Rightarrow u = \sum_{i=1}^n \alpha_i v_i$  and  $w = \sum_{i=1}^n \beta_i v_i$

Consider  $(u, w) = (\sum_{i=1}^n \alpha_i v_i, \sum_{i=1}^n \beta_i v_i)$

$$(u, w) = (\alpha_1 v_1 + \dots + \alpha_n v_n, \beta_1 v_1 + \dots + \beta_n v_n)$$

$$= \alpha_1 \bar{\beta}_1 (v_1, v_1) + \alpha_2 \bar{\beta}_2 (v_2, v_2) + \dots + \alpha_n \bar{\beta}_n (v_n, v_n)$$

Here  $(v_i, v_j) = 0$

$$= \alpha_1 \bar{\beta}_1 + \alpha_2 \bar{\beta}_2 + \dots + \alpha_n \bar{\beta}_n$$

Similarly  $uT = \sum_{i=1}^n \alpha_i v_i T$  and  $wT = \sum_{i=1}^n \beta_i v_i T$

Consider  $(uT, wT) = (\sum_{i=1}^n \alpha_i v_i T, \sum_{i=1}^n \beta_i v_i T)$

$$(uT, wT) = (\alpha_1 v_1 T + \dots + \alpha_n v_n T, \beta_1 v_1 T + \dots + \beta_n v_n T)$$

$$= \alpha_1 \bar{\beta}_1 (v_1 T, v_1 T) + \alpha_2 \bar{\beta}_2 (v_2 T, v_2 T) + \dots + \alpha_n \bar{\beta}_n (v_n T, v_n T)$$

Here  $(v_i T, v_j T) = 0$

$$= \alpha_1 \bar{\beta}_1 + \alpha_2 \bar{\beta}_2 + \dots + \alpha_n \bar{\beta}_n$$

$$(uT, wT) = \sum_{i=1}^n \alpha_i \bar{\beta}_i$$

$$(uT, wT) = (u, w), u, w \in V$$

$T$  is unitary.

Lemma 6.10.3:

If  $T \in A(V)$  then given any  $v \in V$  there exist an unique element  $w \in v$  depending on  $v$  and  $T$ . Such that  $(uT, v) = (u, w) \forall u \in V$

Proof:

Given that  $T \in A(V)$

To prove for any  $v \in V$  there exist an unique element  $w \in V$  depending on  $v$  and  $T$

Such that  $(uT, v) = (u, w) \quad \forall u \in V$

Let  $\{u_1, u_2, \dots, u_n\}$  be the orthonormal basis of  $V$

$$\therefore (u_i, u_j) = 0$$

$$(u_i, u_i) = 1$$

$$\text{Define } w = \sum_{i=1}^n \overline{(u_i T, v)} u_i$$

$$\text{Then } (u_i w) = (u_i, \sum_{i=1}^n \overline{(u_i T, v)} u_i)$$

$$(u_i w) = (u_i, \overline{(u_1 T, v)} u_1 + \overline{(u_2 T, v)} u_2 + \dots + \overline{(u_n T, v)} u_n)$$

$$= (u_i, \overline{(u_1 T, v)} u_1) + (u_i, \overline{(u_2 T, v)} u_2) + \dots + (u_i, \overline{(u_n T, v)} u_n)$$

$$= (u_1 T, v)(u_i, u_1) + \dots + (u_n T, v)(u_i, u_n)$$

$$= (u_1 T, v)(0) + \dots + (u_n T, v)(0)$$

$$(u_i w) = (u_i T, v)$$

To prove  $w$  is unique:

Ie) To prove  $w_1 = w_2$

Suppose that  $(uT, v) = (u, w_1)$

$$(uT, v) = (u, w_2)$$

$$\Rightarrow (u, w_1) = (u, w_2)$$

$$\Rightarrow (u, w_1) - (u, w_2) = 0$$

$$\Rightarrow (u, w_1 - w_2) = 0$$

Then take  $u = w_1 - w_2$

$$\Rightarrow (w_1 - w_2, w_1 - w_2) = 0$$

$$\Rightarrow w_1 - w_2 = 0$$

$$\Rightarrow w_1 = w_2$$

Definition:

Hermitian adjoint of  $T$ :

If  $T \in A(V)$  then hermitian adjoint of  $T$  is denoted by  $T^*$  and is defined by

$$(uT, v) = (u, vT^*) \forall u, v \in V.$$

Lemma 6.10.4:

If  $T \in A(V)$  then  $T^* \in A(V)$

$$i) (T^*)^* = T$$

$$ii) (S + T)^* = S^* + T^*$$

$$iii) (\lambda S)^* = \bar{\lambda} S^*$$

$$iv) (ST)^* = T^* S^* \forall S, T \in A(V) \text{ and } \alpha \in F$$

proof:

Given that  $T \in A(V)$  ie  $T$  is linear transformation belongs to  $A(V)$

$$\therefore (v + w)T = vT + wT$$

$$(\lambda v)T = \lambda(vT)$$

To prove  $T^* \in A(V)$

$$Ie) (v + w)T^* = vT^* + wT^*$$

$$(\lambda v)T^* = \lambda(vT^*)$$

Let  $u, v, w \in V$

$$\text{Consider } (u(v + w)T^*) = (uT, v + w)$$

$$= (uT, v) + (uT, w)$$

$$= (u, vT^* + wT^*)$$

$$\Rightarrow (u + w)T^* = vT^* + wT^*$$

$$\text{Consider } (u(\lambda v)T^*) = (uT, \lambda v)$$

$$= \overline{\lambda} (uT, v)$$

$$= (u, \lambda v T^*)$$

$$\Rightarrow (\lambda v) T^* = \lambda (v T^*)$$

i) To prove  $(T^*)^* = T$

$$\text{Consider } (u, v(T^*)^*) = (uT^*, v)$$

$$= \overline{(v, uT^*)}$$

$$= (u, vT)$$

$$(T^*)^* = T$$

ii) To prove  $(S + T)^* = S^* + T^*$

$$\text{Consider } (u, v(S + T)^*) = (u(S + T), v)$$

$$= (uS + uT, v)$$

$$= (u, vS^* + vT^*)$$

$$(S + T)^* = S^* + T^*$$

iii) To prove  $(\lambda S)^* = \overline{\lambda} S^*$

$$\text{Consider } (u, v(\lambda S)^*) = (u(\lambda S), v)$$

$$= \lambda(uS + v)$$

$$= (u, v(\overline{\lambda} S^*))$$

$$(\lambda S)^* = \overline{\lambda} S^*$$

iv) To prove  $(ST)^* = T^* S^*$

$$\text{Consider } (u, v(ST)^*) = (u(ST), v)$$

$$= ((uS)T, v)$$

$$= (uS, vT^*)$$

$$= (u, vT^* S^*)$$

$$= vT^* S^*$$

$$(ST)^* = T^*S^*$$

Lemma 6.10.5:

If  $T \in A(V)$  is unitary iff  $TT^* = 1$

Proof:

Necessary part:

Given that  $T$  is unitary

$$\therefore (uT, vT) = (u, v) \forall u, v \in V$$

To prove  $TT^* = 1$

Consider  $(u, v(TT^*)) = (uT, vT)$

$$= (u, v)$$

$$\Rightarrow vTT^* = v$$

$$TT^* = 1$$

Sufficient part:

Given that  $TT^* = 1$

To prove that  $T$  is unitary

I.e) To prove  $(uT, vT) = (u, v)$

Consider  $(u, v) = (u, vTT^*)$

$$= (uT, vT)$$

$T$  is unitary.

Note:

A unitary transformation is non singular and its inverse is just a hermitian adjoint also  $TT^* = 1 \Rightarrow T^*T = 1$

Theorem 6.10.2:

If  $\{v_1 v_2 \dots v_n\}$  is an Orthonormal basis of  $V$  and if  $m(T) \in A(V)$  in this basis is  $(\alpha_{ij})$  then matrix  $T^*$  in this basis is  $\beta_{ij}$  where  $\beta_{ij} = \overline{\alpha_{ji}}$

Proof:

Given  $\{v_1 v_2 \dots v_n\}$  is an orthonormal basis of  $V$  and matrix  $m(T) \in A(V)$  and

$(\alpha_{ij}) = \text{matrix of } (T) \in A(V)$  in this basis,

To prove  $\beta_{ij} = \text{matrix of } T^* \in A(V)$  in this basis where  $\beta_{ij} = \overline{\alpha_{ji}}$

Define  $v_i T = \sum_{j=1}^n \alpha_{ij} v_j$

$$v_i T^* = \sum_{j=1}^n \beta_{ij} v_j, v_j$$

$$(v_i T^*, v_j) = (\sum_{j=1}^n \beta_{ij} v_j, v_j)$$

$$= (\beta_{i1} v_1 + \beta_{i2} v_2 + \dots + \beta_{ij} v_j + \dots + \beta_{in} v_n, v_j)$$

$$= (\beta_{i1} v_1, v_j + \beta_{i2} v_2, v_j + \dots + \beta_{ij} v_j, v_j + \dots + \beta_{in} v_n, v_j)$$

$$= \beta_{i1}(v_1, v_j) + \beta_{i2}(v_2, v_j) + \dots + \beta_{ij}(v_j, v_j) + \dots + \beta_{in}(v_n, v_j)$$

$$= \beta_{i1}(0) + \beta_{i2}(0) + \dots + \beta_{ij}(1) + \dots + \beta_{in}(0)$$

$$(v_i T^*, v_j) = \beta_{ij}$$

$$\beta_{ij} = (v_i T^*, v_j)$$

$$= (v_i, v_j T) = (v_i, (\sum_{i=1}^n \alpha_{ji} v_i))$$

$$= (v_i, \alpha_{j1} v_1) + (v_i, \alpha_{j2} v_2) + \dots + (v_i, \alpha_{ji} v_i) + \dots + (v_i, \alpha_{jn} v_n)$$

$$= \overline{\alpha_{j1}}(v_i, v_1) + \overline{\alpha_{j2}}(v_i, v_2) + \dots + \overline{\alpha_{ji}}(v_i, v_i) + \dots + \overline{\alpha_{jn}}(v_i, v_n)$$

$$= \overline{\alpha_{j1}}(0) + \overline{\alpha_{j2}}(0) + \dots + \overline{\alpha_{ji}}(1) + \dots + \overline{\alpha_{jn}}(0)$$

$$\Rightarrow \beta_{ij} = \overline{\alpha_{ji}}$$

Definition:

Hermitian transformation:

$T \in A(V)$  is called hermitian transformation or self adjoint if  $T^* = T$

Skew hermitian transformation:

$T \in A(V)$  is called Skew hermitian transformation if  $T^* = -T$

Result:

If  $S \in A(V)$

$$S = \frac{S+S^*}{2} + i\left(\frac{S-S^*}{2i}\right)$$

Where  $\frac{S+S^*}{2}$  and  $\left(\frac{S-S^*}{2i}\right)$  are Hermitian ie)  $S = A + iB$  where A and B are Hermitian.

Theorem 6.10.3:

All the characteristic roots of hermitian transformation are real.

Proof:

Let  $T \in A(V)$  be the hermitian transformation

Let  $\lambda$  be the characteristic roots of T there exist  $av \neq 0$  such that  $vT = \lambda v \rightarrow \textcircled{1}$

Consider  $\lambda(v, v) = (\lambda v, v)$

$$= (vT, v)$$

$$= (v, vT^*)$$

$$= (v, vT)$$

$$= \bar{\lambda} (v, v)$$

$$\Rightarrow \lambda(v, v) - \bar{\lambda} (v, v) = 0$$

$$\lambda - \bar{\lambda} = 0$$

$$\lambda = \bar{\lambda}$$

Hence  $\lambda$  is real .

Lemma 6.10.6:

If  $S \in A(V)$  and if  $vSS^* = 0$  then  $vS = 0$

Consider  $(vSS^*, v) = (0, v) = 0$

$$(vSS^*, v) = 0$$

$$(vS, vS) = 0$$

$$vS = 0$$

Definition:

Normal linear transformation:

$T \in A(V)$  is said to be a normal if  $TT^* = T^*T$

Lemma 6.10.7:

If  $N$  is normal linear transformation and if  $vN = 0, v \in V$

$$vN^* = 0$$

Proof:

Given that  $vN = 0$  for  $v \in V$

To prove  $vN^* = 0$

Consider  $(vN^*, vN^*) = (vN^*N, v)$

$$= (vNN^*, v)$$

$$= (0, v)$$

$$= (0, v)$$

$$(vN^*, vN^*) = 0$$

$$vN^* = 0$$

Corollary 1:

If  $\lambda$  is the characteristic roots of the normal transformation  $N$  and if  $vN = \lambda v$

then  $vN^* = \bar{\lambda} v$

Proof:

Given that  $\lambda$  is the characteristic roots of the normal transformation  $N$  and  $vN = \lambda v \rightarrow \textcircled{1}$

Then To prove  $vN^* = \bar{\lambda} v$   $N$  is normal  $\Rightarrow NN^* = N^*N$

Consider  $(N - \lambda)(N - \lambda)^* = (N - \lambda)(N^* - \bar{\lambda})$



$$= NN^* - N\bar{\lambda} - \lambda N^* + \lambda\bar{\lambda}$$

$$= N^*(N - \lambda) - \bar{\lambda}(N - \lambda)$$

$$(N - \lambda)(N - \lambda)^* = (N - \lambda)(N^* - \bar{\lambda})$$

$$\Rightarrow (N - \lambda) \text{ is normal}$$

$$\text{Consider } v(N - \lambda) = vN - v\lambda$$

$$= v\lambda - v\lambda$$

$$v(N - \lambda) = 0$$

By the lemma “If  $N$  is normal and if  $vN = 0$  then  $vN^* = 0$ ”

$$\because (N - \lambda) \text{ is normal}$$

$$\Rightarrow v(N - \lambda) = 0$$

$$\Rightarrow v(N - \lambda)^*$$

$$\Rightarrow vN^* = v\bar{\lambda}$$

$$\therefore vN^* = \bar{\lambda}v$$

Corollary 2:

If  $T$  is unitary and  $\lambda$  is the characteristic roots of  $T$  then  $|\lambda| = 1$

To prove:

Given that  $T$  is unitary and  $\lambda$  is the characteristic root of  $T$

To prove  $|\lambda| = 1$

$$\therefore T \text{ is unitary}$$

$$\Rightarrow TT^* = T^*T = 1$$

$$\Rightarrow T \text{ is normal}$$

$$\because \lambda \text{ is the characteristic root of } T$$

There exist  $v \neq 0$  such that  $vT = \lambda v$

$$\text{By the corollary } vT^* = \bar{\lambda}v$$

Consider  $v = v \cdot 1$

$$= vTT^*$$

$$= \lambda vT^*$$

$$1 = \lambda \bar{\lambda}$$

$$1 = |\lambda|$$

Corollary:

If  $T$  is hermitian and  $vT^k = 0, k \geq 1$  then  $vT = 0$

Proof:

Given that  $T$  is hermitian and  $vT^k = 0, k \geq 1$

$$\Rightarrow T = T^*$$

To prove  $vT = 0$

We show that if  $vT^{2^m} = 0$  then  $vT = 0$  for if  $S = T^{2^{m-1}}$

$$S^* = (T^{2^{m-1}})^*$$

$$= T^{2^{m-1}}$$

$$S^* = S$$

$$SS^* = (T^{2^{m-1}})(T^{2^{m-1}})$$

$$= T^{(2^{m-1}+2^{m-1})}$$

$$= T^{2 \cdot 2^{m-1}}$$

$$= T^{2^{m-1}+1}$$

$$= T^{2^m}$$

Continuing down in this way we obtain  $vT = 0$  if  $vT^k = 0$  then  $vT^{2^m} = 0$  for  $2^m > k$

Hence  $vT = 0$ .

.

**Lemma 6.10.8 :** If  $N$  is Normal and if  $vN^k=0$  then  $vN=0$ .

**Proof:**

Let  $S=NN^*$ , To prove that  $S$  is Hermitian.

Consider,  $S^k=(NN^*)^k$

$$=(N)^k (N^*)^k$$

$$vS^k=v(N)^k (N^*)^k$$

$$=0. (N^*)^k$$

$$vS^k=0$$

By the Corollary to Lemma 6.10.6, If  $T$  is Hermitian and  $vT^k=0$  then  $vT=0$

$$vS^k=0 \text{ which Implies } vS=0$$

$$\text{implies } v(NN^*)=0$$

$$\text{implies } v(NN^*)=0$$

By the Lemma, “If  $s \in A(v)$  and if  $vss^*=0$  then  $vs=0$ ”.

$$\text{Implies } vN=0.$$

**Corollary:**

If  $N$  is Normal and if for  $\lambda \in F$ ,  $v(N-\lambda)^k=0$  then  $vN=\lambda v$ .

**Proof:**

Given that  $N$  is Normal  $\implies NN^* = N^*N$

To prove that  $(N-\lambda)$  is normal.

That is To prove that  $(N-\lambda)(N-\lambda)^* = (N-\lambda)^*(N-\lambda)$

$$\text{Consider } (N-\lambda)(N-\lambda)^* = (N-\lambda)(N^*-\bar{\lambda})$$

$$\begin{aligned}
&= N^*N - N\bar{\lambda} - \lambda N^* + \lambda\bar{\lambda} \\
&= N^*N - \lambda N^* - N\bar{\lambda} + \lambda\bar{\lambda} \\
&= N^*(N-\lambda) - \bar{\lambda}(N-\lambda) \\
&= (N^* - \bar{\lambda})(N-\lambda) \\
&= (N-\lambda)^*(N-\lambda)
\end{aligned}$$

Which implies  $(N-\lambda)$  is Normal.

By the above Lemma,  $v(N-\lambda)^k = 0$

$$\implies v(N-\lambda) = 0$$

$$\implies vN - v\lambda = 0$$

$$\implies vN = v\lambda$$

$$\implies vN = \lambda v$$

### Lemma :6.10.9

Let  $N$  be a Normal transformation and suppose that  $\lambda$  and  $\mu$  are 2 distinct characteristic roots of  $N$ . If  $v$  and  $w$  are in  $V$  and are such that  $vN = \lambda v$ ,  $wN = \mu w$

then  $(v, w) = 0$ .

#### Proof:

Given that  $N$  is Normal and  $\lambda$  and  $\mu$  are 2 distinct characteristic roots of  $N$  and  $vN = \lambda v$ ,  $wN = \mu w$ .

To prove that  $(v, w) = 0$ .

Consider  $vN = \lambda v$

$$(vN, w) = (\lambda v, w)$$

$$= \lambda(v, w) \quad \text{----- (1)}$$

Consider  $wN = \mu w$ .

In the Corollary, "If  $\lambda$  is a characteristic root of the normal transformation  $N$  and if  $vN = \lambda v$  then  $vN^* = \bar{\lambda} v$ ".

We get,  $wN^* = \bar{\lambda} w$

$$(v, wN^*) = (v, \bar{\lambda} w)$$

$$= \mu (v, w)$$

$$(vN, w) = \mu (v, w) \text{ ----- (2)}$$

From (1) & (2)  $\implies$

$$\lambda (v, w) = \mu (v, w)$$

$$\lambda (v, w) - \mu (v, w) = 0$$

$$(\lambda - \mu) (v, w) = 0$$

$$\implies (v, w) = 0.$$

#### **Theorem : 6.10.4**

If  $N$  is a Normal linear transformation on  $V$ , then there exists an orthonormal basis consisting of Characteristic vectors of  $N$ , in which the matrix of  $N$  is diagonal. Equivalently, if  $N$  is a normal matrix there exists a unitary matrix  $U$  such that  $UNU^{-1} (= UNU^*)$  is diagonal.

#### **Proof:**

Prove the corollary If  $N$  is Normal and if for  $\lambda \in F$ ,  $v(N - \lambda)^k = 0$  then  $vN = \lambda v$

Let  $N$  be Normal. Let  $\lambda_1, \lambda_2, \dots, \lambda_k$  be the distinct characteristic roots of  $N$ .

By the corollary, "If all the distinct characteristic roots  $\lambda_1, \lambda_2, \dots, \lambda_k$  of  $T$  lying in  $F$  then  $V$  can be written as  $V = V_1 \oplus V_2 \oplus \dots \oplus V_k$  where  $v_i = \{v \in V / v(T - \lambda_i)^{l_i} = 0\}$  and where  $T_i$  has only one Characteristic roots  $\lambda_i$  on  $v_i$ .

We can decompose  $V = V_1 \oplus V_2 \oplus \dots \oplus V_k$  where every  $v_i \in V_i$  is annihilated by  $(N - \lambda_i)^{n_i}$ .

By the above corollary,  $v_i$  consists only of characteristic vectors of  $N$  belonging to  $\lambda_i$ .

The inner product of  $V$  induces an inner product on  $v_i$ . By the theorem, let  $v$  be a finite dimensional inner product space then  $v$  has an orthonormal set as a basis.  $V_i$  has an orthonormal basis related to this inner product. By the lemma, elements lying in distinct  $v_i$  are orthogonal.

Thus putting together the orthonormal basis are  $v_i$  's provides as with an orthonormal basis of  $v$ . This basis consists of characteristic vectors of  $N$ . Thus in this basis the matrix of  $n$  is diagonal.

### **Corollary:1**

If  $T$  is an unitary transformation then there is an orthonormal basis in which the matrix of  $t$  is diagonal equivalently if  $T$  is a unitary matrix then there is a unitary matrix  $U$  such that  $UTU^{-1}$  ( $= UTU^*$ ) is diagonal.

### **Corollary:2**

If  $T$  is a Hermitian linear transformation then there is an orthonormal basis in which the matrix of  $t$  is diagonal equivalently if  $T$  is a Hermitian matrix then there is a unitary matrix  $U$  such that  $UTU^{-1}$  ( $= UTU^*$ ) is diagonal.

### **Lemma 6.10.10**

The Normal transformation  $N$  is

- (i) Hermitian  $\iff$  its characteristics roots are real
- (ii) Unitary  $\iff$  its characteristics roots are all of absolute value 1.

### **Proof:**

Given that  $N$  is Hermitian and  $N$  is Normal.

- (i)  $\implies$   $N$  has only real characteristic roots . Hence if  $N$  is Hermitian then its characteristics roots are real.

If  $N$  is normal and has only real characteristics roots. To p.t  $N$  is Hermitian.

Consider for sum unitary matrix  $U$ ,  $D = UNU^{-1} (= UNU^*)$  where  $D$  is a diagonal matrix with real entries on the diagonal.

$$\implies D^* = D$$

$$\text{Consider } D^* = (UNU^*)^*$$

$$= (U^*)^* N^* U^*$$

$$D^* = U N^* U^*$$

$$D^* = D \implies U N^* U^* = U N U^*$$

$$\implies N^* = N$$

$$\implies N \text{ is Hermitian.}$$

(ii) **Proof:**

G.T  $N$  is unitary and  $N$  is normal. Let  $\lambda$  be the characteristics roots of  $N$ . by the corollary, “ If  $T$  is unitary and if  $\lambda$  is a characteristics roots of  $T$  “.

Then  $|\lambda| = 1$ , we have the characteristics roots of  $N$  are all of absolute value 1. Given that  $N$  is Normal and its characteristics roots are all of absolute value 1.

(ie).,  $\lambda \bar{\lambda} = 1$  where  $\lambda$  is a characteristic roots of  $N$ .

**Converse:**

To Prove  $N$  is unitary.

By the Defn of characteristic roots,  $vN = \lambda v$ ----- (1) with  $v \neq 0$  in  $V$ .

By the corollary, if  $\lambda$  is a characteristic root of the Normal transformation  $N$  and  $vN = \lambda v$  then  $vN^* = \bar{\lambda} v$ .

We get,  $vN^* = \bar{\lambda} v$

$$\lambda(vN^*) = \lambda(\bar{\lambda} v)$$

$$\lambda v N^* = \lambda \bar{\lambda} v$$

$$v N N^* = 1.v$$

$$v N N^* = v.1$$

$$\implies N N^* = 1$$

$$\implies N \text{ is unitary.}$$

**Note:**  $\text{tr}(A A^*) = 0 \iff A = 0$

**Lemma : 6.10.11**

If  $N$  is Normal and  $AN=NA$ , then  $A N^* = N^* A$ .

**Proof:**

Given that  $N$  is Normal and  $AN=NA$

To P.T,  $A N^* = N^* A$ . (ie),  $X = A N^* - N^* A = 0$ .

(ie), to prove  $\text{tr}(X X^*) = 0$

$$\text{Consider, } X X^* = (A N^* - N^* A) (A N^* - N^* A)^*$$

$$= (A N^* - N^* A) [(N^*)^* A^* - A^* (N^*)^*]$$

$$= (A N^* - N^* A) (N A^* - A^* N)$$

$$= (A N^* - N^* A) N A^* - (A N^* - N^* A) A^* N$$

$$= N[(A N^* - N^* A) A^*] - [(A N^* - N^* A) A^*] N$$

$$= NB - BN = 0 \quad [\text{since } AN=NA \implies AN-NA=0].$$

$$(X X^*) = 0$$

$$\text{tr}(X X^*) = \text{tr}(0) = 0$$

By the above Note,  $X=0$



$$(ie), (A N^* - N^* A) = 0$$

$$\implies A N^* = N^* A.$$

**Definition :**

**T Positive (OR) Positive Definite (OR) Non-Negative**

If the Hermitian Linear transformation  $T \geq 0$  and in addition  $(vT, v) > 0$  for  $v \neq 0$  then T is called T Positive (OR) Positive Definite.

**Lemma : 6.10.12**

The Hermitian Linear transformation T is Non-Negative (Positive)  $\iff$  All of its characteristics roots are Non-Negative (Positive).

**Proof:**

Given that T is Non-Negative (ie),  $T \geq 0$ .

Let  $\lambda$  be a characteristics root of T and  $vT = \lambda v$  for some  $v \neq 0$

Consider  $vT = \lambda v$

$$\implies (vT, v) = (\lambda v, v)$$

$$0 \leq (vT, v) = \lambda(v, v)$$

$$\implies 0 \leq \lambda(v, v)$$

$$\implies \lambda(v, v) \geq 0$$

$$\implies \lambda \geq 0$$

$\implies$  All of its characteristics roots are Non-Negative (Positive).

**Converse Part :**

Given that T is Hermitian with non-negative characteristics roots.

To P.T  $T \geq 0$ .

Let  $\{v_1, v_2, \dots, v_n\}$  be an orthonormal basis consisting of characteristic vectors of  $T$ .

Let  $\lambda_1, \lambda_2, \dots, \lambda_n$  be the non-negative characteristic roots of  $T$  under the basis  $\{v_1, v_2, \dots, v_n\}$ .

$$\implies v_i T = \lambda_i v_i \quad \text{-----(1) where } \lambda_i \geq 0$$

Define  $v = \sum_{i=1}^n \alpha_i v_i, v \in V$

$$vT = \sum_{i=1}^n \alpha_i v_i T$$

$$= \sum_{i=1}^n \alpha_i \lambda_i v_i \text{ (by (1))}$$

$$vT = \sum_{i=1}^n \alpha_i \lambda_i v_i$$

$$(vT, v) = \left( \sum_{i=1}^n \alpha_i \lambda_i v_i, \sum_{i=1}^n \alpha_i v_i \right)$$

$$= (\lambda_1 \alpha_1 v_1 + \dots + \lambda_n \alpha_n v_n, \alpha_1 v_1 + \dots + \alpha_n v_n)$$

$$= (\lambda_1 \alpha_1 v_1, \alpha_1 v_1) + \dots + (\lambda_n \alpha_n v_n, \alpha_n v_n)$$

$$= \lambda_1 \alpha_1 (v_1, \alpha_1 v_1) + \dots + \lambda_n \alpha_n (v_n, \alpha_n v_n)$$

$$= \lambda_1 \alpha_1 \overline{\alpha_1} (v_1, v_1) + \dots + \lambda_n \alpha_n \overline{\alpha_n} (v_n, v_n)$$

$$= \lambda_1 \alpha_1 \overline{\alpha_1} (1) + \dots + \lambda_n \alpha_n \overline{\alpha_n} (1) \quad (\text{since } (v_i, v_i) = 1, (v_i, v_j) = 0)$$

Here  $(v_i, v_j) = 0$ , we are not having the terms  $\lambda_1 \alpha_1 \overline{\alpha_1} (v_1, v_2), \dots$

$$(vT, v) = \sum_{i=1}^n \alpha_i \lambda_i \overline{\alpha_i}$$

$$(vT, v) \geq 0$$

Since by the lemma, “if  $T \in A(V)$  is such that  $(vT, v) = 0$  for all  $v \in V$  then  $T = 0$ ”.

We have  $T \geq 0$ .

### Lemma 6.10.13

$$T \geq 0 \iff T = AA^* \text{ for some } A.$$

**Proof :**

(i) Consider  $T = AA^*$

To P.t  $T \geq 0$  (ie),  $AA^* \geq 0$

Consider,  $(v AA^*, v) = (vA, v(A^*))^*$

$$= (vA, vA)$$

$$\geq 0 \quad (\text{by the defn of Inner Product})$$

$$(v AA^*, v) \geq 0$$

$$\implies AA^* \geq 0 \quad (\text{by the defn of } T \text{ Positive})$$

$$\implies T \geq 0$$

(ii)  $T \geq 0$  To P.t  $T = AA^*$

Consider the Unitary matrix  $U$  such that  $UTU^* = \begin{pmatrix} \sqrt{(\lambda_1)} \\ \dots \\ \sqrt{(\lambda_n)} \end{pmatrix}$  where each  $\lambda_i$  is the characteristic root of  $T$ .

since  $T \geq 0 \implies$  each  $\lambda_i \geq 0$

Let  $S = \begin{pmatrix} \sqrt{(\lambda_1)} \\ \dots \\ \sqrt{(\lambda_n)} \end{pmatrix}$  since each  $\lambda_i \geq 0$  which implies  $\sqrt{\lambda_i} \geq 0$

$\implies S$  is Hermitian

(ie),  $S = S^*$ .

To Prove that  $USU^*$  is Hermitian.

Consider  $(USU^*)^* = (U^*)^* S^* U^*$

$$= U S^* U^*$$

$$= USU^*$$

$$\implies (USU^*)^* = USU^* \text{ -----(1)}$$

$USU^*$  is Hermitian.

$$\text{Consider } (U^* SU)^2 = (U^* SU)(U^* SU)$$

$$= (U^* SU U^* SU)$$

$$= (U^* S.1. SU)$$

$$= (U^* S^2 U)$$

$$= U^* \begin{pmatrix} \sqrt{(\lambda_1)} \\ \dots \\ \sqrt{(\lambda_n)} \end{pmatrix}^2 U$$

$$= U^* \begin{pmatrix} \sqrt{(\lambda_1)} \\ \dots \\ \sqrt{(\lambda_n)} \end{pmatrix} U$$

$$= U^*(UT U^*)U$$

$$= U^*UT U^*U$$

$$(U^* SU)^2 = 1.T.1 = T \text{ -----(2)}$$

$$\text{Take } A = (U^* SU)$$

$$\implies A^* = (U^* SU)^*$$

$$A^* = (U^* SU) \text{ By (1)}$$

$$(2) \implies T = (U^* SU)^2 = (U^* SU)(U^* SU)$$

$$T = AA^* \text{ for some } A.$$

## 6.11 Real Quadratic forms

**Definition :** Quadratic form associated with A.

Let  $V$  be a Real Inner Product space and suppose that  $a$  is a (real) symmetric linear transformation on  $V$ . The real valued function  $Q(v)$  defined on  $V$  by  $Q(v) = (vAv, v)$  is called the quadratic form associated with  $A$ .

**Definition :** Congruent Matrices

Two real symmetric matrices of  $A$  and  $B$  are congruent matrices if there is a non-singular real matrix  $T$  such that  $B = TAT^{-1}$ .

**Lemma 6.11.1**

Congruence is an equivalence relation.

**Proof:**

Let us denote  $A$  is congruent to  $B$  has  $A \cong B$

(i) Reflexive:

To p.t  $A \cong A$

$A = IAI^{-1}$  where  $I$  is an identity matrix.  $\implies A \cong A$ .

(ii) Symmetric:

Consider  $A \cong B$  To P.t  $B \cong A$

$A \cong B \implies B = TAT^{-1}$  (where  $T$  is non-singular)

$$T^{-1}B = T^{-1}TA T^{-1}$$

$$= IA T^{-1}$$

$$T^{-1}BT = A T^{-1}T$$

$$T^{-1}BT = A I$$

$$T^{-1}BT = A$$

$$T^{-1}B(T^{-1}) = A$$

Let  $(T^{-1}) = S \implies SBS^{-1} = A$  where  $S$  is non-singular.

$\implies B \cong A$ .

(iii) Transitive:

Let  $A \cong B$  &  $B \cong C$ . To p.t  $A \cong C$ .

$A \cong B \implies B = TAT^{-1}$

$B \cong C \implies C = SBS^{-1}$  where  $S$  &  $T$  are non-singular.

$$\begin{aligned} C &= SBS^{-1} = S(TAT^{-1})S^{-1} \\ &= (ST)A(T^{-1}S^{-1}) \\ &= (ST)A(ST)^{-1} = RAR^{-1} \end{aligned}$$

$$C = RAR^{-1}$$

$\implies C \cong A$ .

Hence congruence is an equivalence relation.

**Definition :**Signature of  $A$

If  $A$  is a real symmetric matrix congruent to  $\begin{pmatrix} I_r & & \\ & -I_s & \\ & & 0_t \end{pmatrix}$  then  $r$ - $s$  is called the

signature of  $A$ . The signature of a quadratic form is defined to be the signature of the associated symmetric matrix.

**Result (1):**

Let  $A$  be a symmetric matrix and let us consider associated quadratic form

$Q(v) = (vA, v)$ . If  $T$  is non-singular and real given  $v \in F^{(n)}$ ,  $v = wT$  for some  $w \in F^{(n)}$ . Hence  $(vA, v) = (wTA, wT)$ .

Thus  $A$  and  $ATA^{-1}$  effectively define the same quadratic form.

**Result (2):**

Given a real orthogonal matrix , we can fixed an orthogonal matrix T such that  $TQT^{-1} = TQT'$ .

**Theorem 6.11.1** (Sylvester's Law)

Given be the real symmetric matrix A there is an invertible matrix T such that

$$TAT^{-1} = \begin{pmatrix} I_r & & \\ & -I_s & \\ & & 0_t \end{pmatrix} \text{ where } I_r \text{ and } I_s \text{ are respectively } r \times r \text{ and } s \times s \text{ unit matrices and } 0_t \text{ is}$$

the  $t \times t$  zero matrix. The integer  $r+s$  which is be rank of A and  $r-s$  which is the signature of A ,characterize the congruence class of A. (ie), two real symmetric matrices are congruent iff they have the same rank and signature.

**Proof:**

A isreal symmetric matrix , its characteristic roots are real. Let  $\lambda_1, \lambda_2, \dots, \lambda_r$  be its characteristic roots. Let  $-\lambda_{r+1}, -\lambda_{r+2}, \dots, -\lambda_{r+s}$  be its negative characteristic roots .

We can find a real orthogonal matrix C, such that

$$CAC^{-1} = CAC' = \begin{pmatrix} \lambda_1 & & & & & & \\ & \ddots & & & & & \\ & & \lambda_r & & & & \\ & & & -\lambda_{r+1} & & & \\ & & & & \ddots & & \\ & & & & & -\lambda_{r+s} & \\ & & & & & & \ddots \\ & & & & & & & 0_t \end{pmatrix}$$

Where  $t=n-r-s$ . (here  $n = r+s+t$ ). Let T be the real diagonal matrix

$$D = \begin{pmatrix} \frac{1}{\sqrt{\lambda_1}} & & & & \\ & \ddots & & & \\ & & \frac{1}{\sqrt{\lambda_r}} & & \\ & & & \frac{1}{\sqrt{\lambda_{r+1}}} & \\ & & & & \ddots \\ & & & & & \frac{1}{\sqrt{\lambda_{r+s}}} \\ & & & & & & I_t \end{pmatrix} \text{ then the simple computation that}$$

$$DCAC' D' = (DC) A(C' D') = \begin{pmatrix} I_r & & \\ & -I_s & \\ & & 0_t \end{pmatrix}. \text{ Thus there is a matrix of the required form in}$$

the congruence class of A. Now, to show that this is the only matrix in the congruence class of

$$\text{this form (or) equivalently that } L = \begin{pmatrix} I_r & & \\ & -I_s & \\ & & 0_t \end{pmatrix} \text{ and } M = \begin{pmatrix} I_{r'} & & \\ & -I_{s'} & \\ & & 0_{t'} \end{pmatrix} \text{ are congruent}$$

only if  $r = r'$ ,  $s = s'$  and  $t = t'$ .

To p.t  $r = r'$ ,  $s = s'$  and  $t = t'$ .

Suppose that  $M = TLT'$  where T is invertible (by lemma  $L \cong M$ )

If v is a finite dimensional vector space over F and if  $S \in A(V)$  and  $T \in A(V)$  is regular then  $r(S) = r(TST^{-1})$ .

$$M = TLT^{-1} \implies r(M) = r(TLT^{-1}) = r(L)$$

$$n - t' = n - t \implies t' = t.$$

To prove  $r = r'$  and  $s = s'$

$$\text{Suppose } r < r', n = r + s + t = r' + s' + t'$$

$$\implies s - s' = r - r' \implies s > s'$$

Let U be the subspace of  $F^{(n)}$  for all vectors having the first r and the last t coordinates 0. Therefore U is s-dimensional. For  $u \neq 0 \in U$ ,  $(uL, u) < 0$ . Let W be the subspace of



$F^{(n)}$  for which  $r'+1, \dots, r'+s$  are zero. Since  $T$  is invertible and  $W$  is  $(n-s')$  dimensional.  $WT$  is  $(n-s')$  dimensional. For  $w \in W$ ,  $(wM, w) \geq 0$ . Hence  $(wTL, wT) \geq 0$  for all elements.

Now  $\dim(WT) + \dim U = n-s' + r = n+s-s' > n$ . by the corollary to lemma 4.2.6,  $WT \cap U \neq 0$ . This however is nonsense. For if  $x \neq 0 \in WT \cap U$ ,  $(xL, x) < 0$  while on the other hand, being in  $WT$ ,  $(xL, x) \geq 0$ . Thus  $r = r'$  and  $s = s'$ .

The rank  $r+s$ , and signature  $r-s$ , determine  $r, s$  and  $t = (n-r-s)$ , hence they determine the congruence class.

Distribution of Marks: Theory 100%

#### Text Books:

S.NO	AUTHORS	TITLE	PUBLISHERS	YEAR OF PUBLICATION
1.	I.N.Herstein	Topics in Algebra	Wesley Wiley Eastern Limited, New Delhi	1975, II Edition

#### Reference Books:

S.NO	AUTHORS	TITLE	PUBLISHERS	YEAR OF PUBLICATION

<b>1</b>	M.Artin	Algebra	Prentice Hall of India	1991
<b>2</b>	P.B.Bhattacharya, S.K.Jain, and S.R.Nagpaul	Basic Abstract Algebra	Cambridge University Press	1997
<b>3</b>	Rudin, W I.S. Luther and I.B.S.Passi	. Algebra, Vol. I- Groups and Vol.II Rings	Narosa Publishing House,New Delhi	1999.

### Web Sources:

1. [abstact.ups.edu>aata-20160809](http://abstact.ups.edu/aata-20160809).
2. [mathforum.org](http://mathforum.org)>...>Algebra